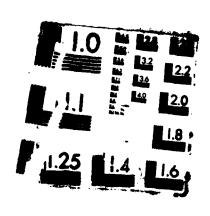
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The purpose of this Supplement No. 1 to the Smithville Lake, Embankment Criteria and performance report is to present the general plan used to improve the stability of the dam at high pool levels, which includes (1) investigations performed, (2) results tabulated, (3) remedial measures installed and (4) performance to date.

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OPERATION AND MAINTENANCE MANUAL

SMITHVILLE, LAKE LITTLE PLATTE RIVER, MISSOURI

APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

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DEPARTMENT OF THE ARMY
KANSAS CITY DISTRICT, CORPS OF ENGINEERS
KANSAS CITY, MISSOURI

OPERATION AND MAINTENANCE MANUAL SMITHVILLE LAKE LITTLE PLATTE RIVER, MISSOURI

APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

LEFT ABUTMENT REHEDIAL MEASURES

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APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

LEFT ABUTHENT REMEDIAL MEASURES

INTRODUCTION

- 1. Purpose of Supplement. The purpose of this supplement is to present the general plan used to improve the stability of the dam at high pool levels. A brief description is included of the investigations performed, results, remedial measures installed, and performance to date. The results of the stability analyses conducted on the left abutment based on project performance since installation of the remedial measures is presented. Detailed descriptions of the field and laboratory investigations are found in the reports entitled 'Left Abutment Stability Report' and 'Left Abutment Seepage Report' contained in appendices A and B, respectively, of the supplement.
- 2. Impoundment history. Impoundment began in October 1979 but lake filling was delayed because of real estate acquisition problems. Hultipurpose pool, at elevation 864.2, was first reached in June 1982. A pool at elevation 869.4 occurred in April 1983 and again in April 1984. The record high pool, at elevation 873.17, was reached on 16 and 17 October 1985. Flood control pool is at elevation 876.2, while spillway crest pool is at elevation 880.2.
- 3. Piezometric levels and seepage. During the fall of 1982, after the pool had attained multipurpose level, high piezometric response was noted in several of the piezometers downstream of the centerline. Comparisons between the observed and anticipated piezometric levels suggested the safety factor for the steady seepage case might be lower than the 1.6 shown in the embankment design memorandum. Close monitoring of the piezometric levels was recommended, particularly at station 110+00 where the piezometric level was about 3 feet above the ground surface. During April 1983 a seep area developed at the toe at station 110+00 with the pool at elevation 869.37, a record high pool at that time. Seepage was not enough to observe flowing quantities. In August 1983 an adjacent landowner, Roy Bowers, reported that a large wet area, located about 3,000 feet below the main dike, had developed onhis property. Field reconnaissance revealed three general areas of seepage: (1) the downstream seep area at the toe of the left abutment; (2) the Bowers seep area; and (3) the dike seep area. These general areas are shown on plate 4. An investigation of seepage in the left abutment was then initiated. A brief overview of the investigation is presented below, a detailed description of these events are contained in appendices A and B.

4. Investigations and actions.

a. <u>Initial</u>. The initial investigation included the installation and monitoring of additional instrumentation devices and a review of the original design analysis. Fourteen piesometers and observation wells were installed in a line between the lake and the Bowers seep area and five piesometers were installed in the downstream seep area as shown on plates 5 and 7. Based upon

the observed data and a review of the original design stability analysis it was concluded that projected piezometric levels for the pool at spillway crest were higher, as much as 25 to 35 feet, than those assumed during design. Preliminary stability analysis indicated that the safety factor using the DM design strengths and the projected piezometric levels was well below the DM recommended 1.6.

- b. Subsequent. Commencing in April 1984 a sequence of actions was begun to insure the safety of the structure while slope stability and seepage investigations were being conducted. A revised plan of lake releases was put into effect to reduce the probability of subjecting the dam to high pool levels and the frequency of monitoring the dam was increased for all pools above multipurpose. Because of immediate concerns regarding the stability of the dam at high pool levels an interim solution that would reduce piesometric levels by pumping from wells was implemented. After the wells were installed and operational the project was returned to a normal operating plan. Two inclinometers were installed in the most critical areas at stations 108+00 and 110+00 to monitor any movement. Ten relief drains were installed on the Bover's and adjacent Government properties to provide a measure of seepage control and to determine the effect on the piezometric surface. Four flowing test wells were subsequently installed at the toe of the embankment to evaluate whether pressure relief wells would provide a satisfactory permanent solution. During the installation of the wells and piesometers undisturbed sampling was being performed and a laboratory testing program being conducted. Of concern was the strength and continuity of a slickensided surface in a shale seam located near the top of bedrock. Appendices A and B contain additional detailed information regarding these investigations and actions.
- c. Final. The installation of 13 pressure relief wells and a buried collector pipe in the left abutment was completed early in 1985. Plate 6 shows the location of these wells. With these wells controlling seepage and reducing uplift pressures the stability analysis had indicated that an adequate factor of safety would be obtained for the spillway crest steady seepage condition. A detailed description of the investigations regarding the stability analysis is contained in appendix A. Additional stability analysis performed after installation of the relief wells is presented in later paragraphs.

GEOLOGY

- 5. General. Smithville Lake is located near the southern limit of the Dissected Till Plains Section of the Central Lowlands Physiographic Province. Major topographic features are the maturely to submaturely developed valleys of the Little Platte River, Crovs Creek, and Camp Branch. Drainage patterns typical of northern Missouri are developed on thick glacial deposits resulting in gently rolling topography. Bedrock exposures are not common but can occasionally be found along the bases of valley walls of major streams. Maximum relief in the area is about 160 feet.
- 6. Glacial history. Pleistocene glaciers extended into the northern region of Missouri approximately 750,000 years ago during the Kansan glacial episode and persisted for approximately 100,000 years. Glaciers may have also advanced into the area during the earlier Nebraskan episode. Both the Nebraskan and Kansan advances were from the north-northwest and are attributed

to the Iova ice lobe from the Keevatin ice center in Canada. Since the same general regions were traversed during both episodes, the content of resultant drift materials is similar and difficult to distinguish. The southern limit of glaciation is generally recognized as being slightly south of, and approximately parallel to, the present course of the Missouri River.

Pleistocene ice sheets have been compared in size and extent to those of the Antarctic which have an average central thickness of about 6,500 feet. Estimated thicknesses of marginal masses are of the order of 1,600 feet. Glacial erosion was primarily by abrasion and quarrying whereby slabs of frozen ground were sheared from and dragged forward over nonfrozen ground. Magnitudes of erosion were dependent upon the thickness and velocity of the ice mass, the nature of materials incorporated into the basal ice, and the character of surfaces overridden. Glacial sediments include nonstratified till and, less frequently, fluvio-glacial deposits of stratified silts, sands, and gravels. Drift of variable thickness has been deposited upon essentially flat lying Pennsylvania bedrock and is the thickest in pre-Pleistocene topographic lows.

- Overburden. Overburden in the vicinity of the dam is of three principal types; alluvium, glacial drift, and loess. Alluvium occupies the valleys of the Little Platte River and its tributaries and generally consists of lean and fat clays overlying clayey sands and sandy clays with minor amounts of basal gravel. Thicknesses range from 25 to 50 feet. Upland areas are deposits of glacial drift thinly mantled with losss. In the left abutment area, the drift ranges in thickness up to 85 feet and generally consists of 20 to 60 feet of till overlying 5 to 25 feet of coarser outwash sediments. Till, in general, is composed of unsorted, unconsolidated (geologically), nonstratified sediments deposited directly by and underneath glacial ice masses and consists of heterogeneous, random mixtures of clay, silt, sand, gravel, cobbles, and boulders. The overburden above approximately elevation 845 in the left abutment is predominantly lean clay glacial till with scattered gravel and cobbles and occasional isolated silty sand lenses. Below elevation 845, the material is much more heterogeneous with considerable lateral and vertical variation. Throughout most of the abutment area, the upper 11 to 20 feet of this lower unit is generally silt, however, silty clay or lean clay was encountered in some borings at this horizon. Below the silt zone, the material is coarser and consists of sand, gravel, and cobbles, generally with a significant amount of silt and clay. The coarser materials underlying the till are meltwater sediments deposited from advancing or retreating ice sheets. Loess overlying the till reaches a thickness up to 20 feet in the area. The maximum thicknesses occur on broad, gently sloping, interstream divides where erosion has been minimal.
- 8. Bedrock. Near surface bedrock strata are of the Pennsylvanian System, Lansing and Kansas City Groups and consist of alternating beds of shale and limestone. A geologic column for the left abutment is shown on plate 8. The essentially horizontal configuration of the left abutment bedrock surface is the result of a pre-Pleistocene stream channel trending generally east-west through the abutment. It is one of two major channels mapped in the reservoir area which are part of the ancestral Hissouri River drainage system prior to the advance of Pleistocene glaciers. The other is located several miles upstream of the dam in the reservoir area. As ice masses traversed the area, existing sediments were scoured away and near-surface bedrock strata subjected to shear forces induced by ice thrusts.

- 9. Characterization of the left abutment foundation. The investigations more clearly defined a basal layer of glacially deposited materials that form a pervious some beneath the entire left abutment. The basal layer, consisting of a heterogeneous mixture of silt and silty or clayey sands, varies in thickness from 40 feet beneath the upland portion of the abutment to less than 10 feet near the valleys. Plates 9 and 11 contain profiles and sections through the abutment and the embankment showing these trends. The thicker portions tend to be more gravelly and cobbly whereas sand becomes more predominate as the layer thins. Similar materials crop out in the bluffs along Crows Creek upstream of the dam. The natural pervious, in combination with the overlying lean clays and silts and underlying tight bedrock, forms a confined aquifer system that is recharged from the lake. The seep areas are characterized by a decreasing thickness of low permeability material with pockets or lenses of more pervious material extending to or near ground surface.
- 10. Seepage behavior. With impoundment the exposed basal material along Crows Creek was submerged, permitting saturation of the basal layer and subjecting the confined aquifer system to a hydrostatic pressure head corresponding to the pool level. The piezometric pressure gradient is relatively flat through the abutment, except for initial entrance pressure losses and near the seeps. As piezometric levels in the basal layer near the downstream base of the abutment increased to above ground level a sufficient vertical gradient was created to force seepage upwards through the thinning confining layer. Because a relatively impervious alluvium blocks this flow seepage quantities are low. Up to a 50 percent piezometric response to changes in the pool have been observed. A more detailed discussion of the seepage is contained in appendix B.

SEBPAGE CONTROL

- 11. General. The installation of the relief drains and relief wells was completed by early 1985. The investigation into the cause, extent, and chosen remedial solutions to the seepage and stability problems was briefly summarized in the previous paragraphs. The appendices contain reports that address these matters in greater detail. The following paragraphs discuss the performance of the remedial actions to date.
- 12. Bovers seep area. A total of 10 relief drains, 7 on the Bovers property and 3 on the adjacent Government land, were installed as shown on plate 7. Boring logs for the 10 drains are shown on plate 14. A temporary collector system consisting of an above ground plastic pipe currently connects relief drains 4, 5, 5A, 5B, 6, 7, and 8 while drains 1, 2, and 3 discharge onto the ground. The relief system on the Bovers property is estimated to be flowing at a rate of 30 gpm and has succeeded in significantly drying up the area. Plate 11 shows a profile through the Bovers seep area with the piezometric levels prior to and following drain installation. A buried collector system is to be installed after acquisition of the property and will result in a further lowering of the piezometric surface.
- 13. Downstream seep area. A total of 13 relief wells were installed in the left abutment as shown on plate 5. Boring logs and installation details are shown on plates 15, 16, and 17. Relief wells 3 through 11 discharge into a buried collector system that exits through a Parshall measuring flume into

the toe ditch. The collector system consists of a fabric lined trench with gravel surrounding a slotted, corrugated plastic pipe. Relief wells 1 and 2 discharge at the toe of the dam below the flume while wells 12 and 13, located on the downstream slope, discharge into the pervious drain. Figures 13 through 21 show the relief well flow versus pool for wells 3 through 11 and figure 22 shows the total combined flow of these wells. The collector system flows at a rate of 25 to 30 gpm which is generally about 5 gpm higher than that of the wells. This is attributed to any or all of the following: (1) infiltration of ground water into the collector system; (2) error in the calibration of the flume; or (3) well flow measurement errors. Flow from wells 12 and 13 are too small to measure but they have caused a reduction of the piezometric level in the immediate area. Figures 1 through 12 relate the pool elevation to the piezometric level in the left abutment. The plots show a fairly well defined relationship between the pool and the piezometric level for both prior to and following well installation. The result is that the wells have performed as anticipated and have effectively reduced the piezometric surface in the left abutment resulting in an improvement in the overall stability of the embankment. Periodic Inspection Report #5 contains detailed piezometric data obtained over the life of the project. Plate 26 shows the projected piezometric levels with and without the wells, at the record high pool, and projected levels at spillway crest.

14. <u>Dike seep area</u>. The projected piezometric levels at higher pools are above ground level, however, the thickness of the confining layer should prevent excessive seepage. No remedial measures were deemed necessary although some surface drainage may facilitate maintenance of the area for surveillance purposes.

STABILITY ANALYSIS

- 15. Design Memorandum No. 10 "Soil Data and Embankment Design" stability studies. Investigations for the DM included stability analysis for the left abutment steady seepage, rapid drawdown, and partial pool cases. Water pressures were computed to be hydrostatic below the saturation line. For the left abutment section the assumed saturation line under the downstream slope was in the foundation at elevation 825. High piezometric levels resulting from underseepage were not expected to be a problem "due to the thickness of the impervious foundation materials, the large amount of fines in the sands and gravels, and the scarcity of continuous pervious layers beneath the embankment." DM No. 10 plate A-37 summarizes design shear strengths and illustrates the critical shear surfaces.
- 16. Stability review of DM No. 10. An initial investigation of the left abutment stability was begun after seepage areas and high piezometric levels were observed. Additional piezometers were installed through the downstream slope and the original design stability analysis was reviewed. The investigation concluded that:
- a. The piezometric level assumed for design in the left abutment was actually some 20 feet below the base of the horizontal pervious blanket in the area at station 110+00.
- b. Projected piezometric levels for a spillway crest pool based on recorded data up to that time were about 25 to 35 feet above that assumed for design.

- c. The higher than anticipated piezometric levels meant that available shear strength of the foundation shales become more critical.
- d. The uppermost bedrock units in the left abutment have been exposed to erosional unloading and glacial loading. These factors, coupled with a nearly horizontal bedrock surface and essentially flat lying sedimentary rock units, suggested the possibility of the existence of a shear some or somes near the bedrock surface.
- e. Preliminary stability analysis conducted with the projected piezometric levels and Design Memorandum No. 10 design strengths showed the safety factor well below the desired 1.6 of the DM.
- 17. Left abutment stability investigation. Shale design strengths used in Design Memorandum No. 10 were obtained from samples of shale units of the right abutment, outlet works area, and valley. Additional sampling and testing for the left abutment was not done for the original design since the factor of safety for the left abutment appeared to be adequate. The shale shear strengths become more critical when higher than anticipated piezometric levels were recorded in the left abutment. Accordingly, a more comprehensive stability investigation was initiated. The investigation included additional sampling and testing of left abutment materials, particularly to determine if a weak zone or zones existed, a reanalysis of slope stability, and recommendations for remedial measures needed to insure the stability of the embankment. A detailed account of these results may be found in appendix A.
- 18. Shale seam in the Raytown limestone. Sampling efforts were initially directed towards obtaining samples for testing the Raytown limestone-Muncie Creek shale contact. However, core samples in the Raytown limestone revealed slickensided planes in a soft shale seam located in the lower part of the bed. The seam is about 0.4 to 0.5 feet thick, approximately 1.5 feet above the Muncie Creek contact, and is persistent throughout the abutment. Apparent shear planes were observed in every sample recovered from the shale seam. Several thin shale partings are present above the seam but not continuous. The shale seam rather than the Raytown-Muncie Creek contact was determined to be the material with the least resistance to shearing forces and is the critical material for stability considerations. Testing of the seam yielded a residual strength with tan $\phi = 0.13$ ($\phi = 7.4^{\circ}$) and a peak strength with c=250 psf. tan $\phi = 0.268$ ($\phi = 15^{\circ}$).
- 19. Raytown limestone. The Raytown limestone was assumed to have a vertical joint and was assigned a resisting shear strength of zero. This assumption is consistent with Design Memorandum No. 10. Higher strengths for the limestone were available but were not used in the analysis since it would require thin, partially weathered, jointed limestone to carry very large forces.
- 20. Lower foundation overburden material. Design strengths used in Design Hemorandum No. 10 for left abutment overburden material were obtained from tests run on lean and fat clays. As noted previously additional exploratory borings through the left abutment foundation overburden consistently revealed a coarse-grained pervious layer present above bedrock. As a result, it was believed reasonable to increase the S strength of the pervious layer to c=0.0, tan ϕ = 0.577 (ϕ = 30°).

- 21. <u>Material strength approaches</u>. Stability analyses for Design Memorandum No. 10 and preliminary stability analyses for the investigation were conducted using two different strength approaches: (1) peak strengths were used along the entire critical shear surface, and (2) peak design strengths were used along the failure surface in the active and passive wedges, and the residual shear strength of the shale was used in the central block portion of the sliding surface. The use of the residual strength was considered to be overly conservative since it assumed the lowest possible strength. The use of peak strengths for the stability analysis was believed to be unconservative due to strain incompatibilities between a shear some in the shale, the foundation overburden, and the embankment. With small strains the peak strength could be developed in the shale before the peak strengths could be developed in the embankment and overburden materials.
- 22. Final material strength approach. For the interim and final analyses of the investigation a third approach was considered which accounted for strain incompatibilities. Peak strengths were used for material in the active wedge portion of the critical shear surface and in the shale seam, but not in the passive wedge since relative large displacements would be required to develop full passive resistance. It was considered reasonable to use strengths in the passive wedge (foundation overburden) which correspond to 0.5 percent strain development. Strains somewhat larger than this were required to develop the peak strength in tests on the slickensided shale surface.
- 23. Estimation of piezometric surface. The final stability analysis of the investigation consisted of locating the most critical shear surface of the left abutment for the steady seepage case at spillway crest (elevation 880.2). Stability analyses were considered with and without pressure relief wells at the toe of the embankment. Piezometric surfaces were determined by projecting piezometer levels for a pool at spillway crest and subtracting drawdown levels observed after installation of the four test relief wells at the toe of the embankment near station 110+00.
- 24. Method of analyzing stability. The stability analysis was conducted with the hand wedge method in accordance with EM 1110-2-1902 (April 1970) and with a computer program, SLOPESR, developed at the University of California Berkeley. SLOPESR uses Spencer's procedure to calculate the factor of safety for specified noncircular shear surfaces. In all cases, the factor of safety by the hand wedge method was lower than those obtained by SLOPESR because the side force inclinations computed by SLOPESR were greater than that assumed in the hand wedge analysis. When the same side force inclination was used in both the hand wedge analysis and SLOPESR the computed factors of safety were similar. The results of the stability analyses performed at station 110+00 are as follows:

	Safety Hand Wedge	SLOPESR
Spillway Crest Pool (without relief wells)	1.25	1.47
Spillway Crest Pool (with relief wells)	1.30	1.53

- 25. Recommended remedial measures. As a result of the stability analysis, it was concluded that the installation of pressure relief wells at the toe of embankment would provide an adequate factor of safety for steady seepage conditions with the pool at spillway crest. Recommendations included the installation of additional pressure relief wells and a buried collector system.
- 26. <u>Current stability investigation</u>. The stability of the left abutment following installation of remedial measures, consisting of 13 relief wells and a collector system, has been reviewed. Uplift pressures in the lower foundation materials are projections based on piezometric levels observed since installation of remedial measures.
- 27. Method of analyzing stability. A computer program entitled UTEXAS2 (September 1985) was used to analyze the slopes for the stability investigation. The program allows the user to select either a circular or noncircular shear surface with or without a search option to locate the shear surface with the minimum factor of safety. Reservoir pools are represented as an external surface load. The program, though relatively new, has been manually checked by the hand wedge method several times to assure its accuracy. It was also checked against previous SLOPEER results. For this stability investigation, a noncircular shear surface with the search option was chosen. For each analysis the factor of safety against sliding was determined using Spencer's procedure.
- 28. Determination of the critical section. The first step of the stability investigation was to determine which cross-section of the left abutment would be the most critical. Cross-sections of stations 110+00, 111+00, 112+00, 113+00, and 114+00 were drawn from construction surveys, drill logs of exploratory borings, and piezometer and relief well installations. The slope height above natural ground decreased and the thickness of the passive wedge increased as the stations increased. The piezometric level does not increase significantly upstation. Therefore, based upon visual inspection, the cross-section at station 110+00 was determined to be the most critical.
- 29. Profiling the critical section. The embankment at station 110+00 consists of berm fill and compacted fill materials. Seepage through the embankment is intercepted by an inclined pervious wick which conducts it to the downstream toe of the embankment. Foundation overburden generally consists of two materials, finer grained upper overburden material and a lover coarser grained material. Bedrock under most of the embankment at station 110+00 is the Raytown limestone member which contains the soft shale seam. Raytown limestone is shown to extend 267 feet upstream from the centerline of the embankment although the scarcity of borings on the upstream side of the left abutment makes it difficult to determine the exact extent of the Raytovn limestone. However, a drill log detailing the installation of piezometer P-110-2 (station 110+00, range 267 US) lists Muncie Creek shale as bedrock material: the Raytown limestone was not encountered. For the stability investigation, the Raytown limestone member containing the soft shale seam was conservatively assumed to terminate 267 feet upstream from the centerline of the embankment.

- 30. Shear strengths of materials. Shear strength parameters for the berm fill, compacted fill, and upper foundation overburden material were taken from Design Memorandum No. 10, "Soil Data and Embankment Design." Shear strength parameters for the lower foundation overburden (coarser material), the Raytown limestone, and the shale seam were obtained from the Left Abutment Stability Report (July 1984) and are referenced in appendix A.
- 31. Shear strength envelopes. For steady seepage and partial pool cases the shear strength parameters for the berm fill, compacted fill, and upper foundation overburden materials were defined by a nonlinear (S,(R+S)/2) envelope. The minimum nonlinear (S,R) envelope was used to define the shear strengths of these materials for the rapid drawdown case. The shear strength parameters for the lower (coarse) foundation overburden material, the Raytown limestone, and the shale seam remained the same for each analyses. The peak "S" (drained) strength was used for all cases for the coarse foundation overburden material, the residual "S" strength for the shale seam.
- 32. Determination of water pressures. For steady seepage conditions, the pore pressures acting on materials comprising the left abutment were determined from two piezometric surfaces. The pore pressures acting on the berm fill and compacted fill materials were defined by a line of seepage through the embankment. The line is assumed to extend horizontally at the pool elevation through the embankment to the inclined pervious wick. wick and the horizontal pervious blanket conduct the seepage to the downstream toe of the embankment. Pore pressures acting on the lower (coarser) foundation overburden material, the Raytown limestone, and the shale seam were determined from water levels measured in a line of piesometers located at station 110+00. The corresponding piezometric surface for each pool elevation was projected from recorded levels read in each piezometer after installation of remedial measures. Pore pressures acting on the upper foundation overburden material were calculated by interpolating and averaging pore pressure values from the line of seepage and from pressures in the lower foundation overburden material.
- 33. Unit veights of materials. The unit veights used for the embankment and foundation materials are shown on plate No. 28. Unit veights for the berm fill, compacted fill, and upper foundation overburden materials were considered to be moist above the assumed line of seepage and saturated below. Saturated unit veights were used for the lower coarser foundation overburden material. The Raytown limestone and the shale seam were given unit weights of 140 pcf.
- 34. <u>Cases considered for the stability analyses</u>. This stability investigation considered both the upstream and downstream slopes of the embankment at station 110+00. The downstream slope was analyzed for a steady seepage condition with the pool at spillway crest (El. 880.2). The upstream slope was analyzed for steady seepage partial pool conditions and for a rapid drawdown from spillway crest pool to multipurpose pool (El. 864.2).
- 35. Partial pool case. A wide range of pool elevations was considered for the partial pool case. For a "no" pool condition the saturation line was assumed to be horizontal at an elevation of 820 feet. This places the piezometric level at the top of the lower (coarser) foundation overburden material for most of the section at station 110+00. This elevation for the

saturation line was determined from recorded piecemeter levels after the embankment was constructed to its final height but before a measured pool elevation was recorded. The highest pool elevation considered was apillowy crest at an elevation of 880.2 feet. The most critical failure surface for the partial pool analysis occurred at a pool elevation of 845 feet with a corresponding factor of safety of 1.37. The critical chear surface and the computed factor of safety for each considered pool elevation is shown on plate No. 29.

- 36. Rapid drawdown case. For the rapid drawdown analysis the pool was assumed to drop from spillway crest (El. 880.2) to sultipurpose pool (El. 864.2). During rapid drawdown it was assumed that the drawd instantaneously and that no pore pressure dissipation occurred during drawdown. Therefore, the line of seepage through the embanhment intercepted the upstream slope of the embankment at multipurpose pool and followed the upstream slope of the embankment to spillway crest pool. At this point the line extended horizontally to the inclined pervious wick. Water pressures acting on the lower foundation overburden and bedrock materials corresponded to the projected piezometric surface for spillway crest. Water pressures acting on upper foundation overburden material were calculated by interpolating pressures from the assumed line of seepage and from the piezometric surface. As previously mentioned, the shear strengths for the berm fill, compacted fill, and upper overburden materials were determined by the composite (S,R) envelope. Shear strengths for the remainder of materials remained the same as for the other stability analyses. The minimum factor of safety for the rapid drawdown case was calculated to be 1.30. The critical shear surface is illustrated on plate No. 30.
- 37. Steady seepage case. The downstream slope stability was analysed for a steady seepage condition with the pool at spillway crest (El. 880.2). Assigning the residual strength to the shale seam was considered for the previous stability analysis but not included because it was believed to be an overly conservative approach. In this investigation the residual strength was assigned to the shale seam but the required factor of safety lowered. A minimum factor of safety equal to 1.0 was sought. For the steady seepage case the calculated factor of safety was 1.00. Previously using peak strengths for the shale, reduced strengths in the other zones for strain compatibility, an estimated piezometric reduction from the relief wells, a factor of safety of 1.30 was obtained. The critical shear surface is illustrated on plate No. 30.

FIGURES

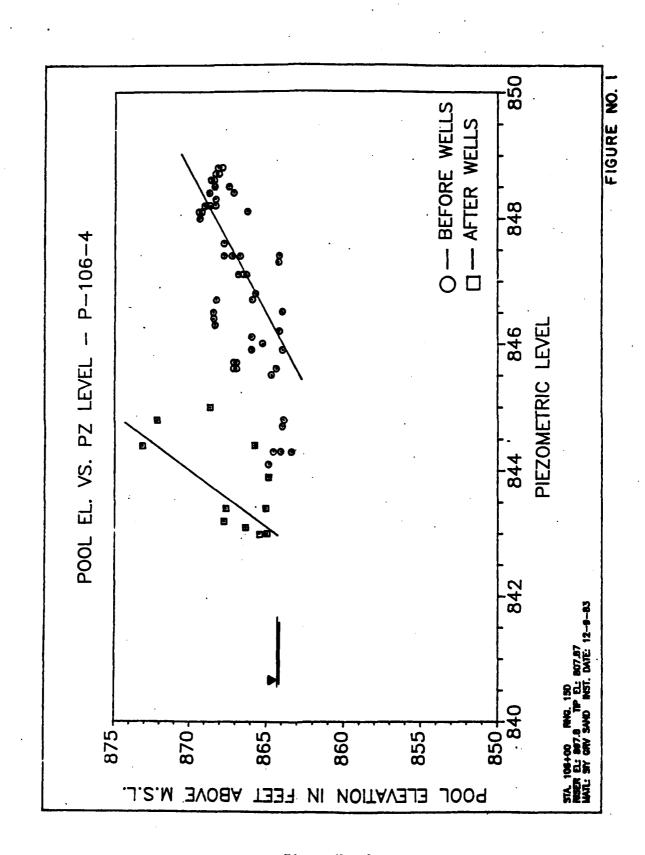


Figure No. 1

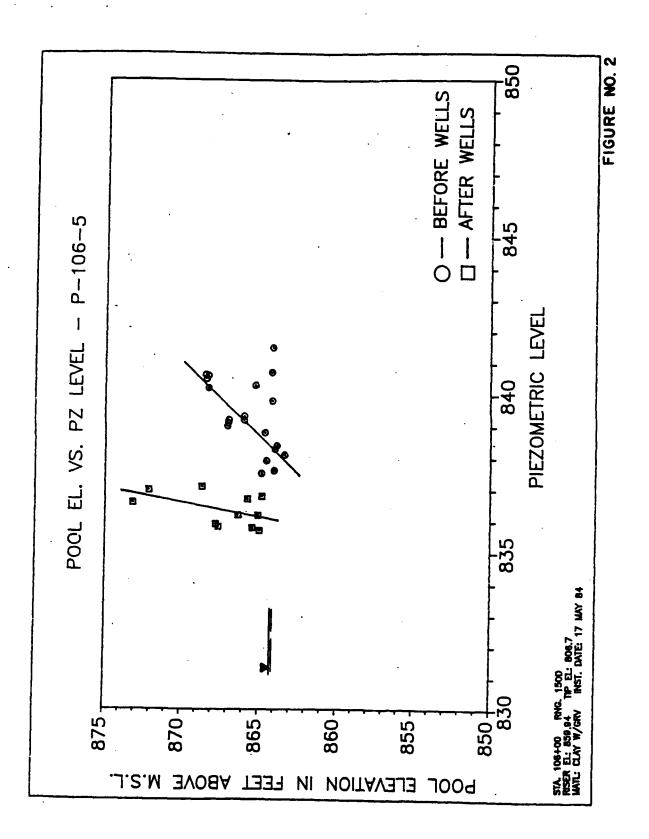


Figure No. 2

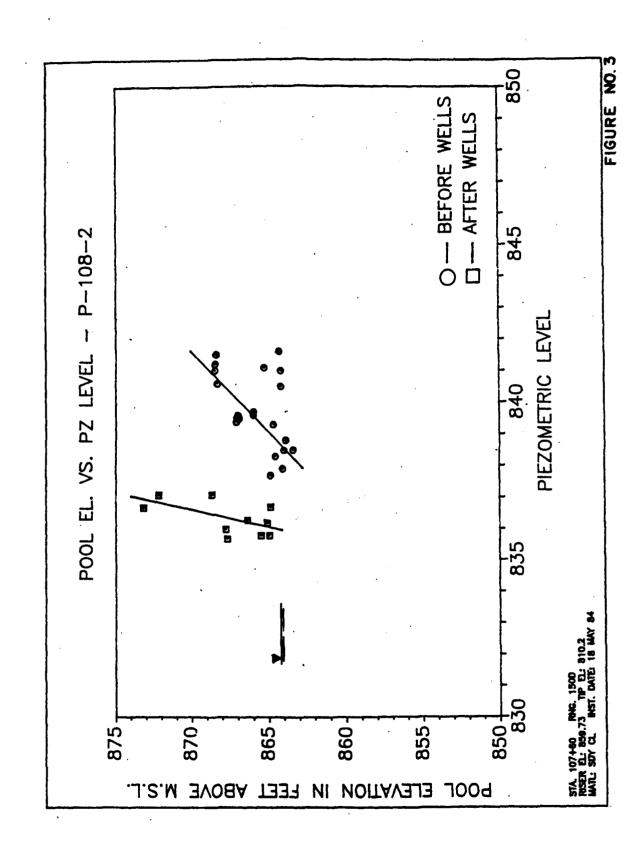


Figure No. 3

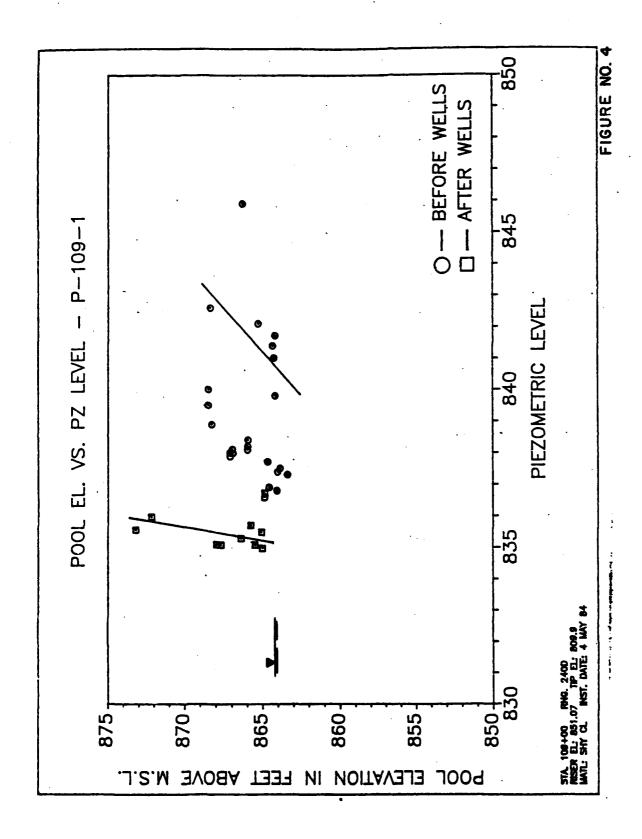


Figure No. 4

13

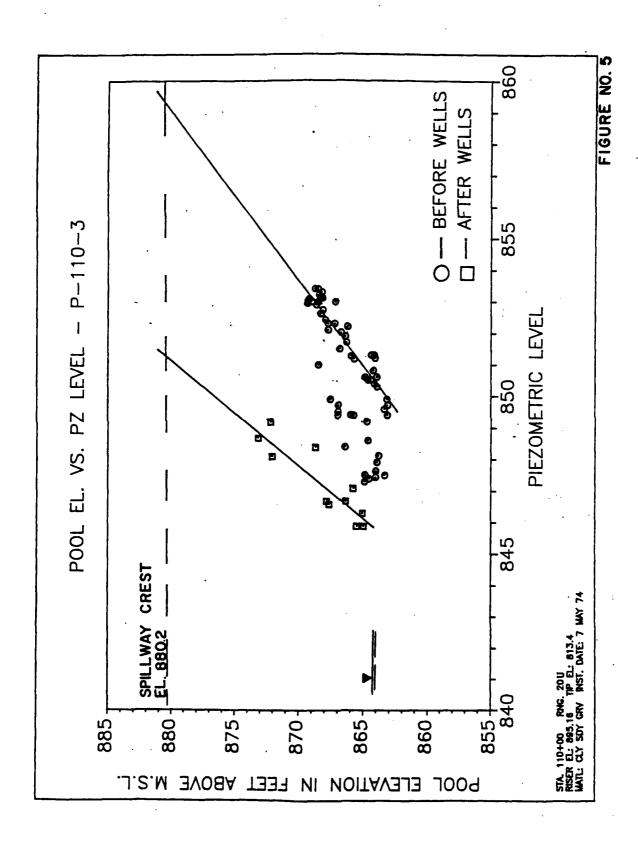


Figure No. 5

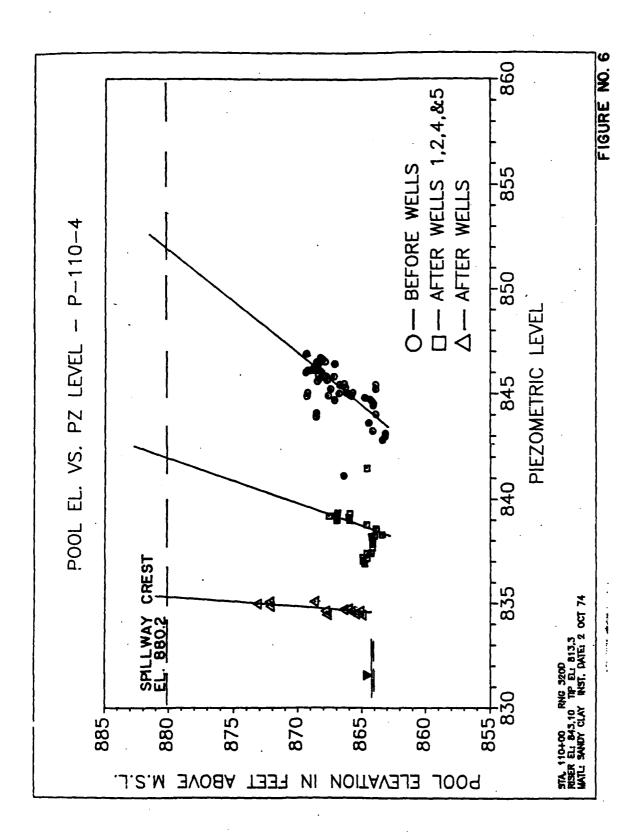


Figure No. 6

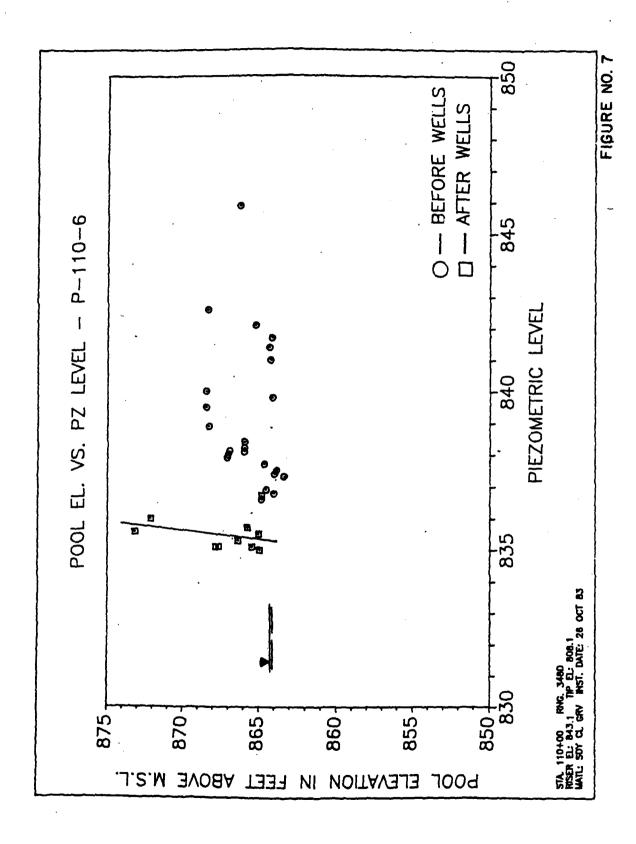


Figure No. 7

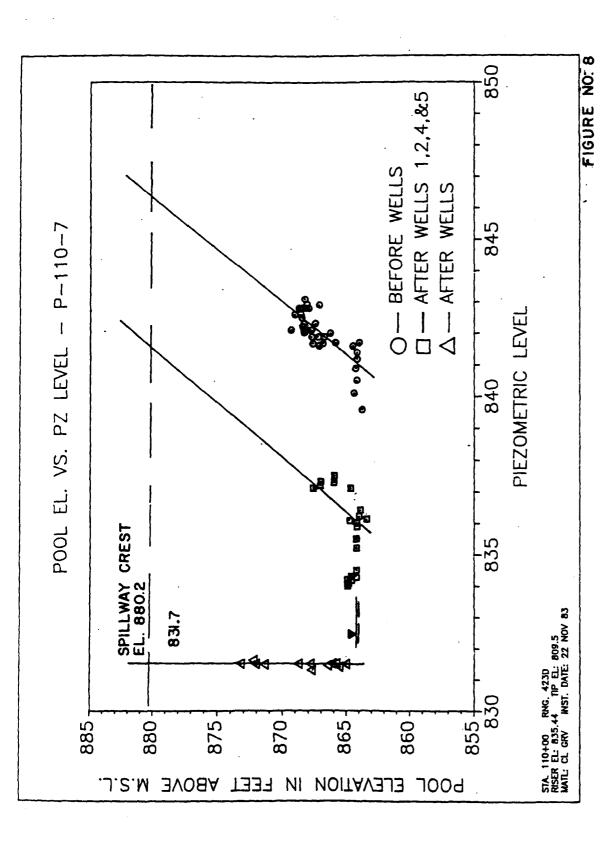


Figure No. 8

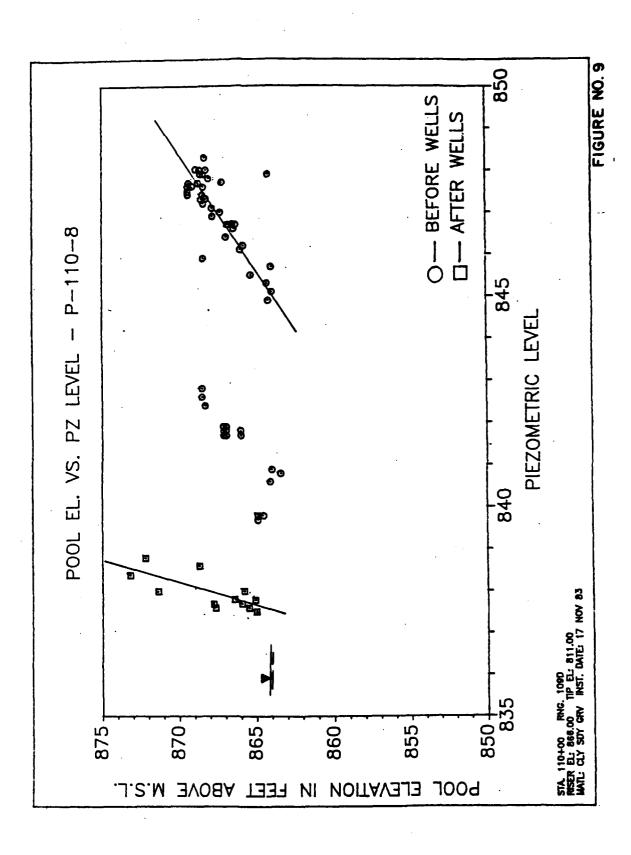


Figure No. 9

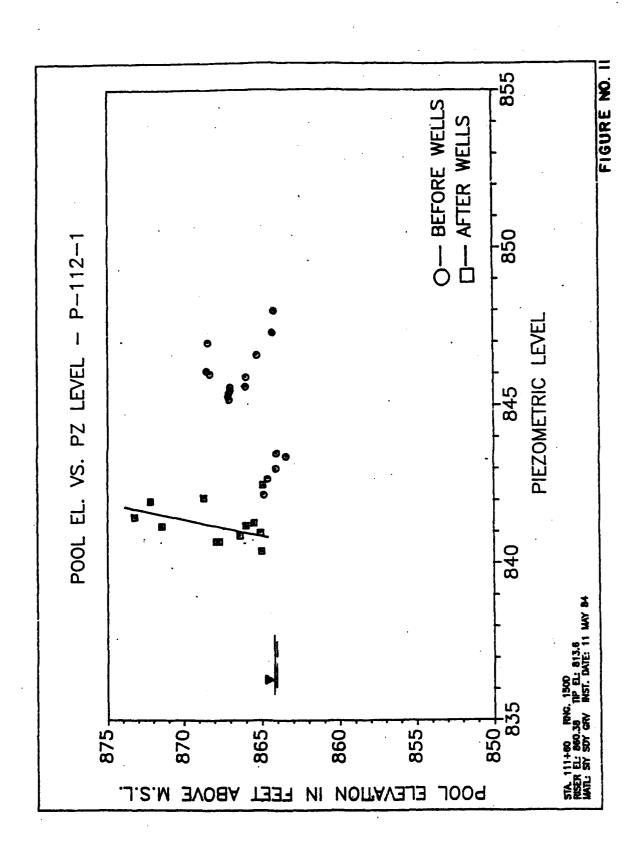


Figure No. 11

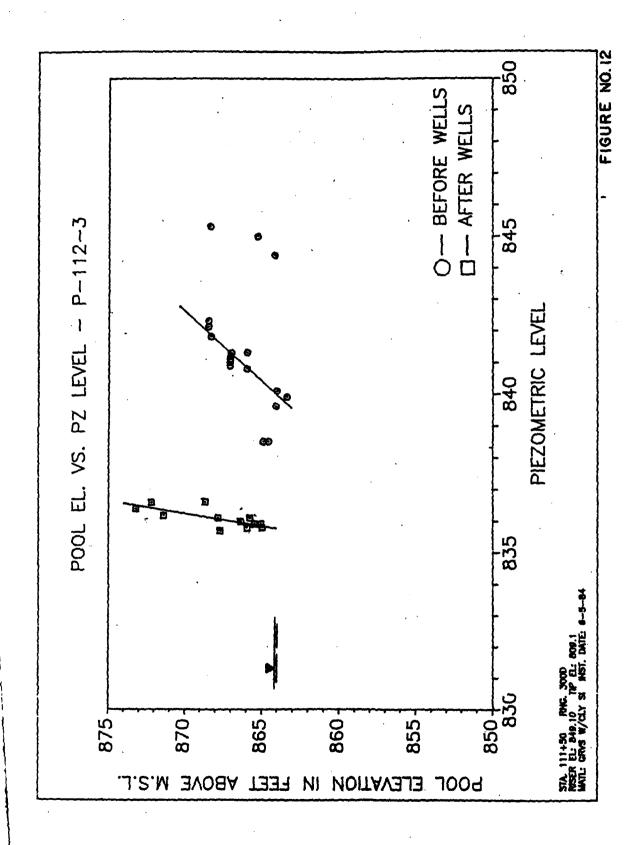


Figure No. 12

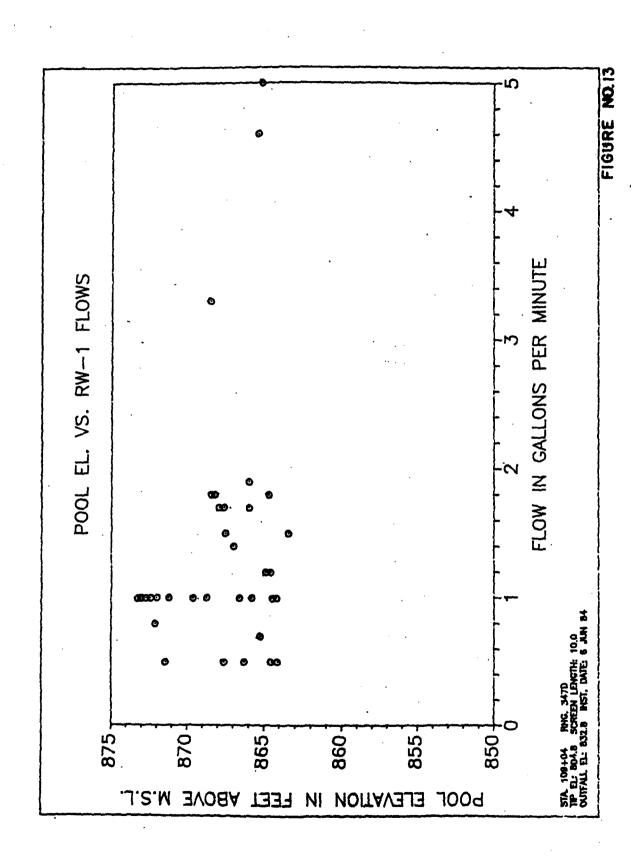


Figure No. 13

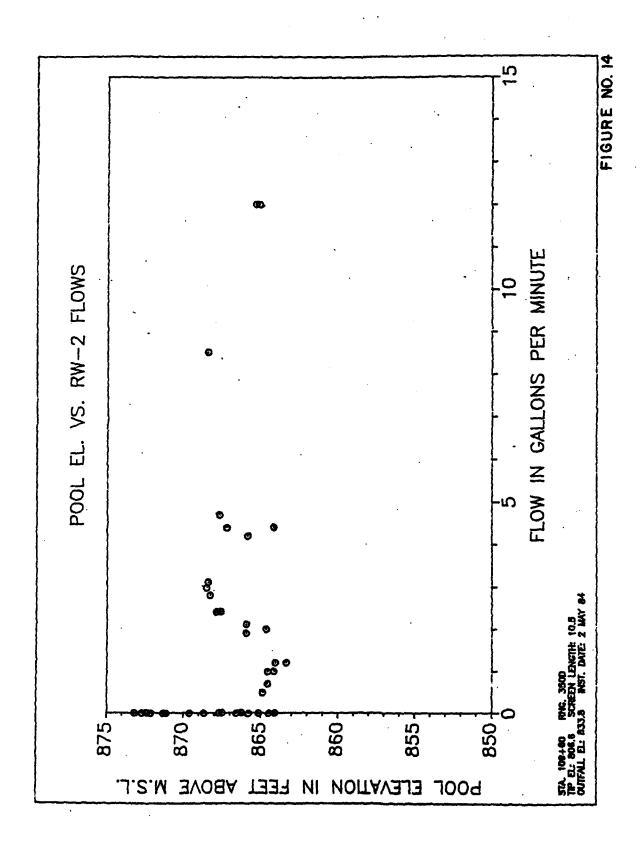


Figure No. 14

Figure No. 15

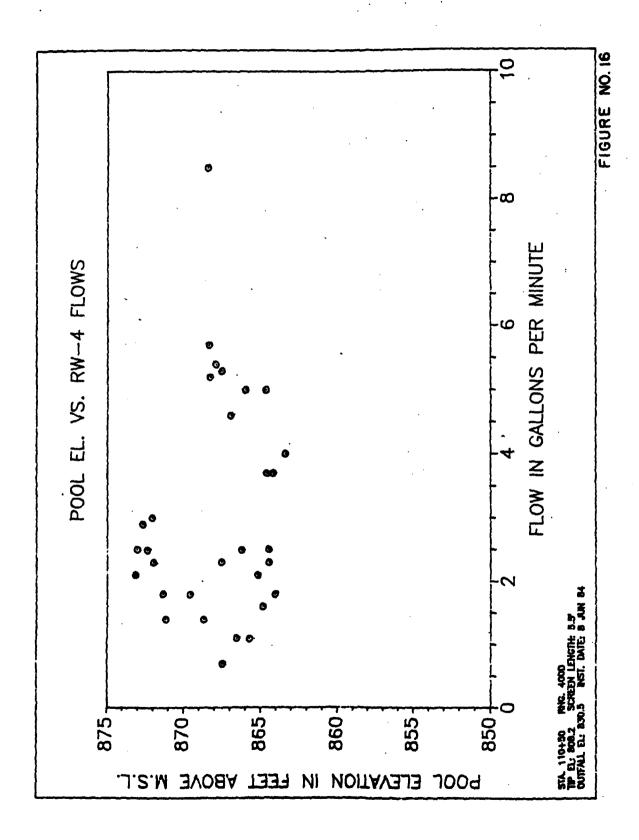


Figure No. 16

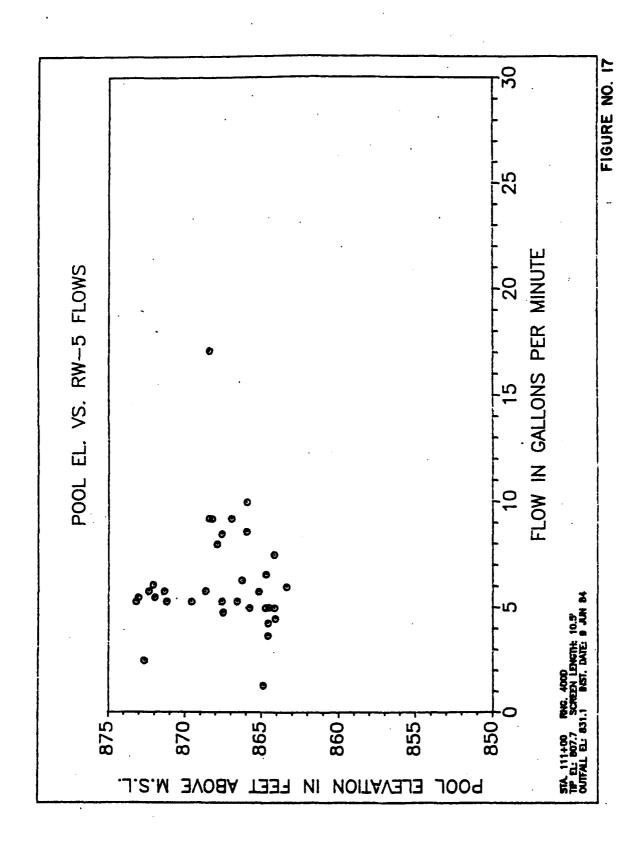


Figure No. 17

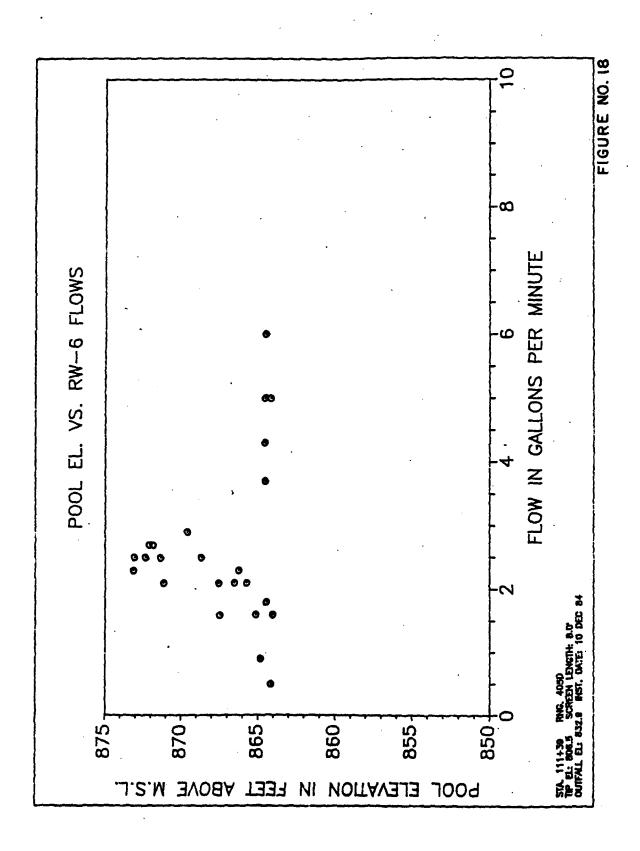


Figure No. 18

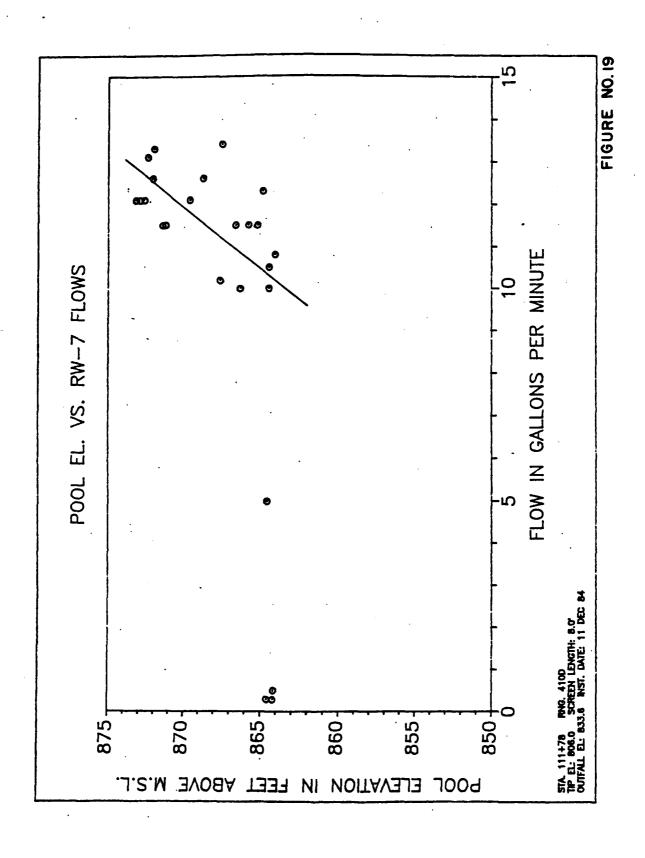


Figure No. 19

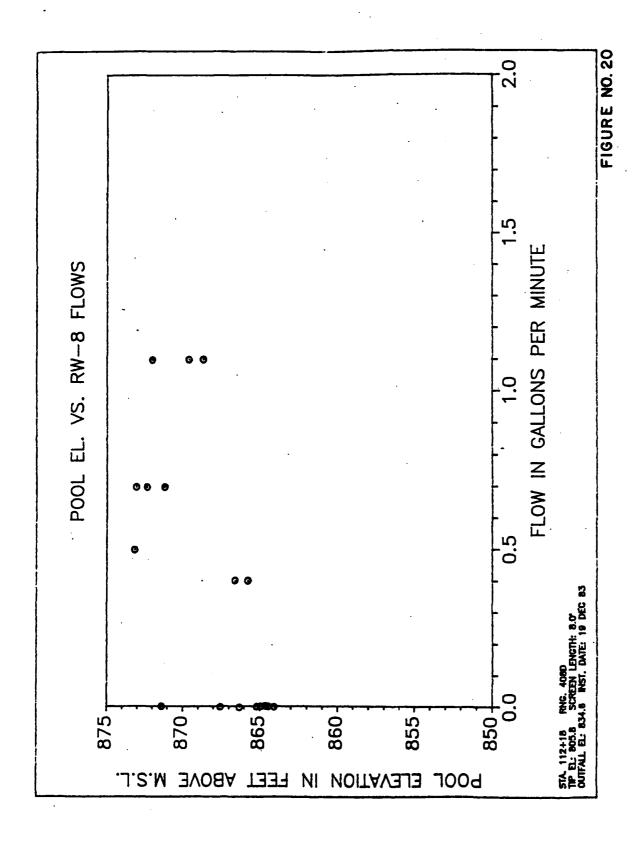


Figure No. 20

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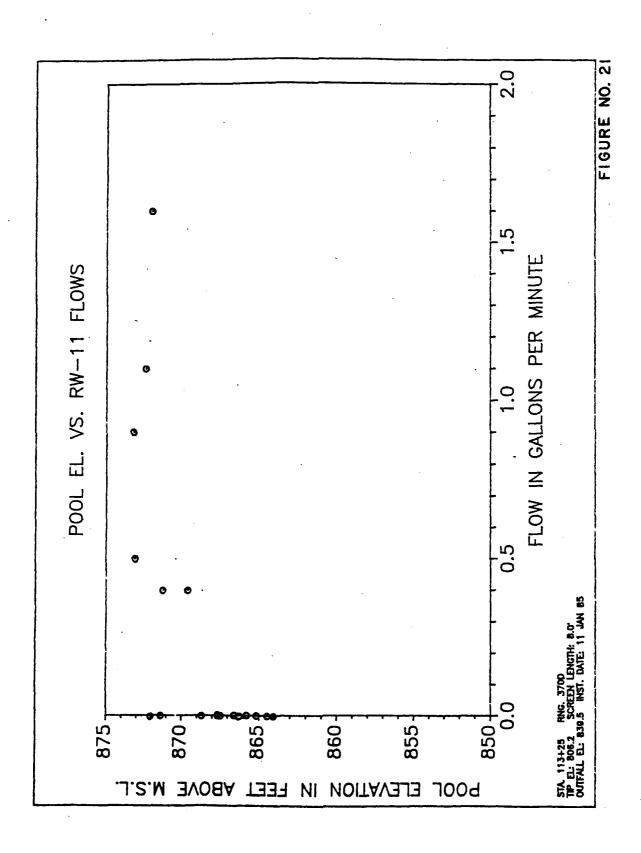


Figure No. 21

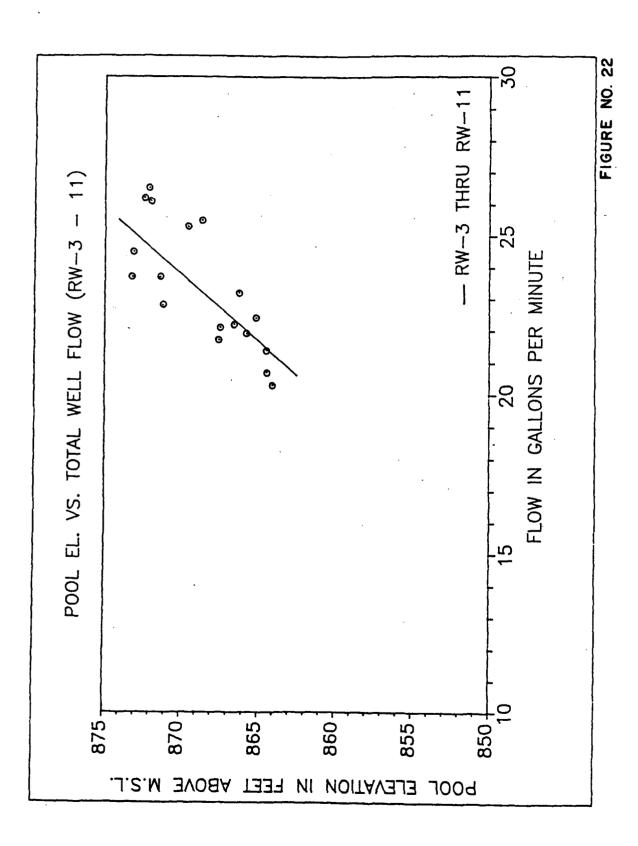
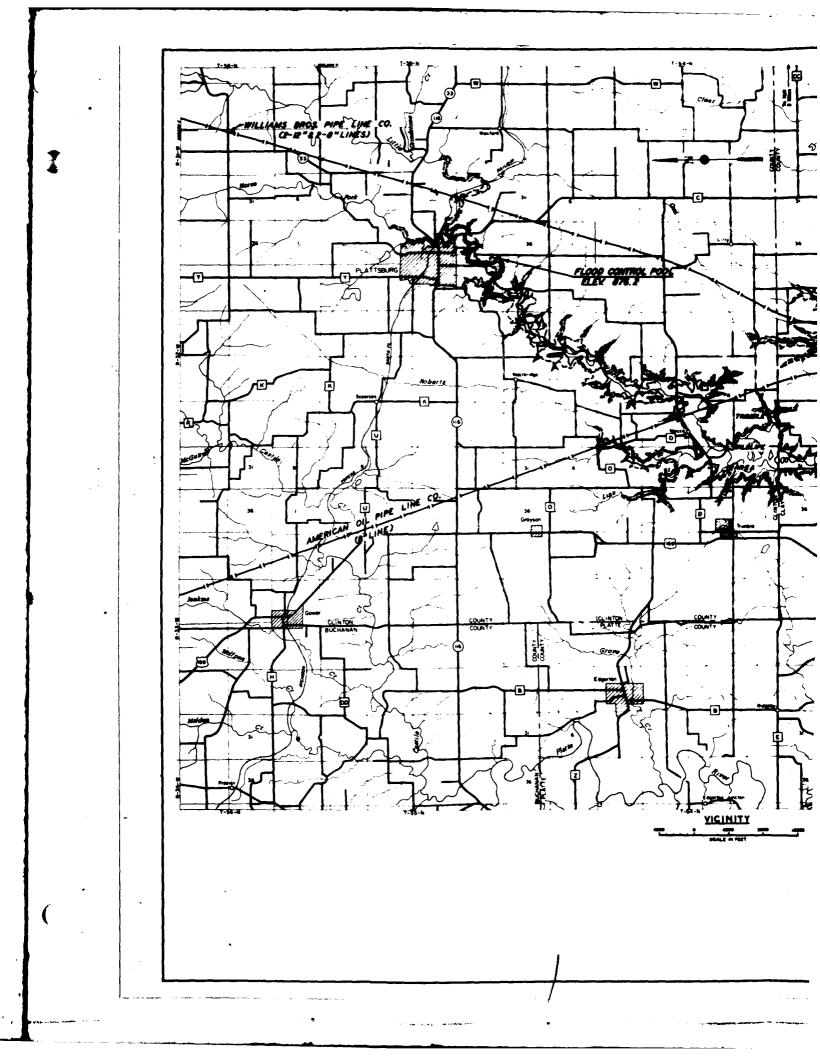
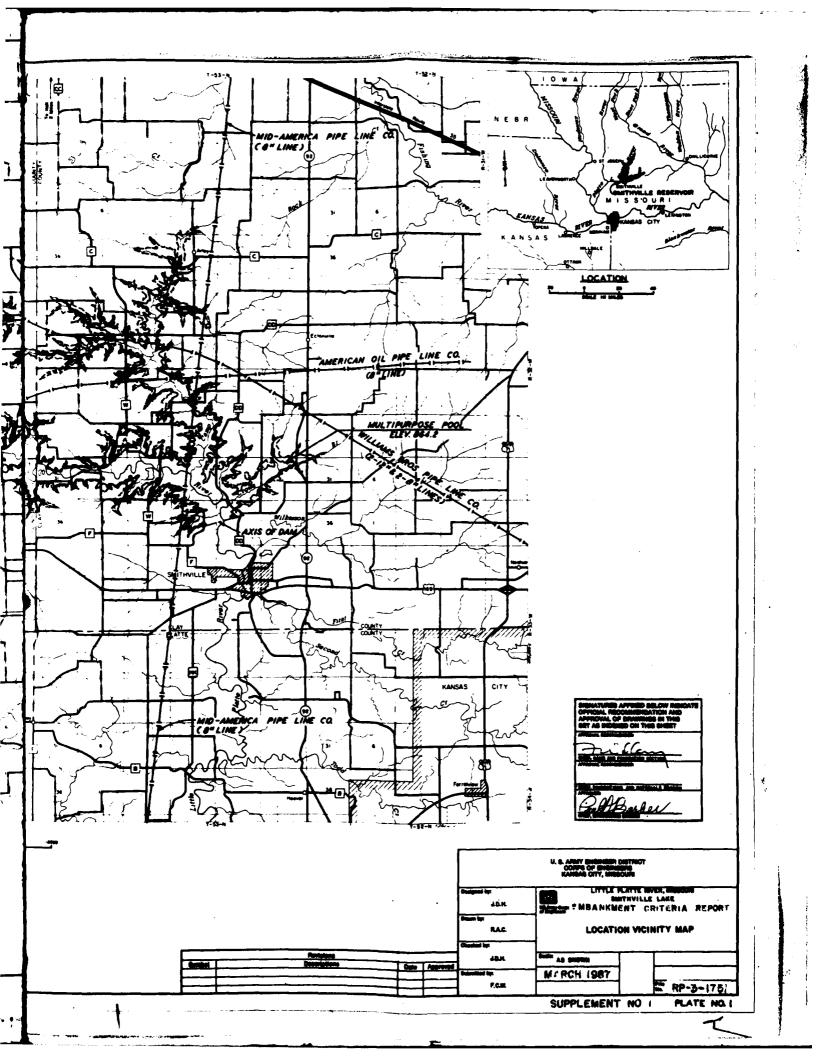


Figure No. 22

DRAWINGS

DRAWINGS

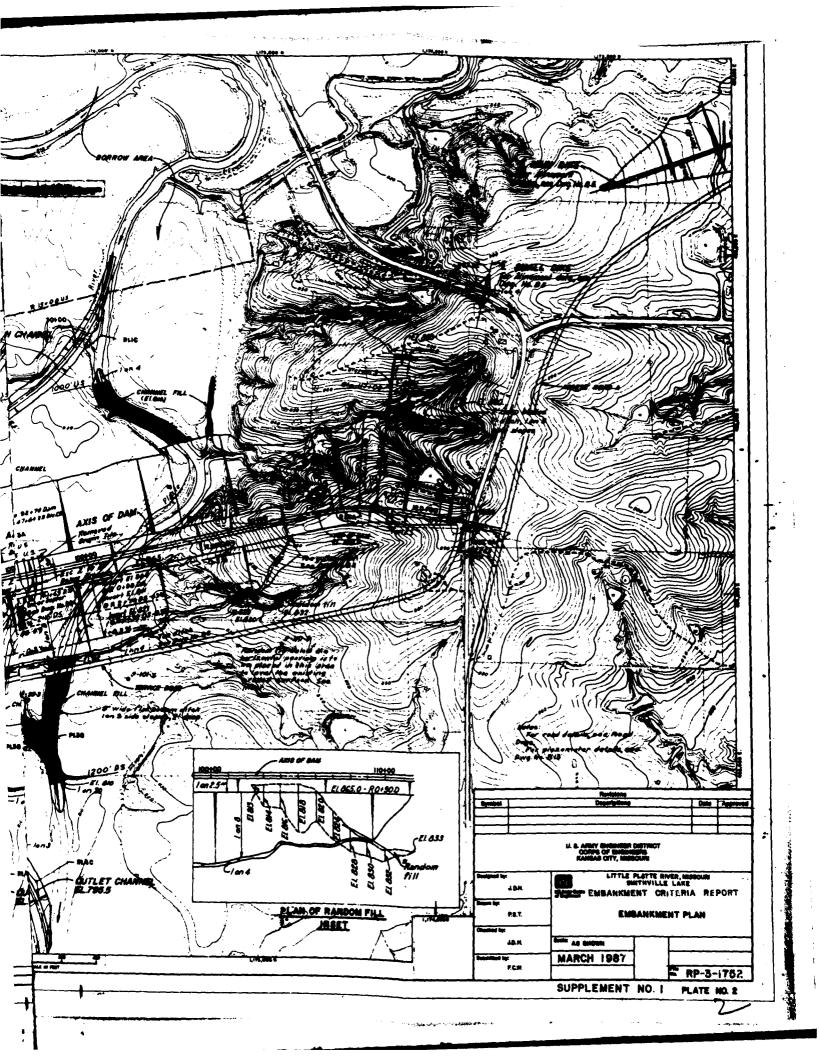


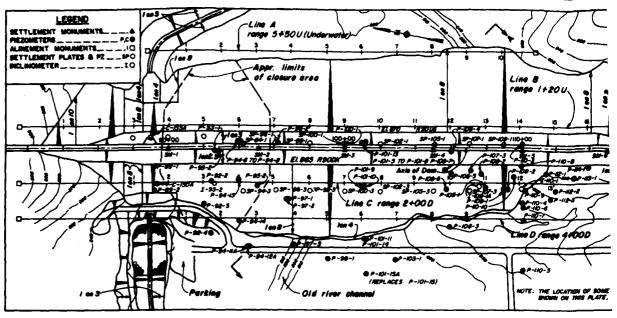


RI. BOT LATITUDE REPARTURE AZMIUTH DISTANC Station 30100 Outlet Works Chenne 20°A1 6'40' 151.54' 859.41' \$00.00 200.0 6 5729.56 400.00 11-90 900.00 NVERSION CHANNEL 80. 468.8" 145.92" 890.00" 42'80' ROLTE 1139.42 G5'11 121.67' 190.98' 216.67 P-GA.29 - Station 92 - 70 Dam Avid 341.97 310.00 2.30 1610.00 13.8.00 45.416 63'11 1020.79 264.37 931.03 520.00 1010.21

C

1





PLAN OF OBSERVATION DEVICES

ALI	WEMENT MON	IUMENTS								
MANGER	STATION	ORIGINAL TOP ELEVATION								
	T LINE "A" IS LOC	ATED 5+50 UB								
AND IS PERMANENTLY UNDERWAYER										
A-I	91+00	625.93								
A-2	93+00	822.10								
A-3	95+00 97+00	821.71 815.75								
A-5	\$8+00	820.06								
A-6	101+00	818.70								
A-7	103+00	016.30								
A-8	106+00	818.74								
A-9	107+00	816.32								
A-10	T LINE "S" IS LOC	615.79								
8-1	84+00	865.54								
9-2	86+00	881.40								
B-3	86+00	667.25								
8-4	90+00	867,22								
0-5	92+00	967.23								
0-6	94+00	867.23								
B-7	96+00	867.29 866.77								
8-0	100+00	868.70								
B-10	102+00	906.94								
8-11	104+00	867.06								
D-12	106+00	967.19								
8-43	106+00	967.28								
B-14	110+00	867.32								
9-15	112 +00	667.30								
0-16	114+00	967.32								
8-17 B-18	116+00	878.44 877.90								
0-10	120+00	972.50								
ALMENEN	T LIME "C" IN LOC	ATED 2+00 06								
C-1	00+00	853.44								
C-3	90+00	858.23								
C-3	92+00	952.03								
C-4	94+00	831.90								
C-5	96+00	952.18								
C-7	100+00	952.30								
C-9	102+00	992-33								
C-9	104+00	052.34								
C-10	106400	956.35								
C-11	108+00	852.30								
C-18	110+00	862.41								
C-13	112+00	056.49 ATED 4400 DB								
0-1	91+00	827.57								
0-8	93+00	627.80								
0-3	96+00	827.41								
0-4	97+00	927.36								
0-9	99+00	927-52								
0-6	101+00	927.37								
9-7	103+00	027.02								
0-0	105+00	NO PLATE								
0-6	107+00	827.15								

TRILATERATION SURVEY E "8" MONUMENTS AND ONE POINT ON THE UPSTRE

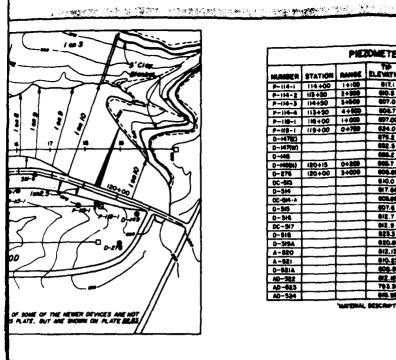
ALMEMENT LINE "8" MONUMENTS AND ONE POINT ON THE UPSTREAM EDGE OF THE INTIME TOWER ROOF ARE USED FOR THE TRILLITERATION SURVEY. 81 IS DESIGNATED A1, 82 IS DESIGNATED A2, ETC. BIG IS DESIGNATED AIB. THE TOWER IS DESIGNATED AS ARO.

	INCLINO	METER SCH	EDULE
NUMBER	STATION	RANGE	BOTTOM ELEVATION
I-95-2	92470	2+030	762.6
I-108-1A	108+03	2+34.50	782.9
I-110-1	110+00	2+400	781.1

CRE	ST SETTLE	EMENT MO	ONUMENTS
NUMBER	STATION	RANGE	ORIGINAL TOP ELEVATION
9M -1	90+00	0+ I4D	894.93
8M-2	95+00	0+HD	894.94
9M-3	100+00	0+140	994.9I
SM-4	105+00	0+140	894.94
\$M-5	110+00	0+140	895.00
3M - 6	115+00	0+140	894.95

NUMBER	STATION	RANGE	PLATE ELEVATION	ELEVATION
SP-94-1	94 420	0+200	813.67	895,01
SP-94-3	94+20	2+500	\$14,11	946.45
SP-96-I	96+00	0+200	013.54	004.A0
\$7-96-3	96+00	2 +500	013.54	945.62
9P-99-I	98+00	0+20U	013,27	997.01
SP-98-3	99+00	2+500	8/5.15	945.85
8P-100-1	100+00	0+20U	811.43	093.92
SP-100-3	100+00	2+500	813.67	847.86
8P-10E-1	102+00	0+20U	011.31	893.65
SP-102-3	108+00	2+500	011.24	844.62
8P-105-1	105+00	0+200	614.20	894.46
8P-108-3	105+00	2+500	616.50	847.83
SP-107-1	107+00	0+200	839.99	985.00
SP-107-3	107+00	2+500	022.30	847.08
SP-109-1	109+00	0+20U	941.91	894.73

		PIEZ	ZOI
NUMBER P-00-I	STATION	14000	
P-00-2	89+25 89+25	2+900	┝
C-190A	80+30-5	2+100	
P-92-2	92+50	2+000	
P-92-4	85+80	4+000 3+230	⊢
P-93-I	82+64	0+180	┢
P-98-8	92+75	0+130	
P-94-6	94+00	0+300	ᆮ
P-94-7 P-94-8	94+10	0+20U	┝
P-94-14	94+56	8+420	Н
P-84-12A	94+66	5+620	Ш
P-94-13	94+04	1+840	\vdash
P-94-14 P-96-2	94+00 95+05	44800 2+800	⊢
P-96-2	96+20.5	04800	┝╌
P-97-1	96+70	3+000	
P-97-2	96 160	3+000	
P-97-3	97+00	9+400 8+300	L
P-100-I	100+05	9+360 0+30V	┝
P-101-5	100+95	0+80U	1
P-101-6	100+95	0+300	
P-101-7	101+05	0+800	┡
P-101-8	100+95	0+300 2+200	-
P-101-10	101+05	2+800	┢
P-101-11	101+00	3+300	
P-101-13	101+00	0+890	\Box
P-101-14	101+10	9+200 7+220	⊢
P-103-1	103+00	94360	-
P-106-3	106+00	44600	
P-106-4	106+02	0+130	
P-106-5	106400	14500	├-
P-106-7	105+50	1+300	┢
P-107-8	107+00	1+360	
P-100-1	108+03	2+340	
P-108-1	107+60	1+900	! —
P-109-1	107+30	21400	┥
P-109-2	109+ID	2+400	I
P-110-3	110+00	0+800	
P-110-4	110+00	3+200	┡-
P-110-5	110+00	74000 84400	+-
P-110-7	110+00	44880	
P-110-0	110+00	14000	
P-110-0	110+10	2+400	
P-110-10 P-110-11	109447-2	H30.50	┥
P-162-1	1114.00	14300	1
P-48-8	112400	2490	
P-112-3	111+30	34000	Г
P-112-4 P-112-5	111+30	34 000 84000	١-
P-113-1	113+00	1+770	 -
P-113-2	112+75	34780	



		PIE	OMETER	SCHEDULE	(FOR DAM	1)	
MANDER	STATION	RANGE	ELEVATION	MATERIAL	ELEVATION	DATE	ELEVATION
P-114-1	114+00	1+100	817.1	SAMEN CLAY	000.05	10-2-74	866.1
P-114-2	113+50	2+900	810.5	CLY BRIVARIN	884.4	D-29-84	884-8
P-114-3	114+50	3+609	807.0	GRAY SAND	966-O	11-13-00	962.1
P-114-4	113450	4+800	804.7	GRAVEL.	861.0	11-7-04	949.9
P-110-1	1400	1+000	607.00	GRALA CTA BD	072.33	11-0-03	999.4
P-119-1	119+00	0+760	824.0	CLY SOY GRAVEL	990-97	10-3-74	977-0
D-147(E)			876.2	SIY CLY SO	902-11	4-12-71	900.3
D-147(W)			632.5	SIY GRVY SO	902.11	4-12-71	990.3
0-146			2.000	LEAN GLABOULT	996-4	4-15-71	002.0
D-148(N)	180+15	0+200	865.7	CLY ONV	006.30	4-0-71	002.4
0-276	120+00	3+000	000.06	SIY LEAN OL	000.44	6-8-74	987.7
DC-5/3			610.0	CL SOY ORY	084.73	9-21-65	001.0
D-514			017.66	CLY SMO	046.03	10-13-03	940.90
OC-644-A			800.06	CLY GRYY SD	946.Oi	10-14-86	941.34
0-545			607.6	STY SETY SD	879-87	9-20-03	077.1
D-514			812.7	BIY GRY SD	996.57	10-3-63	002.9
DC-547			812.9	SITY ONLY SO	993-99	10-7-83	860.0
D-518			823.3	SOY CLY GRY	999.99	10 - 20-63	004-3
D-5/9A			820.65	MOY LEAN CL	942.4	4-3-04	939-96
A-820			912,13	88Y CL	831-56	2-0-04	828.63
A-521			810.85	\$17 80	684-30	2-10-94	630.25
D-821A			604-89	SIY SMID	933-26	4-3-04	629.99
A0-522			812.00	CLY SAND	654.30	5-20-04	894-00
40-623			793.35	SOY CLY	821-86	3-27-04	821.55
AD-524			9/0.90	LEAN CLAY	827-31	3-29-04	624-99

MATERIAL.	BESCRIPTION	FROM I	PIELD VIBUAL	CLASSIFICATION

PIEZ	COMETER	SCHEDULE	(FOR DAM)	
300	ELEVIET ION	MATERIAL	TOP	DATE	ELEVATION
000	805.7	9440 GRAIN	800.20	9-80-76	964.3
500	797.1	SAME DRAIN	949.44	9-29-76	945-5
8	790 3	CHANGE SHALE	852+00	9-0-76	949.7 850.4
8	775.0		844.13		
400	766.7	WEA SHALE	819.37	10-22-76	817.7
230	791.41	847 66V SD	639.25	11-30-85	836.4
150	789.58	\$0	990.42	12-6-03	865.1
:50	629.23	99Y CL.	999-29	12-2-09	004.7
302	801.6	LEAN CLAY	901-94 	4-80-74	807.3
20u	789.7	CLY CONT SHIP	894.01	4-80-74	891,5
420	775.5 804.0	SILTY CLAY	001-06 010-20	4-19-74 9-19-75	813.5
820	791.0	LEAN CLAY	949 . 27	8-19-76	014.3
8 0	787-51	CLY SOY ON	986-63	10-28-05	063.0
e00	784 7	STY ORY SD	994.44	11-1-6	823.7
200	791-0	LEAN CLW	860-20	3-36-76	949-2
	183.0	BO-LEAN GAY	994.35	3-10-75	860.7
	790-8	SAMEN CLAN	841.DI	3-11-75	656.4
	700.8	LEAN CLAY	940.76	3-7-75	600-3
900	707.4	CLANEY MLT	917.54	8-10-81	013.4
200	796.5	CLARTY SALT	945.60	9-30-0	810.3
20U	746.1	WA PALE	999-94	4-1-75	001-5
20	925.3	THE-LEAN CLAY	998-30	10-6-74	990-1
3	790.0	LEAN CLAY	861-02	4-10-74	007.1
100 v	766.2	SAME CONFL	994.97	4-17-74	991.5
SOU	790-1	WEA SHALE	891-63	4-19-74	987.1
500	790.0	LEM CLAY	63 1.72	1	949.5
200	790.2	LEM CLM	00, 10	8-27-78	948-9
200	798.4	LEAN CLAY	99-96	3-9-74	915-0
234	736.1	WEA SHALE	996-89	4-12-74	999.5
200	776.2	STANDS SHAFT	889.17	8-9-74	018.4
730 300		THE VENT	91.05	1-19-11	
300	794.0	'UAN QAY	200-04	1-10-41	94.0
130	909.9 907.87	SIV GRV SD	997.00	12-9-65	604.9
900	606.7	CLAY WYON	600.04	9-17-04	967.4
400	0.300	997 97 OL	848.7	5-20-04	946-4
300	796.0	CHARLETTE BI	999.87	3-31-44	660.0
340	909,7	97 Q.F	99.36	5-19-04	980.8
340	801.4	MARCHE COR SH	990-75	4-20-01	947.4
8	910-2	MY CL	600,73	3-19-04	667.7
200	911-5			11-0-04	88.3
400	B09.9	2017 CL	80-07	3-4-84	945.9
400	700.0	CHAPMINE SH	99.49	9-19-94	945.4
50A	813.4	CLY MY COM	606.16	1-7-74	888.0
200	913.3	BASTY GLAY	648.10	20-2-74	
200	1020	MARKY G.AV	100.41	9-9-79	
***	900-1	201 C. 401	048-1	9-10-0	-
630	909.5	CL GRV	6844	11-22-43	498.4
200	91.00	0.7 07 00	998-99	H-17-	200
400 0.50	100-11	1 9994			111.79
	900-00	W C P P	- M:W	9-3-99	
200	1074	37 57	-	H-20-05	- 111 6 -
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000	900.79	ON LA DE STAN		***	
930	011.7		949.10	Halada	9440
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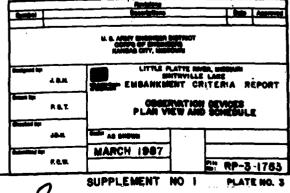
		HEZOM	ETER SCH	EDULE (FOR	MAIN DI	KE)	
HUMBER	ST/FION	RANGE	ELEVETION	MATERIAL	TOP	DATE	ELEVISTION
P-18-1	10+00	(+400	694.5	CLY SIY SO	862.57	11-10-04	869.1
P-20-1	20+00	2+00U	940.0	SMOY CLAY	881.05	8-21-76	875.0
P-20-2	19-106	0+104	840-1	SANCEY CLAY	987.96	9-27-76	995.0
P-20-3	20+06	0+10U	GEL7	MLAND CHEEK SH	807.90	9-2-75	905-0
P-80-4	20100	2+60	835-0	SAMOY CLAY	963.30	0-21-75	900A
P-22-1	22100	0+000	629.6	CLY SO	870-99	11-21-04	967.9
P-22-2	22+00	2+000	8284	SOY ST/GLY SO	960-96	11-15-04	954.7

"MATERIAL DESCRIPTION FROM PIELD PERMEABILITY TESTS

	RELIEF WELL INSTALLATION SCHEDULE RW-I THRU RW-13												
WELL	S 131710N	RANGE	-		APPROX.	SCHEEN ENSTH (FT.)	PACK (FT)	CENTURE BALL SEAL (FT)	ORCUT MCSPLL (FT)		r fi	245	DATE
$\overline{}$	109+04	34470	300	802.6	804.8	10-0	14.7	340	10-3	17.4	933.9	624.8	1-1-14
2	100+00	3+000	300	704.6	808.4	ID.5	23.5	0.0	15.5	19.9	887.07	4	5-2-04
3	1101-00	44000	\$4.0	8.908	805-3	8.0	16.4	1-0	12.8	14.7	006.3	680.5	12-6-84
1	110480	44000	10.1	903.9	606.2	5.5	16.3	3.7	10.1	16.6	936.5	60.4	9-9-94
-	111+00	44000	299	805.1	607.7	10.5	17.7	2.7	9.3	12.9	8446	8314	9-9-94
•	111+30	44000	22.0	8015	8G4.5	8.0	17.0	2.0	(3.0	17-0	837.05	#36.9	12-10-04
7	111+78	44100	160	801.6	806.5	8.0	17.9	2.2	14.9	19.4	999.04	1	12-11-04
•	112+16	44000	340	801.6	605.I	8.0	17.0	2.2	16.6	30,6	000.33	6944	12-9-04
—	112+56	34900	700	002.3	804.8	8.0	18.2	2.0	804	23.6	944-00	667.8	12-17-04
10	112400	3+780	40.0	801-3	807.2	0.0	19.5	2.1	18-4	22.4	842.79		
- 11	113+25	3+700		800.4	806-2	80	800	8.1	10.0	84.4	945-89	649.5	H-10-00
12	107+15	H300	88-0	804.5	100.7 100.4	90	20	20	18.4	11.2	\$86.70	*	1-22-65
13	108400	H900	56.5	802.5	808.4	90	12.0	8.0	1840	20.9	****	*	1-25-6

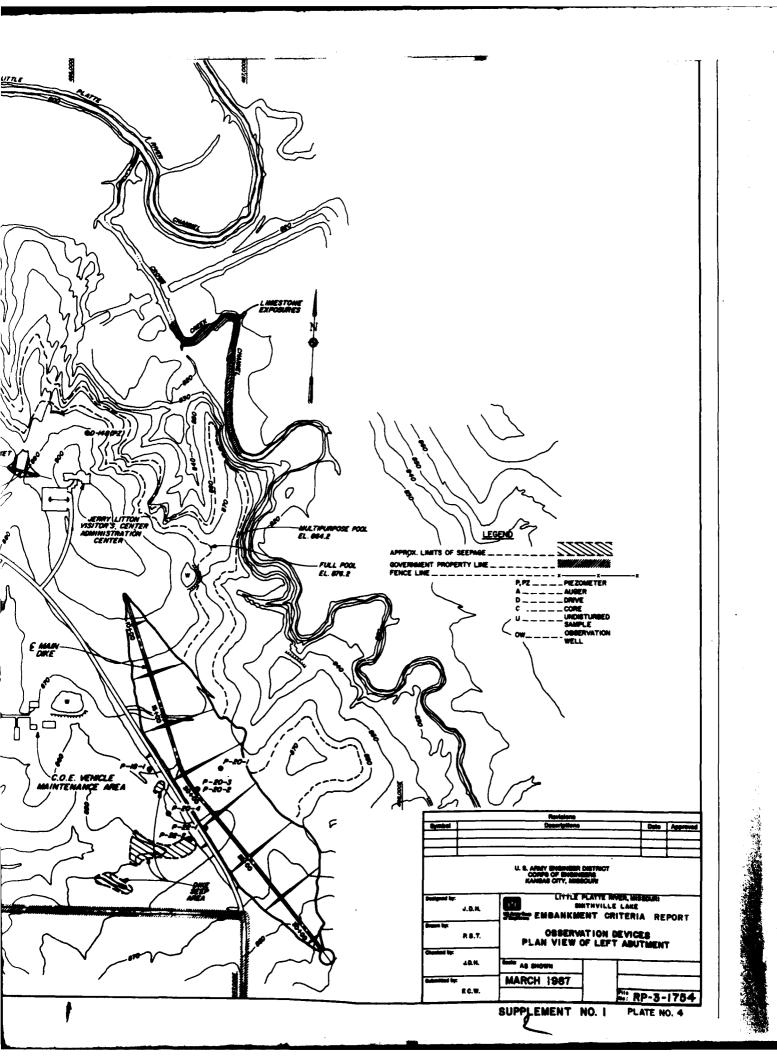
WHERE TWO VALUES ARE SHEEL THE ONE BELOW THE LINE IS PLACED LOWER IN THE RELIEF MELLS IS AND IS SUSPENDED BATO SAMD SLAMKET

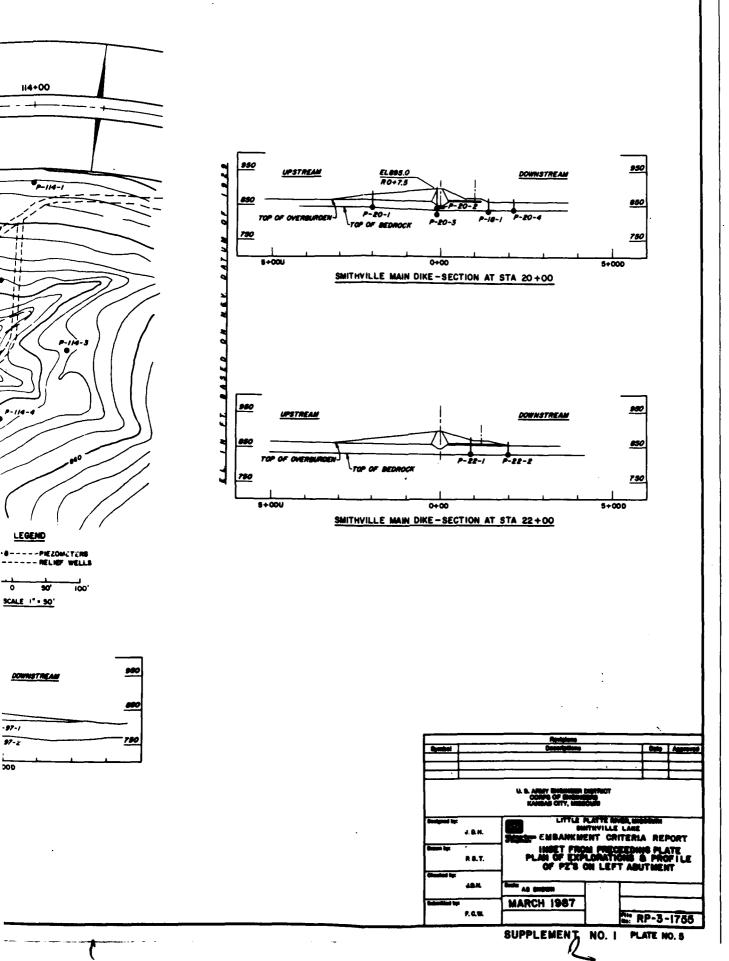
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ŀ			F	UMPED	WELL IN	ISTALL	ATION	SCHED	ULE		
WEST.	BTAT ION	MANGE	ATTEN OF THE	45776E 16.186	SCHEEN OF METT BOLLOW	74	THE STATE	PAT STATE	ST)	1	
	109+70	14070	64.5	798.7	\$-809	10-0	24.5	0.0	40.0	91.5	884.85 4-30-84
3	115+00	14086	62.4	90rs	806.6	21.0	28.2	2.0	360	20.0	000.00 S-0-04
4	1084174	14000	44.8	654.2	61.3	70	19.1	2.0	273	20.1	[EV40] 2-22-6Y
	_										



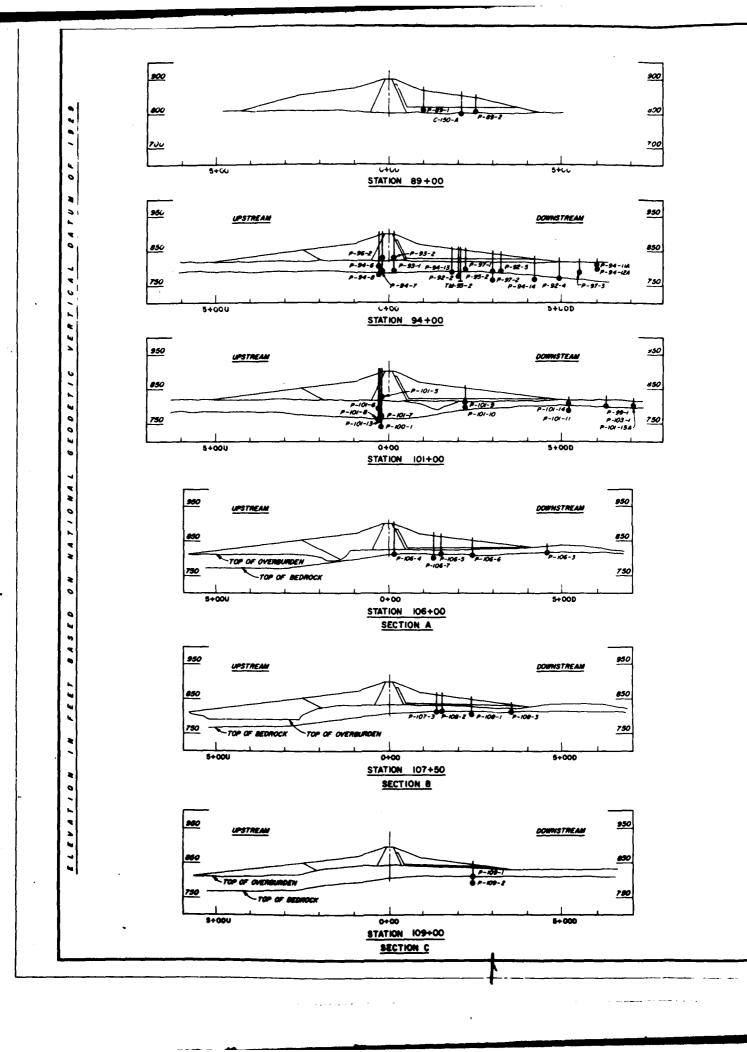
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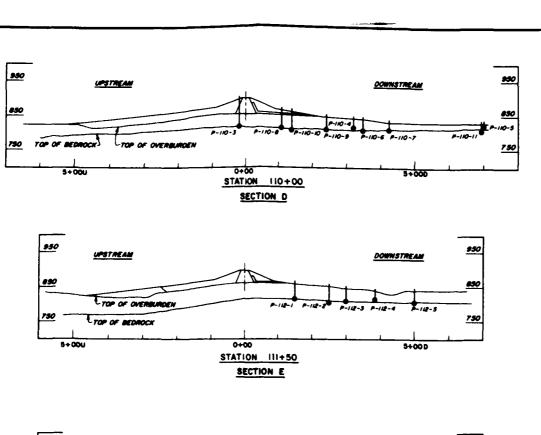
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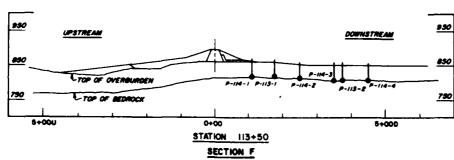


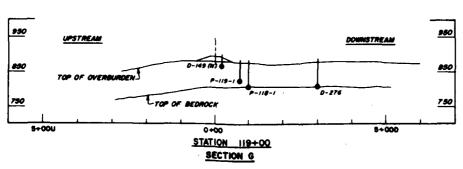


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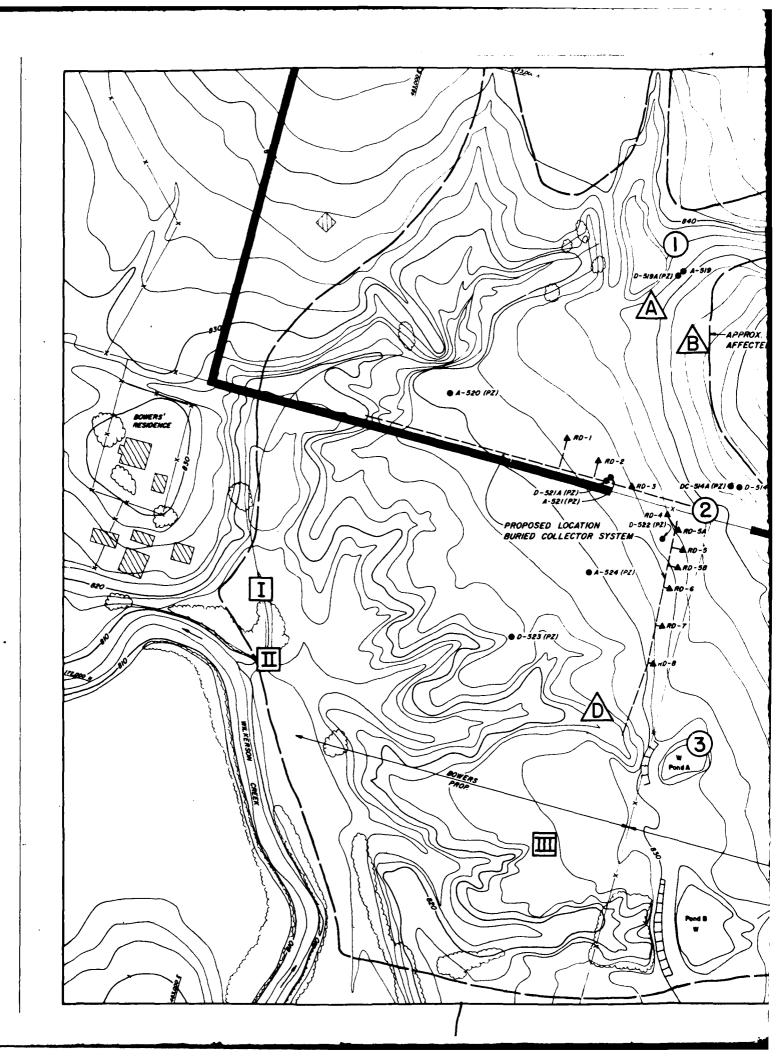


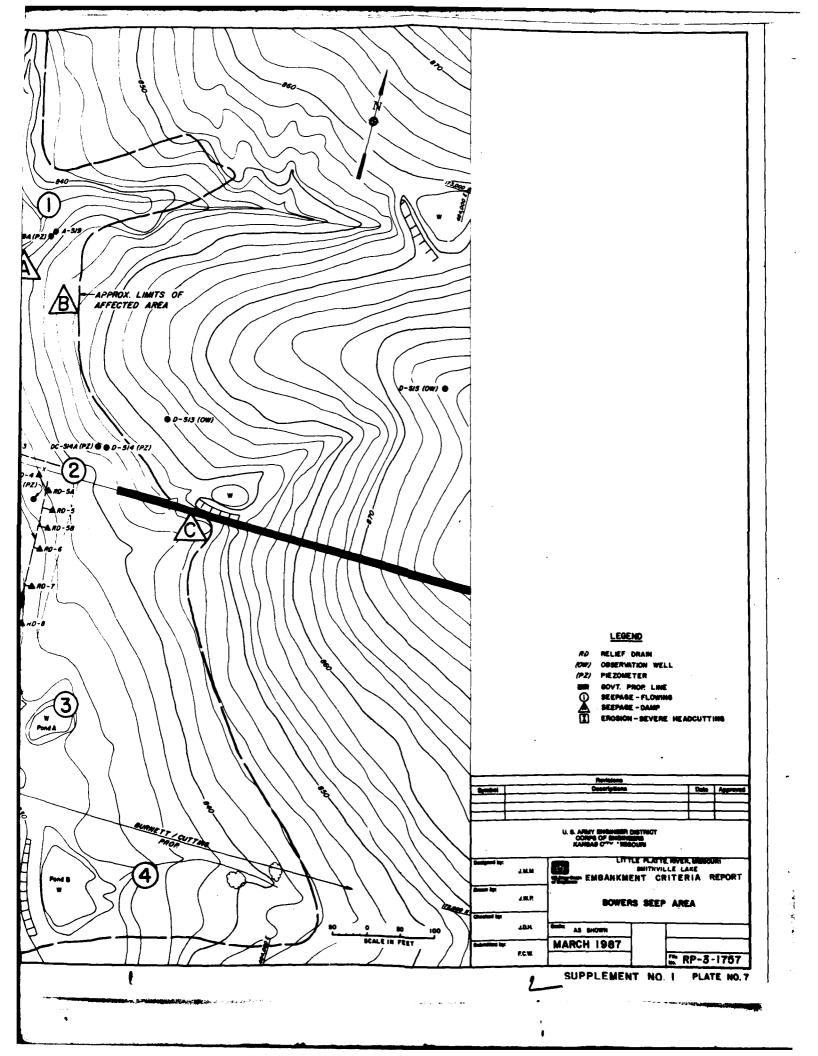


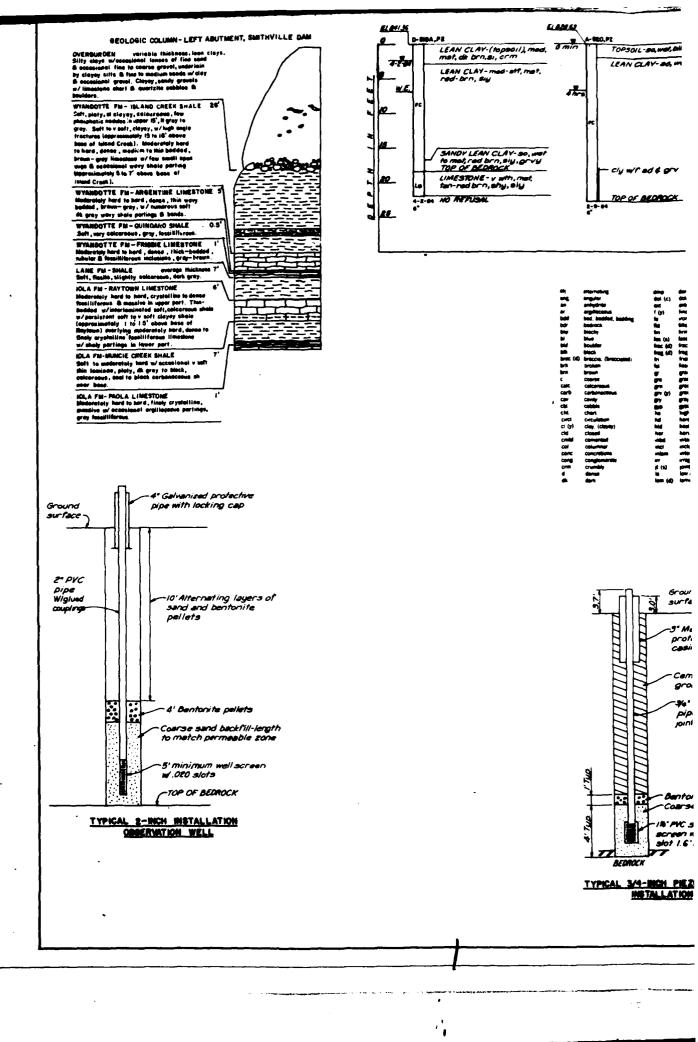


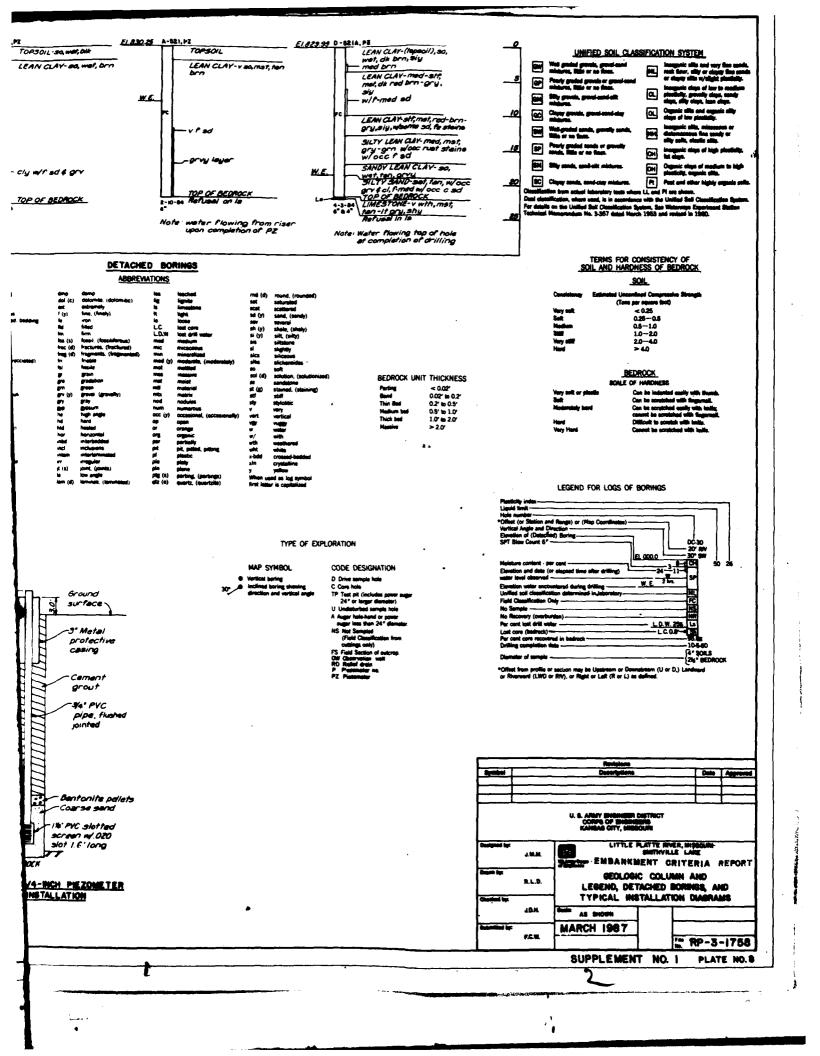
NOTE: L CROSS SECTIONS SHOWING LOCATION OF P.Z.'S AT TO STATIONS ACROSS THE DAM. LOCATION SECTIONS A THROUGH & SHOWN ON PLAYE NO. 4.
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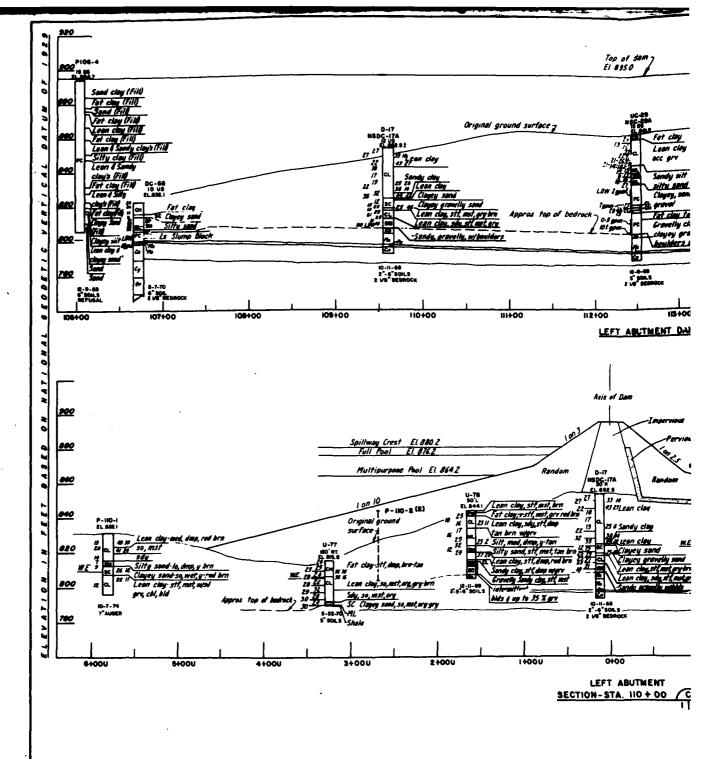
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		U. S. APRIY SHEMSER CORPS OF SHEMS KANSAS CITY, MISS	DISTRICT ISSNS IGURE		
halpad ly:	J.B.H.	LITTLE PLATTE AVER, MESURI MATHVILLE LAKE MATHVILLE LAKE MATHVILLE LAKE MATHVILLE LAKE			
	R 8.T.	INSTRUMENTATION CROSS SECTIONS			
بهة أحبنهم		ጚ			
	J.S.H.	State AS SHOWN			
-		MARCH 1987	1		
	F. C.W.			MRP-8	-1756
	•	SUPPLEMENT N	0 1	PLATE	W 4





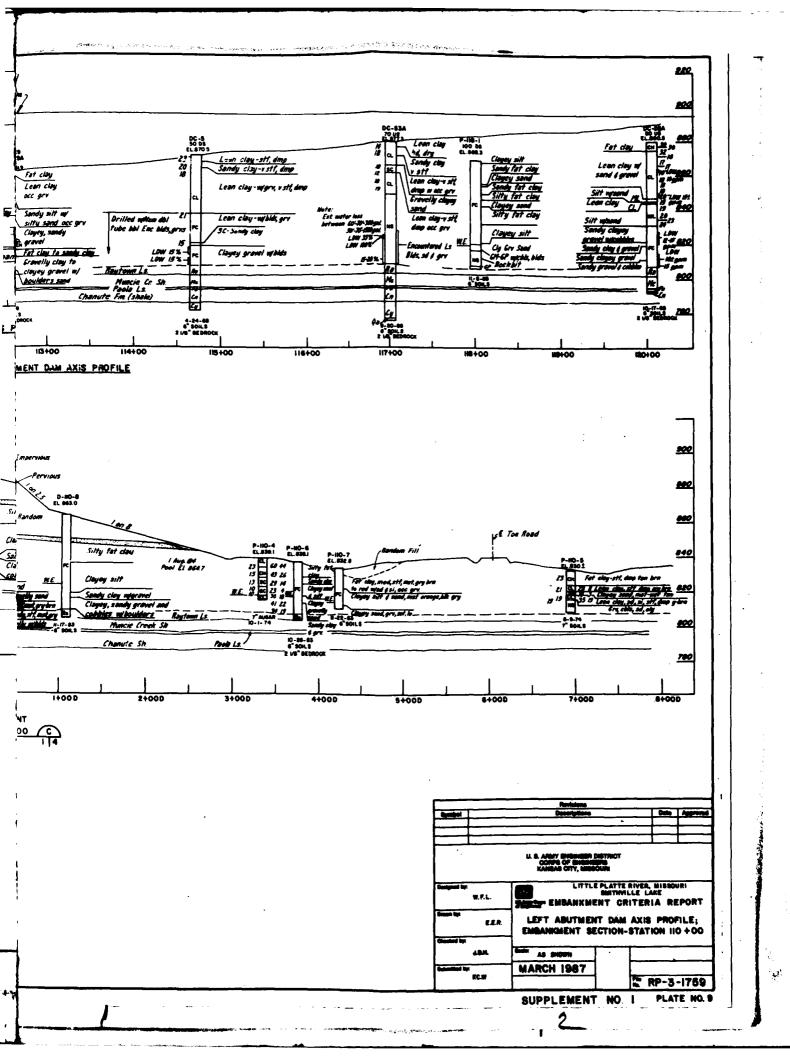


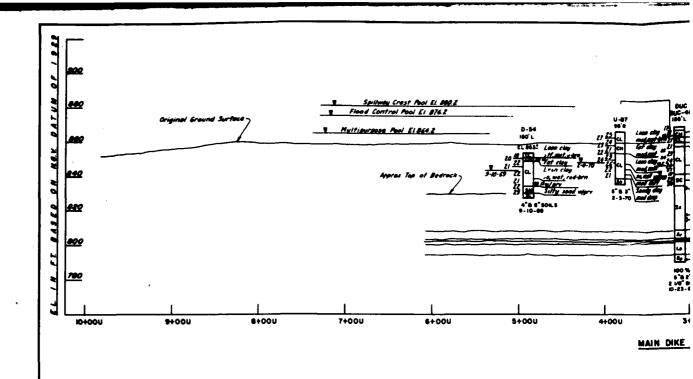


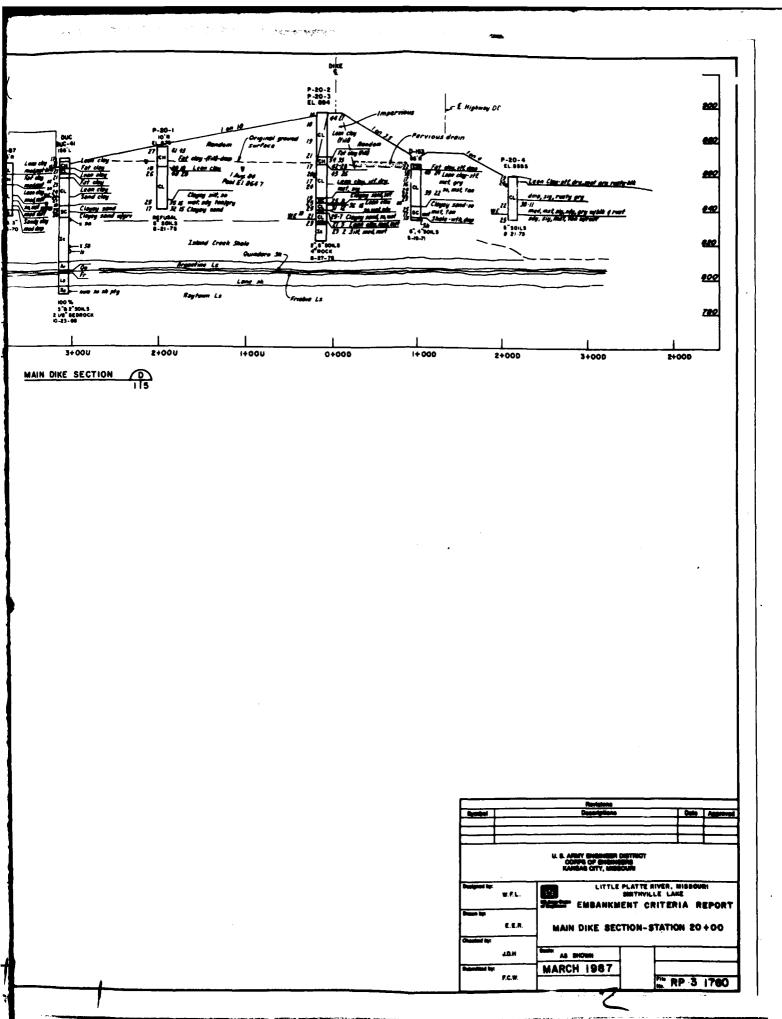


lote: I. Piezometric surface shown is measured in the persious basel layer of the overburden. 2. Strip leg of P-110-2 is ahown on Plate No. 12.

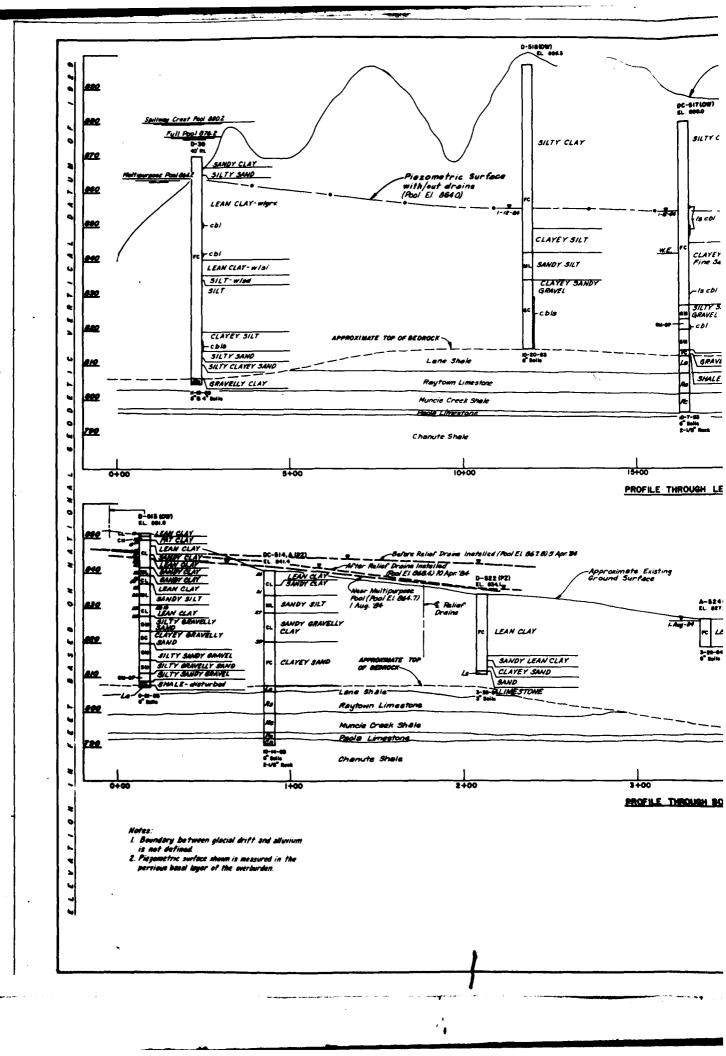
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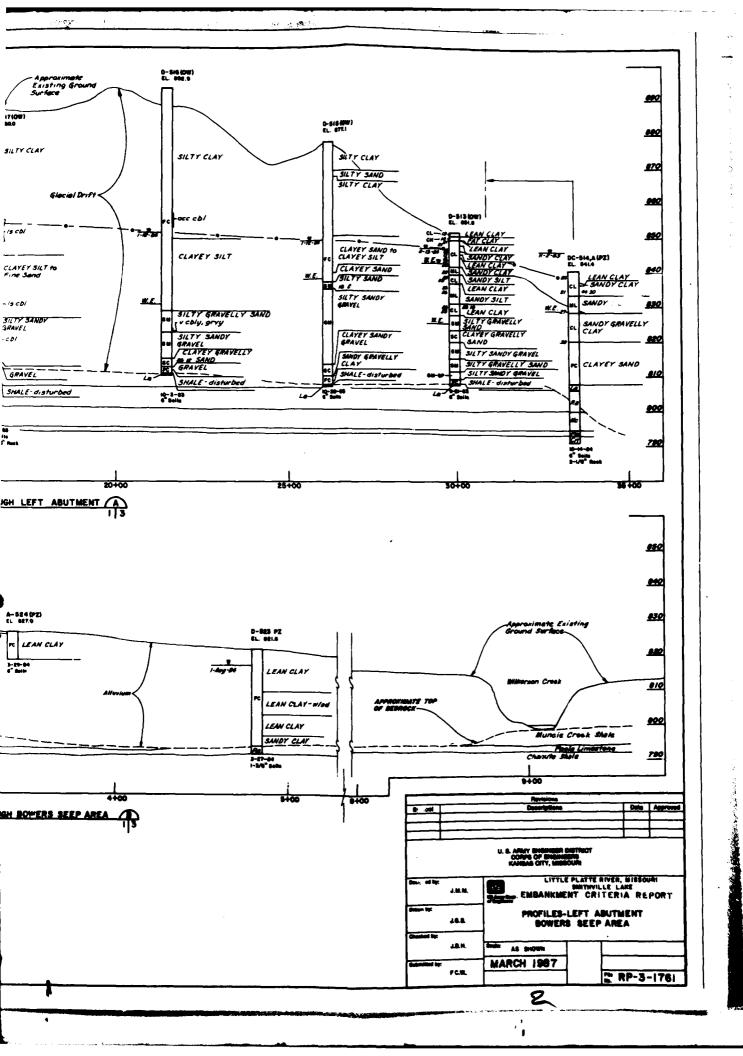


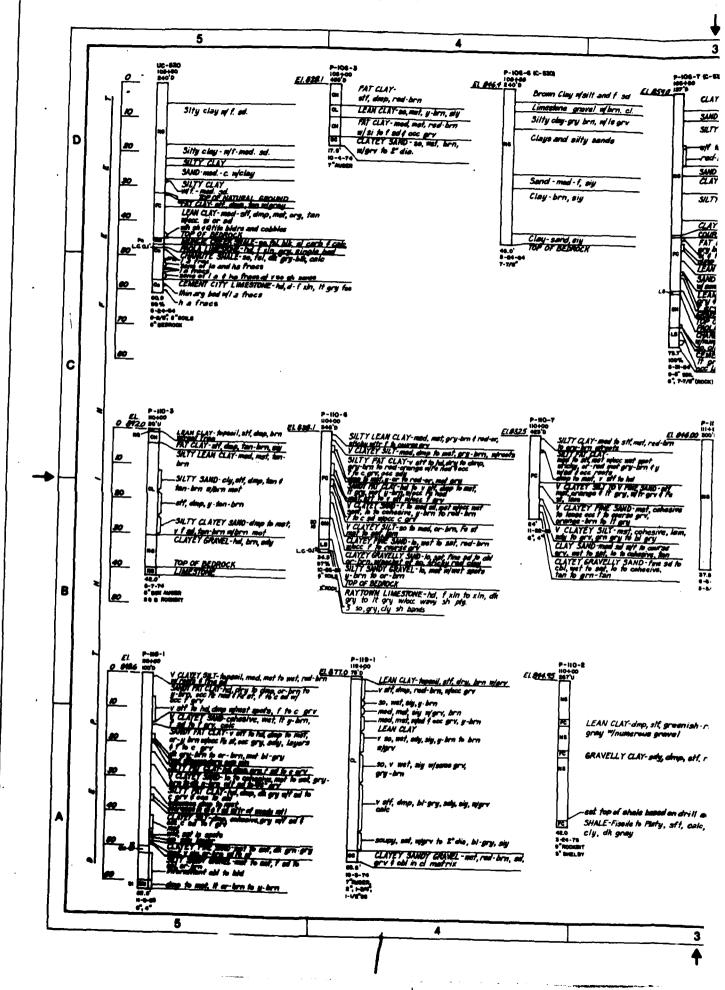


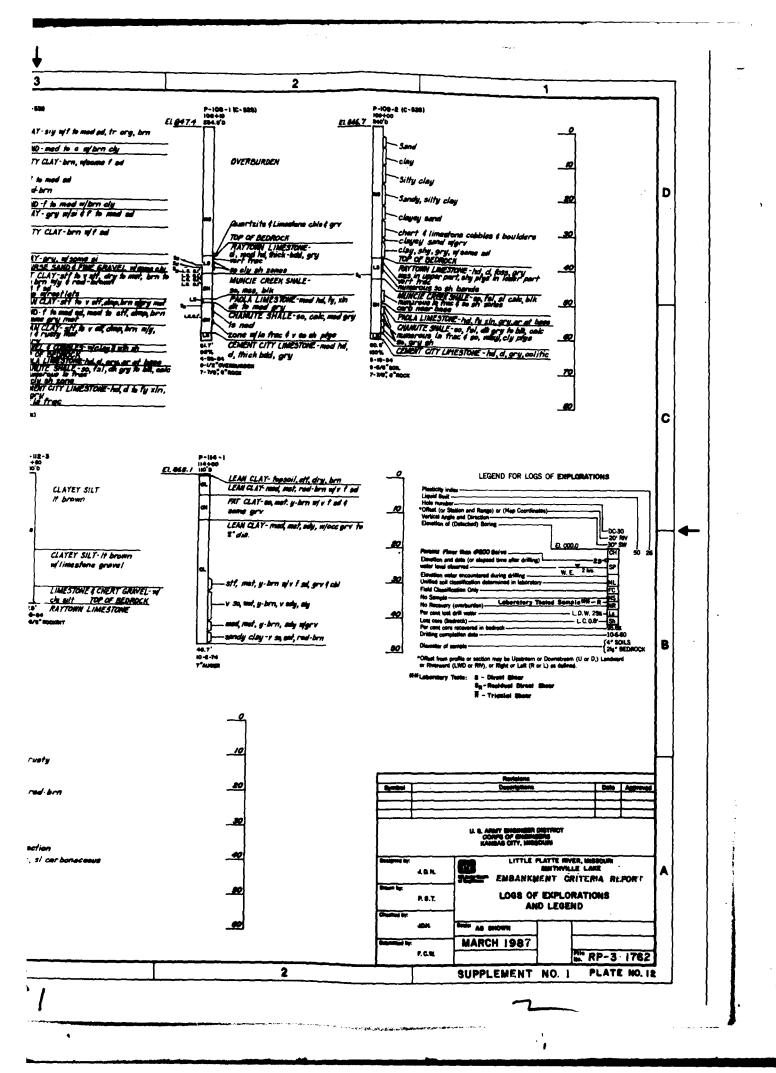


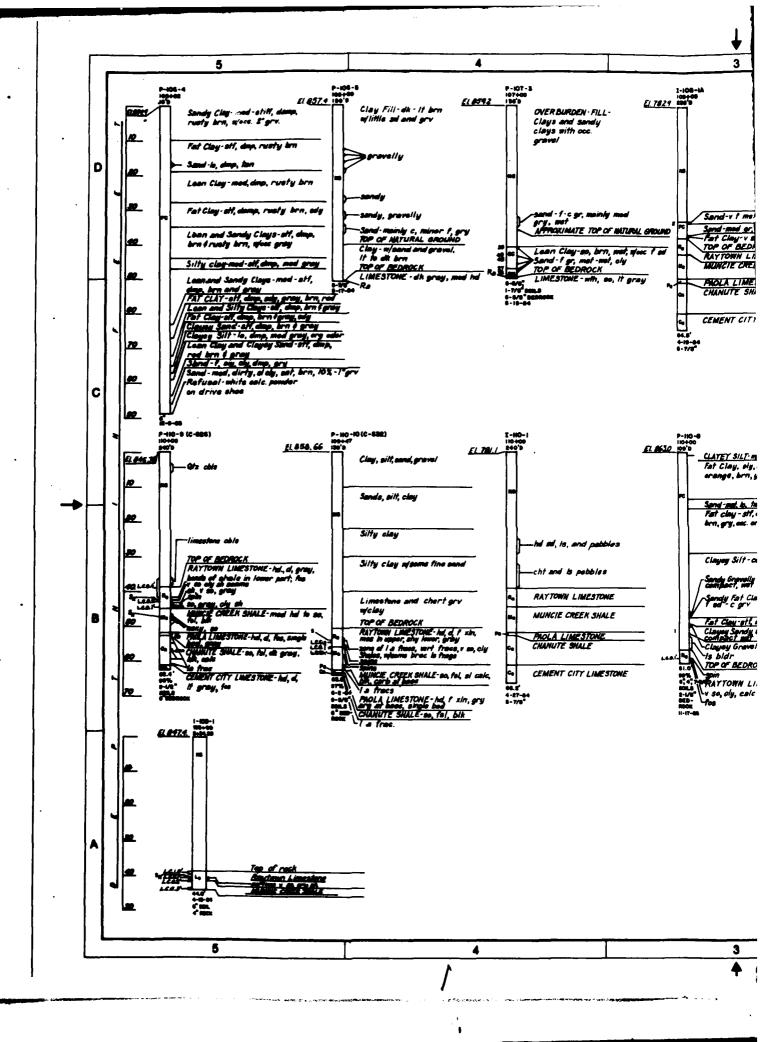
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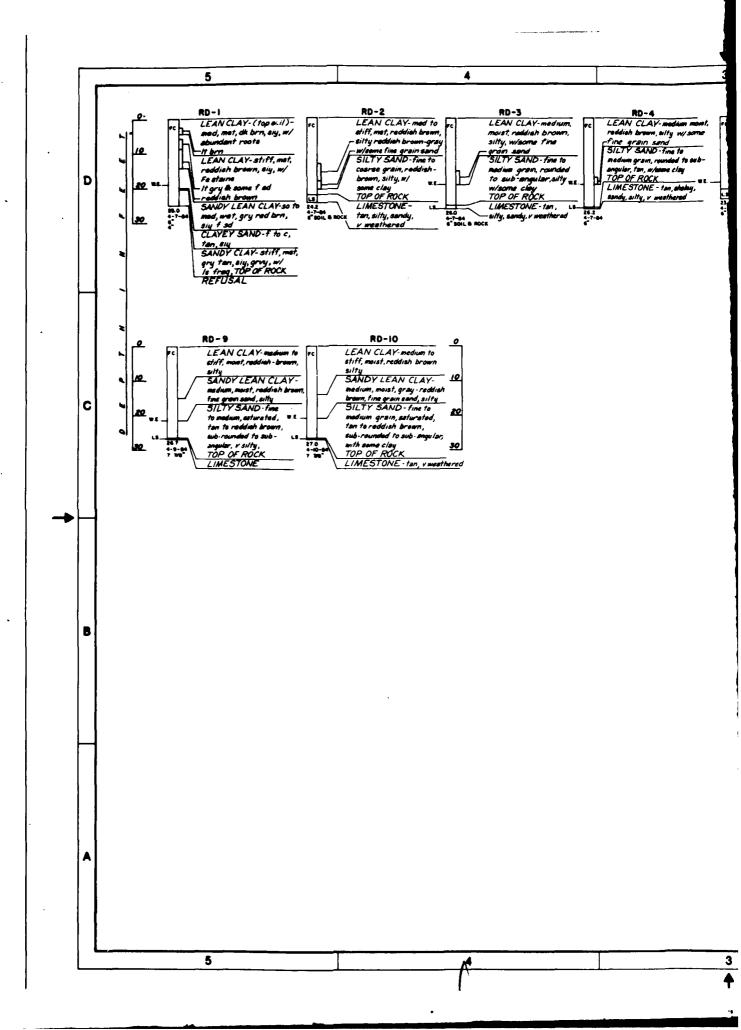


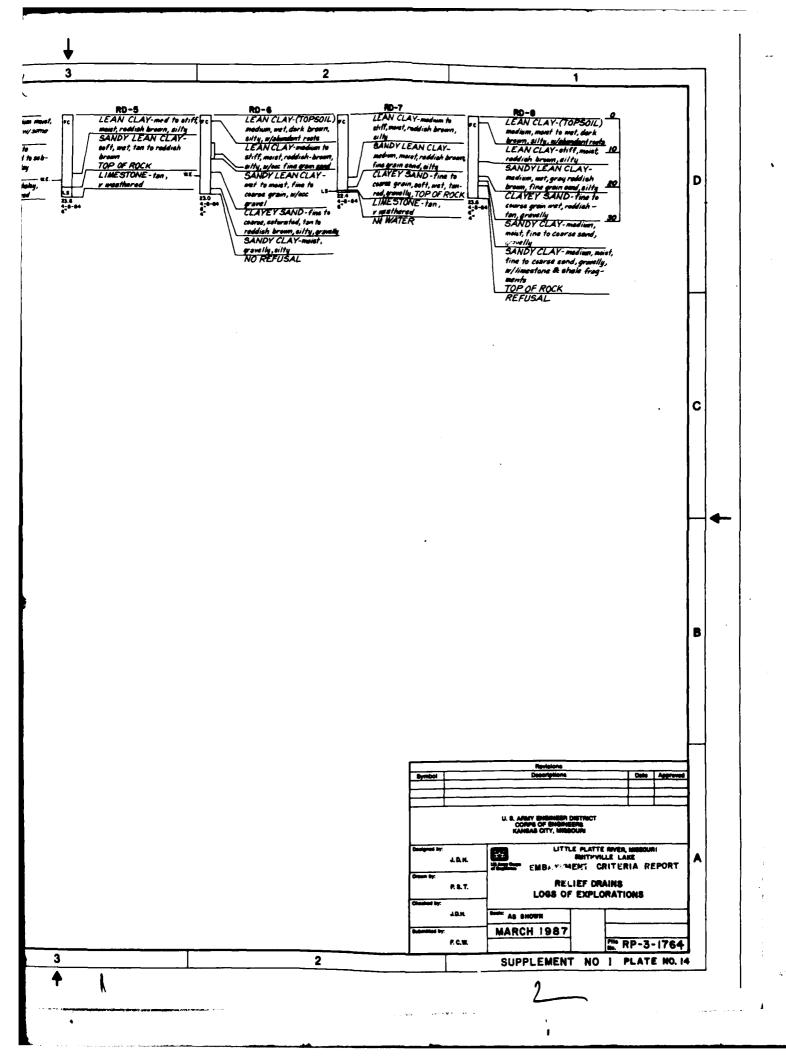


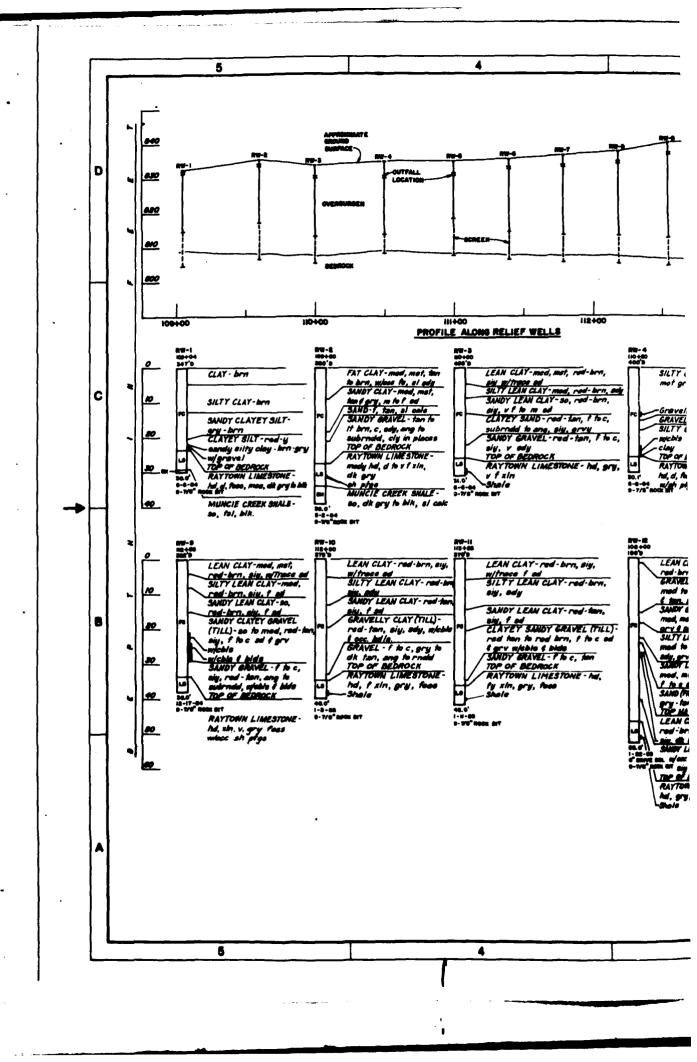


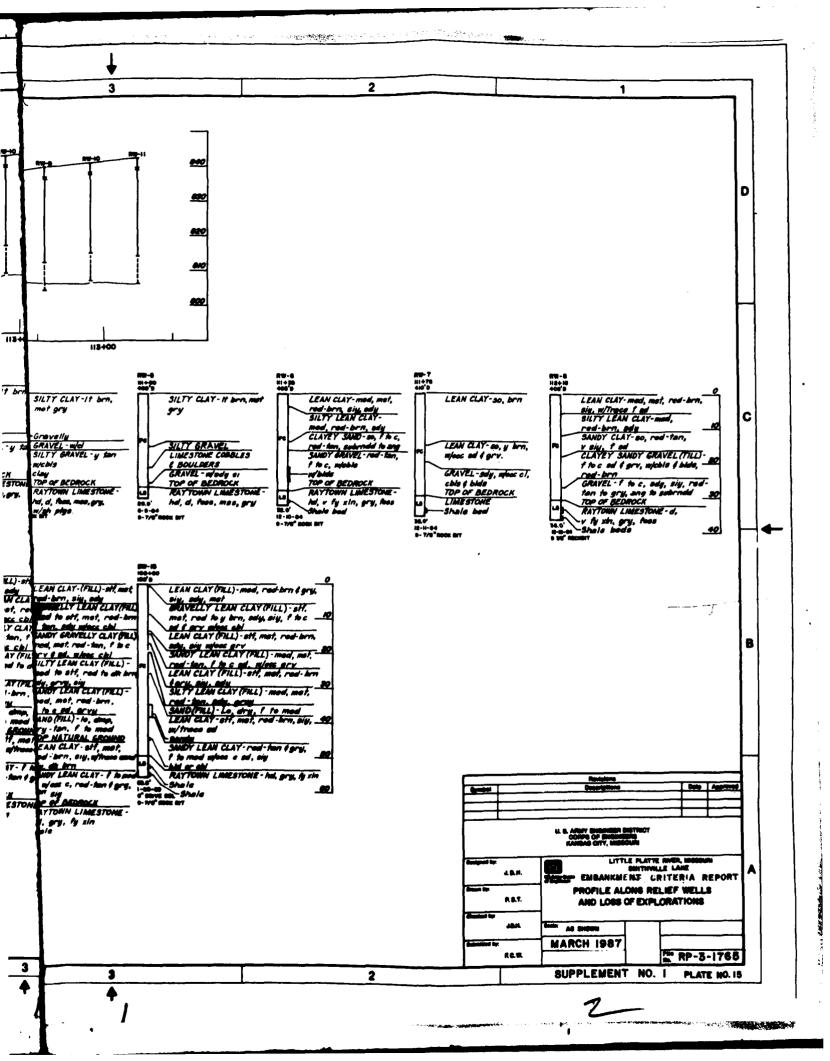


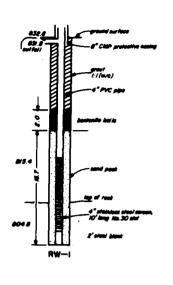
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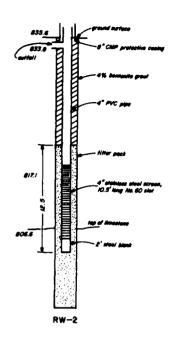


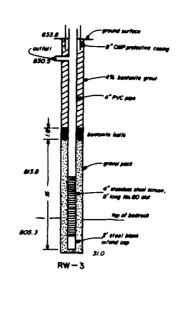


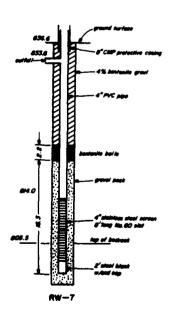


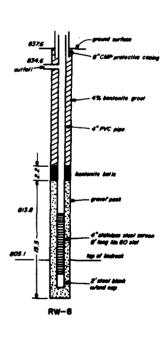


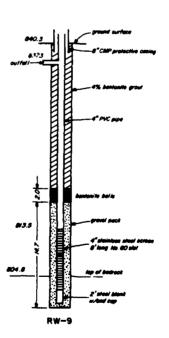


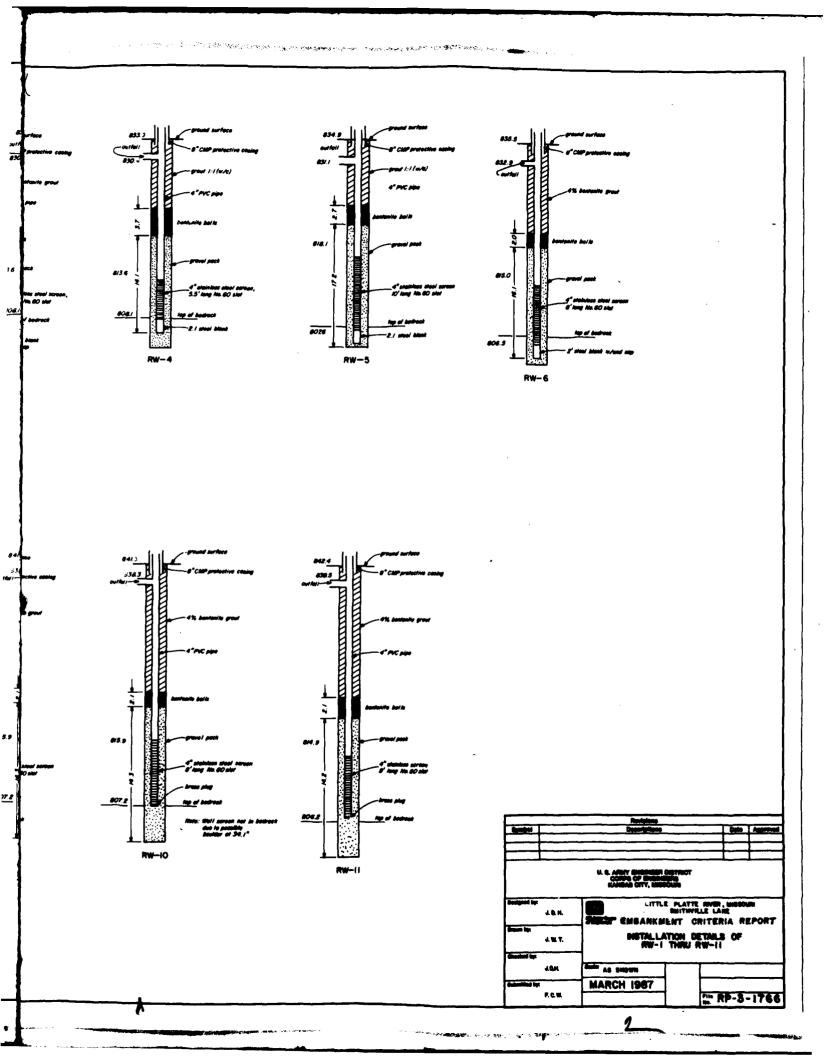




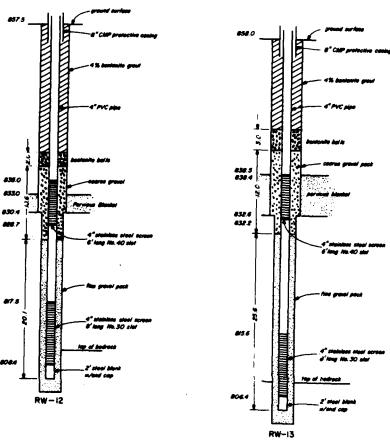


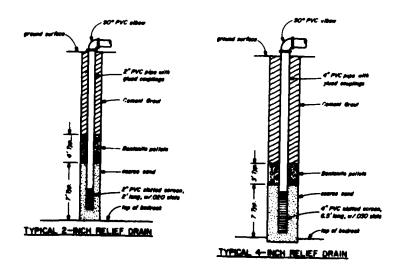




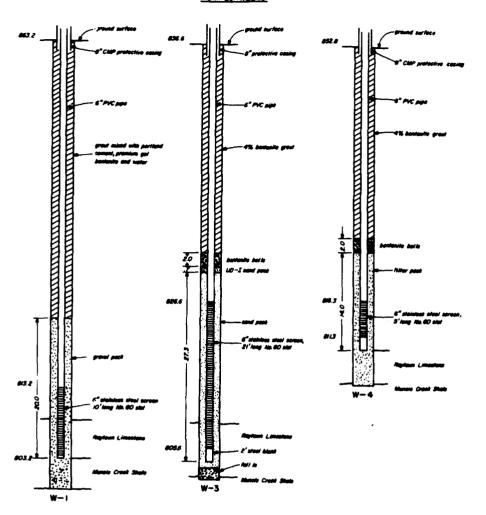


RW-12 AND RW-13 WELLS INTERCEPTING PERVIOUS BLANKET



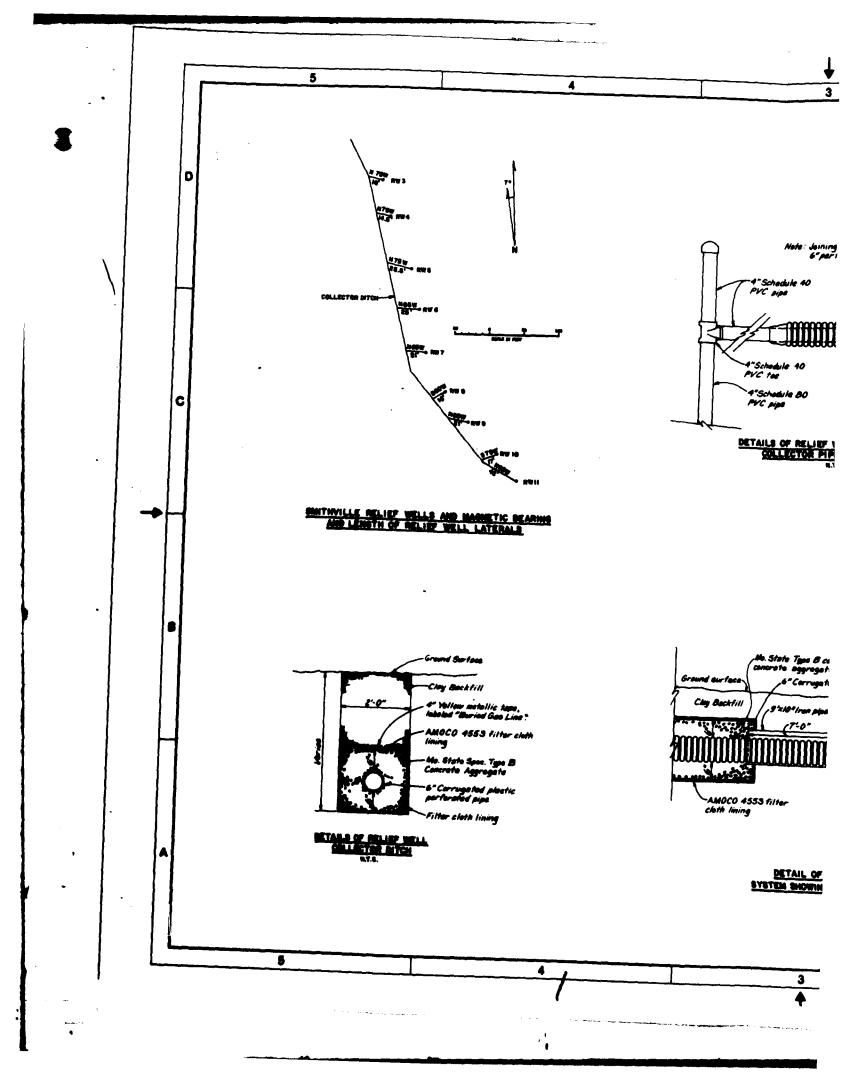


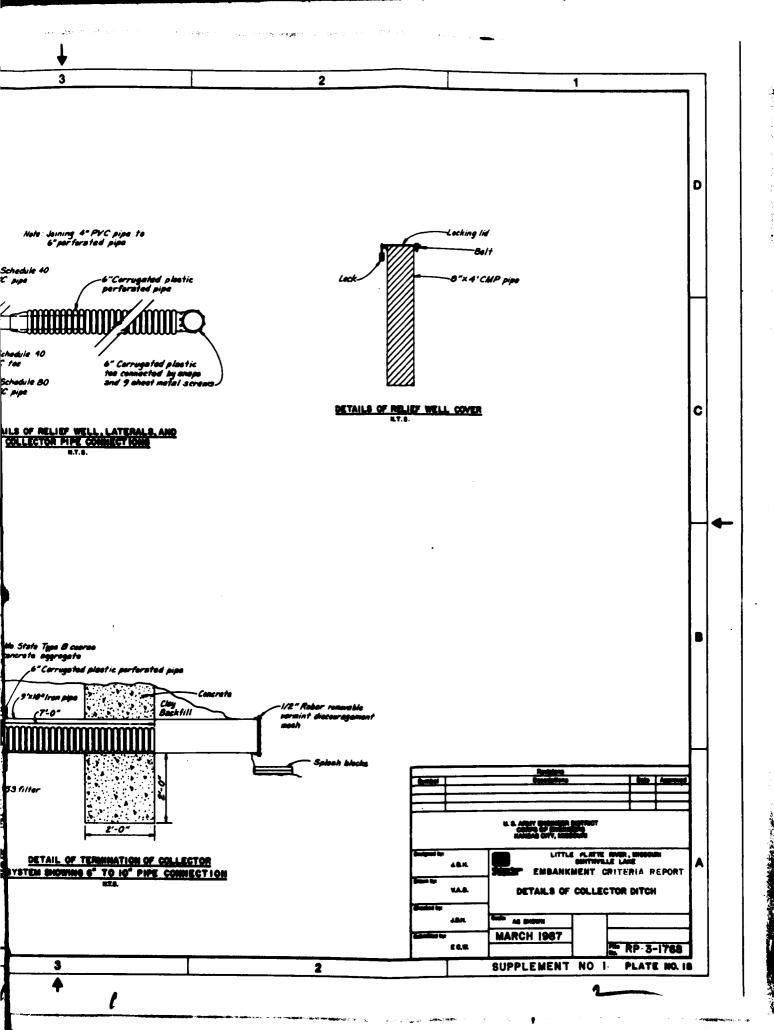
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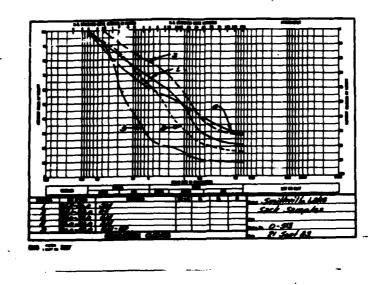


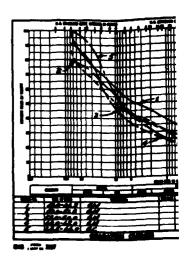
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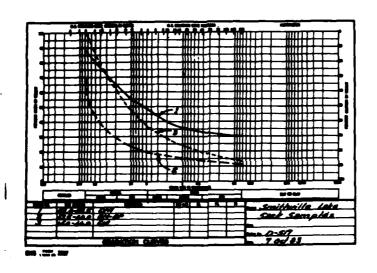
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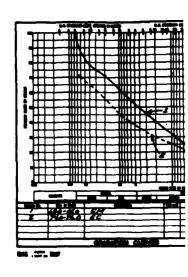


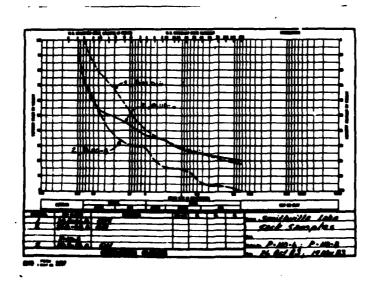




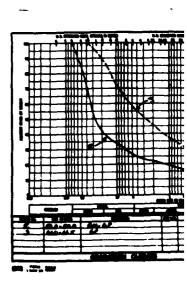


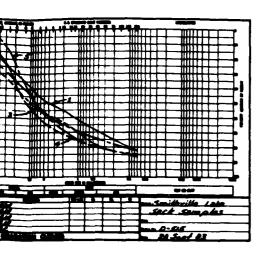


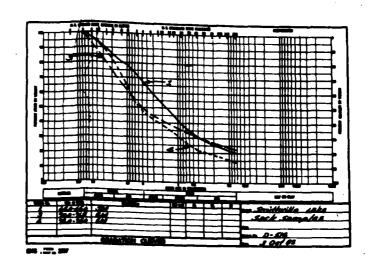


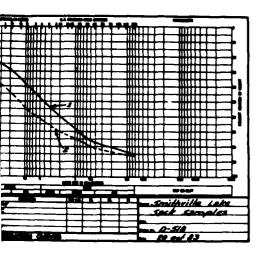


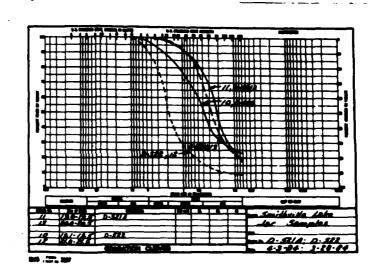
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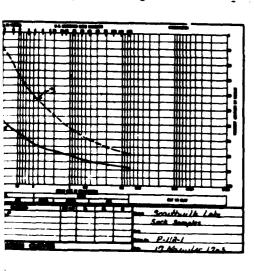




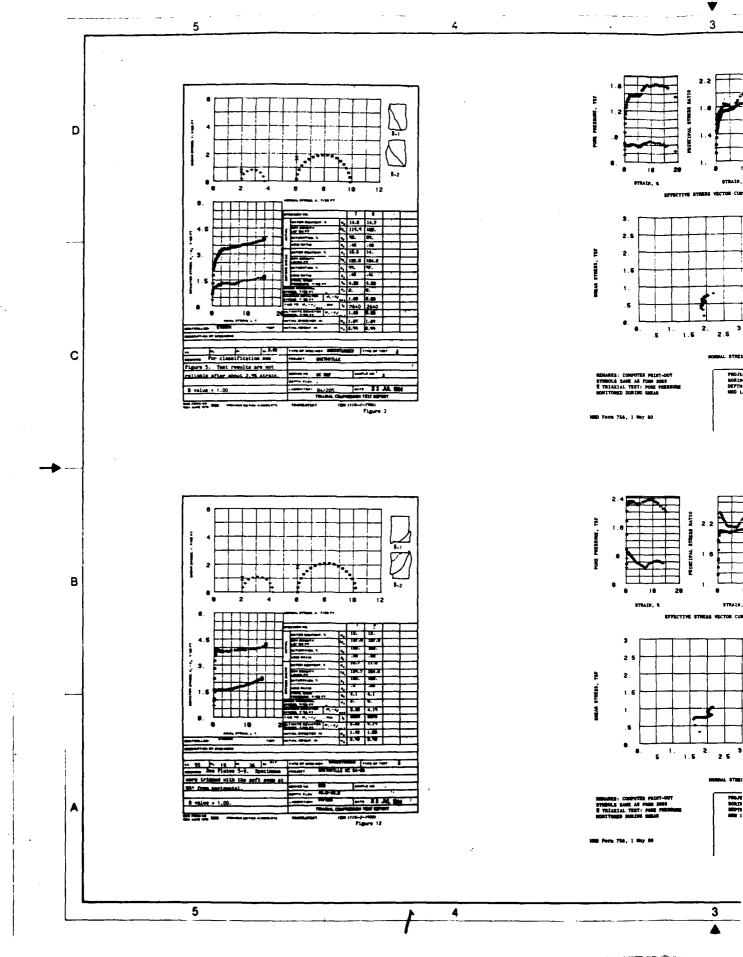


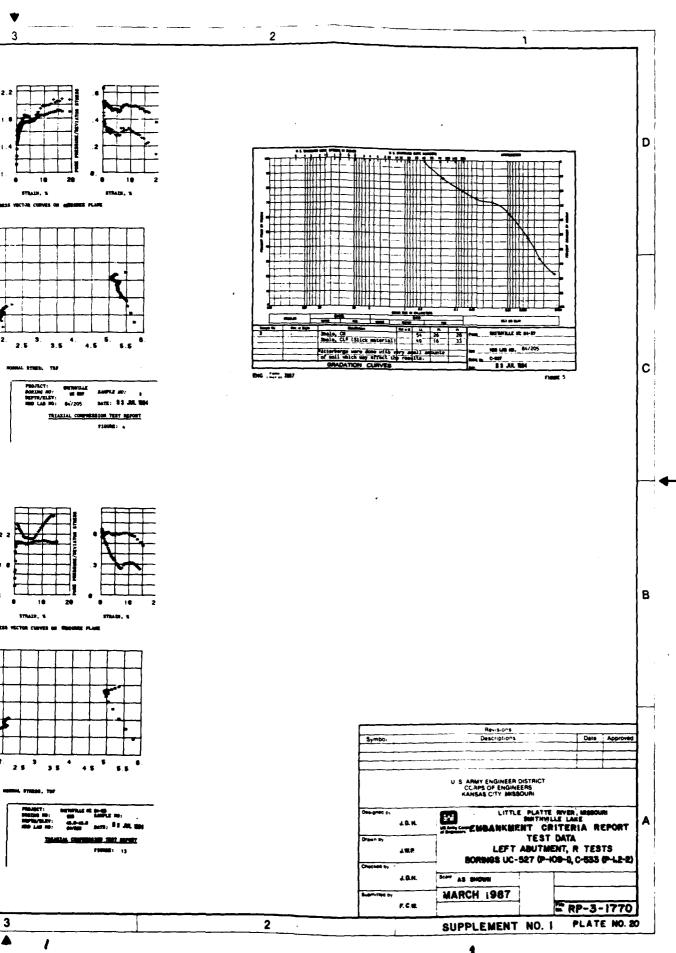






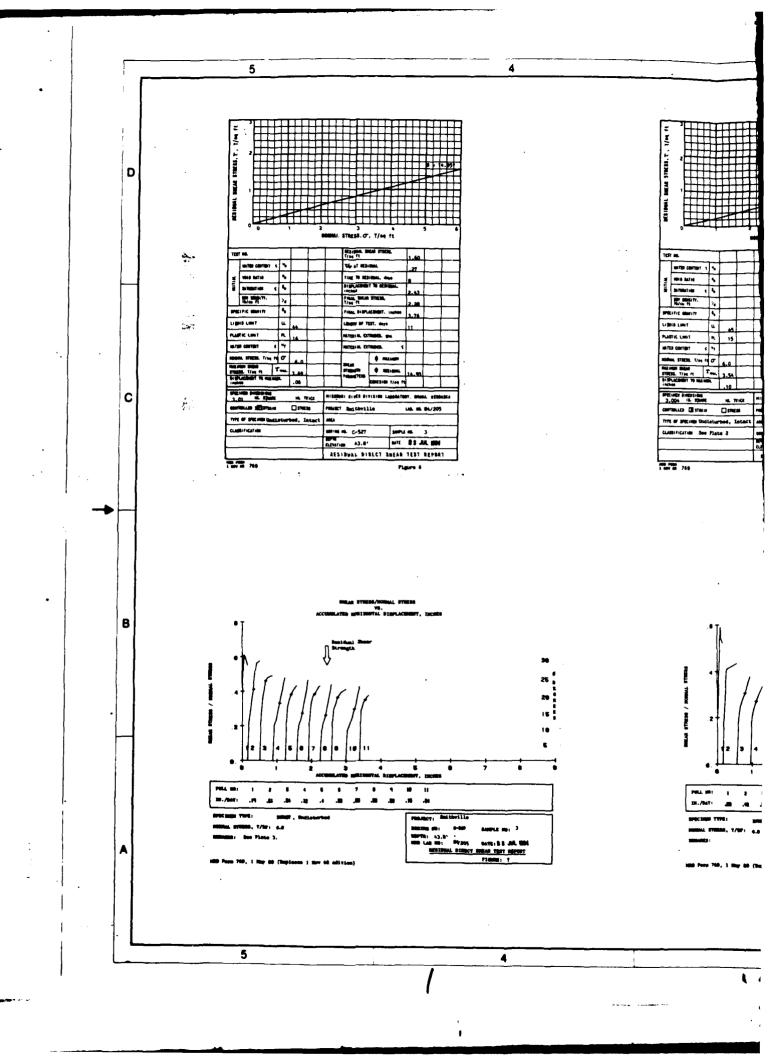
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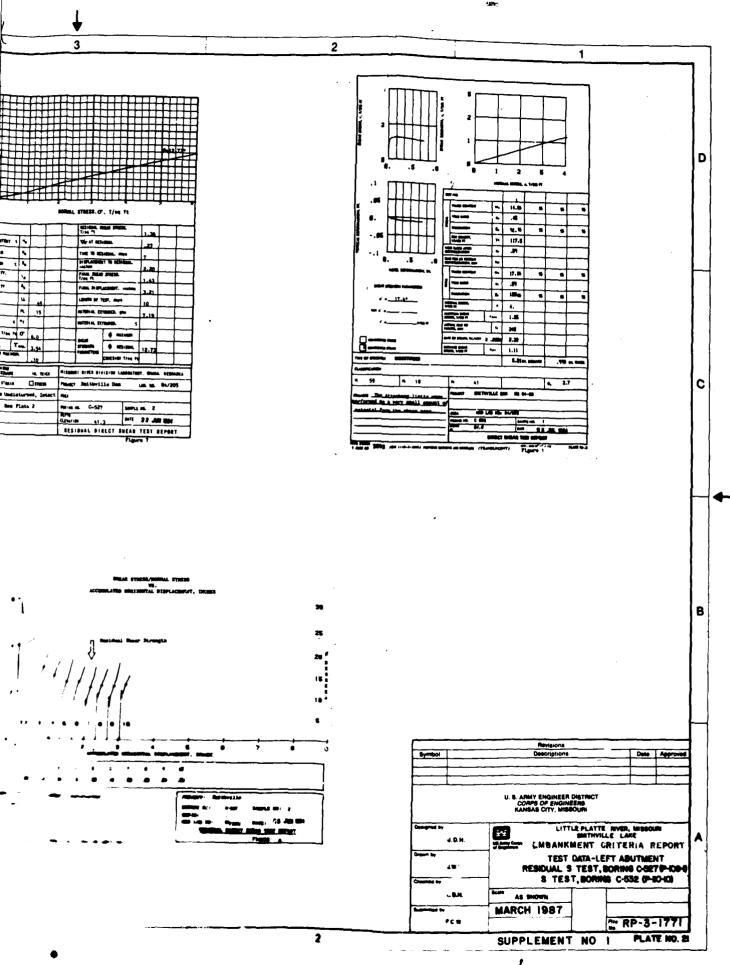


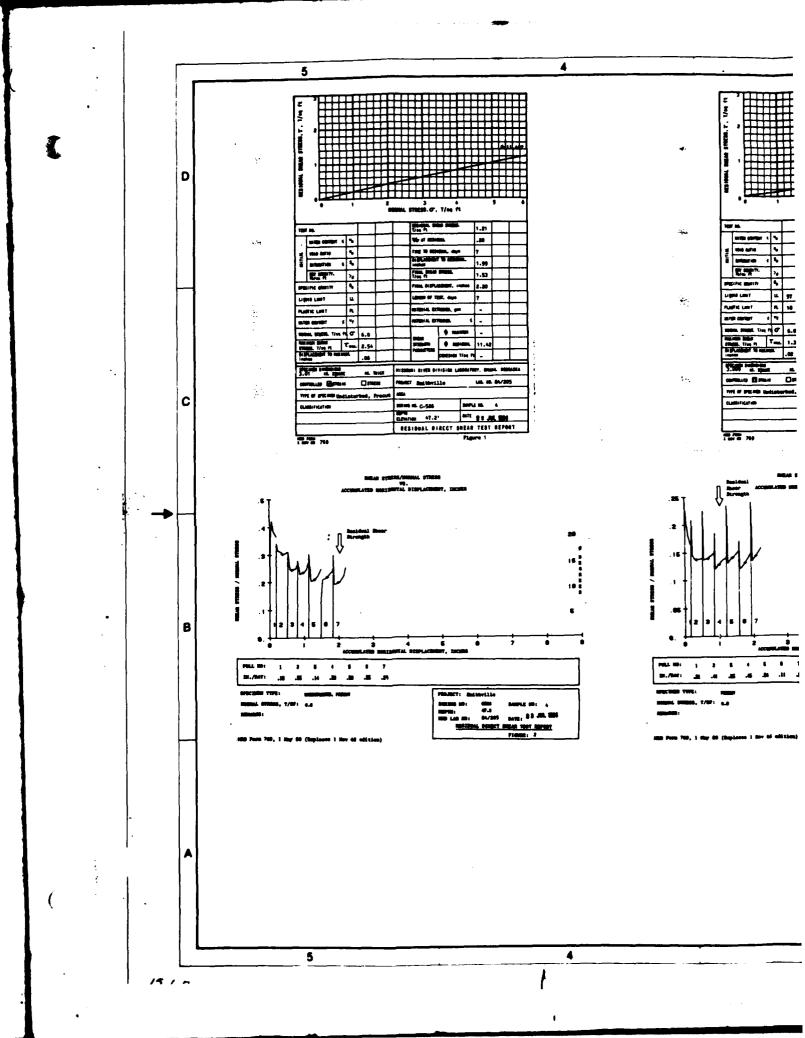


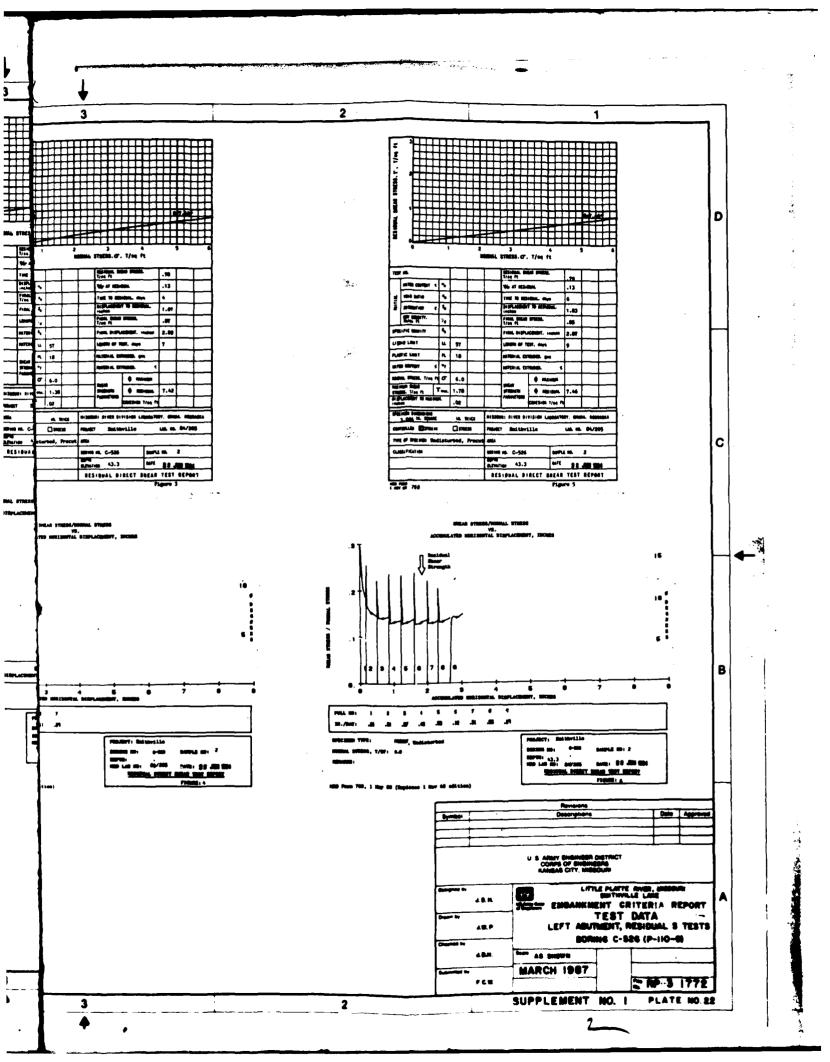
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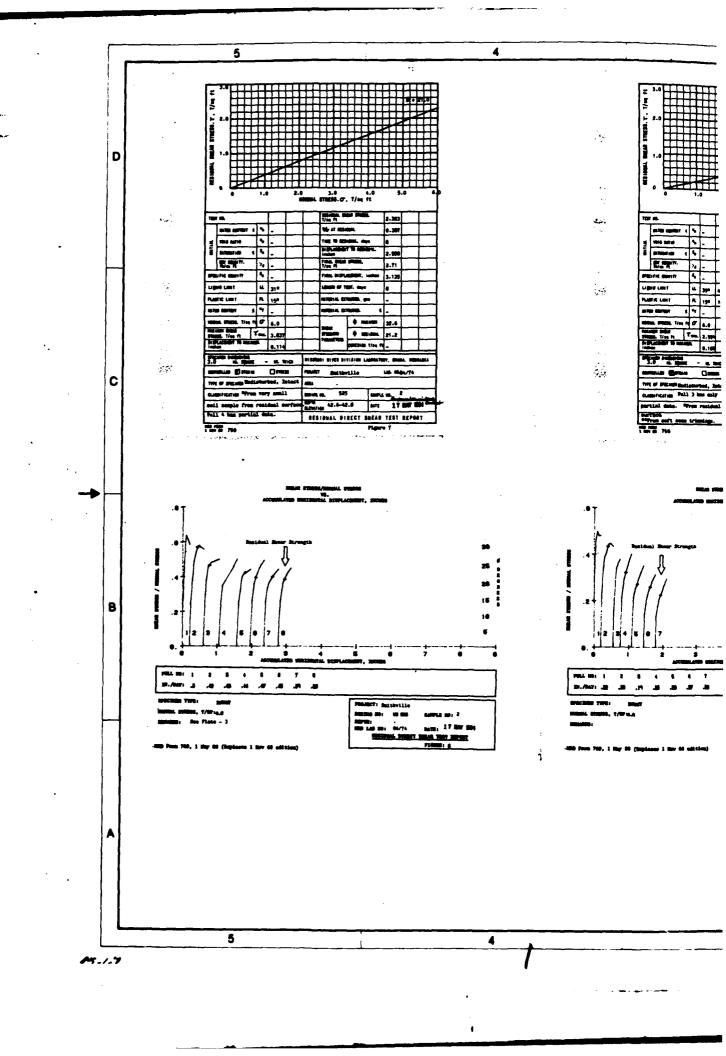
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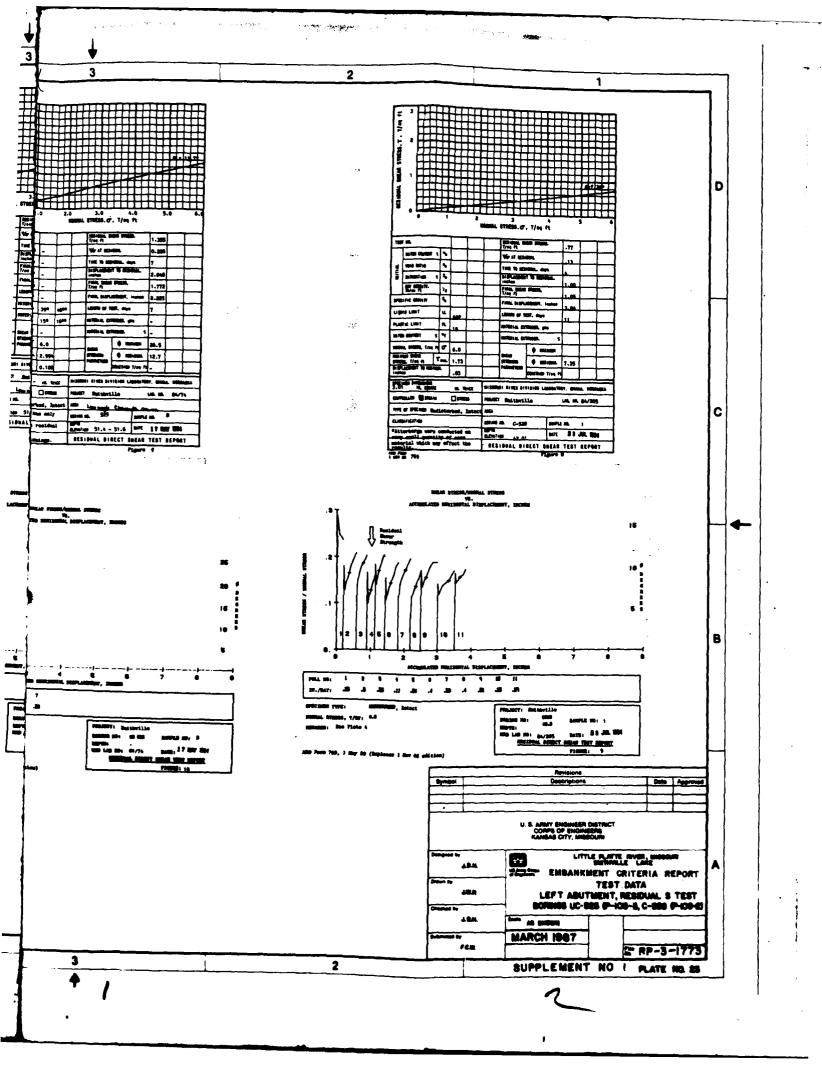


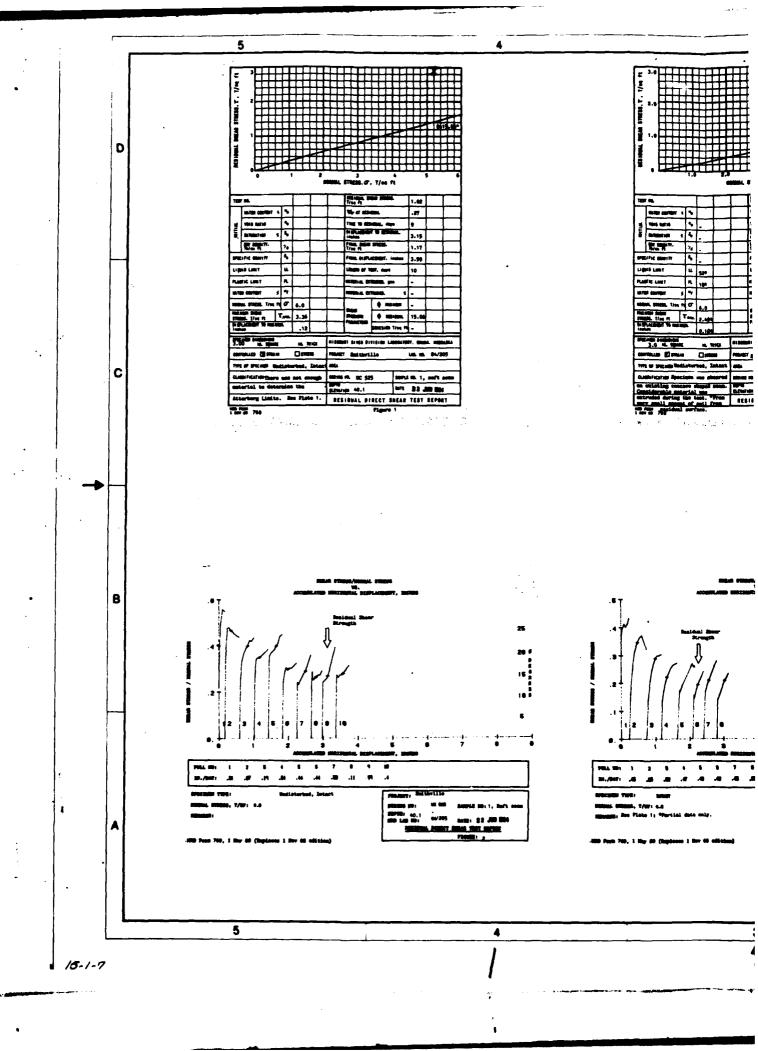


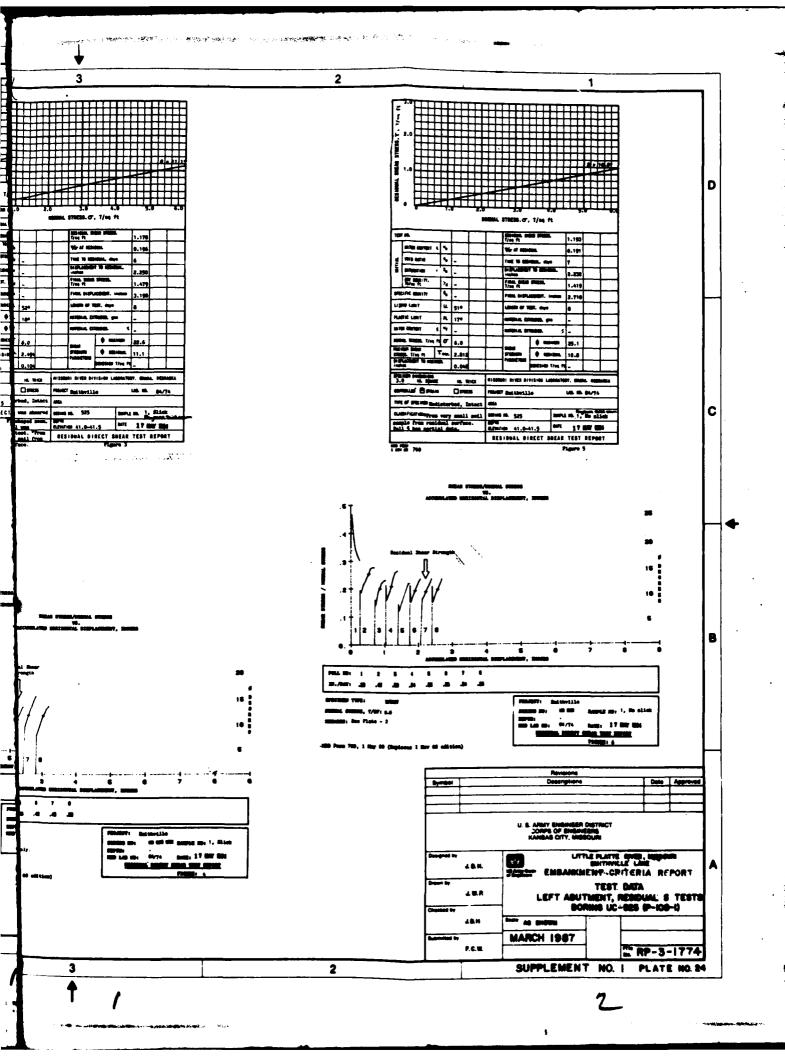


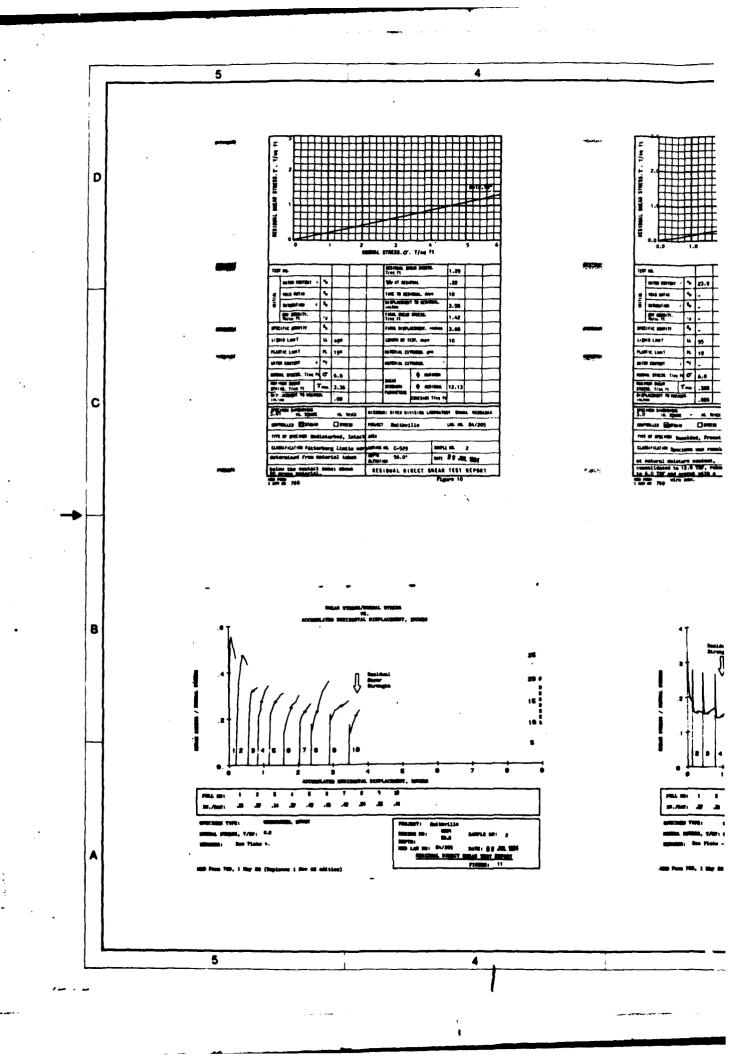


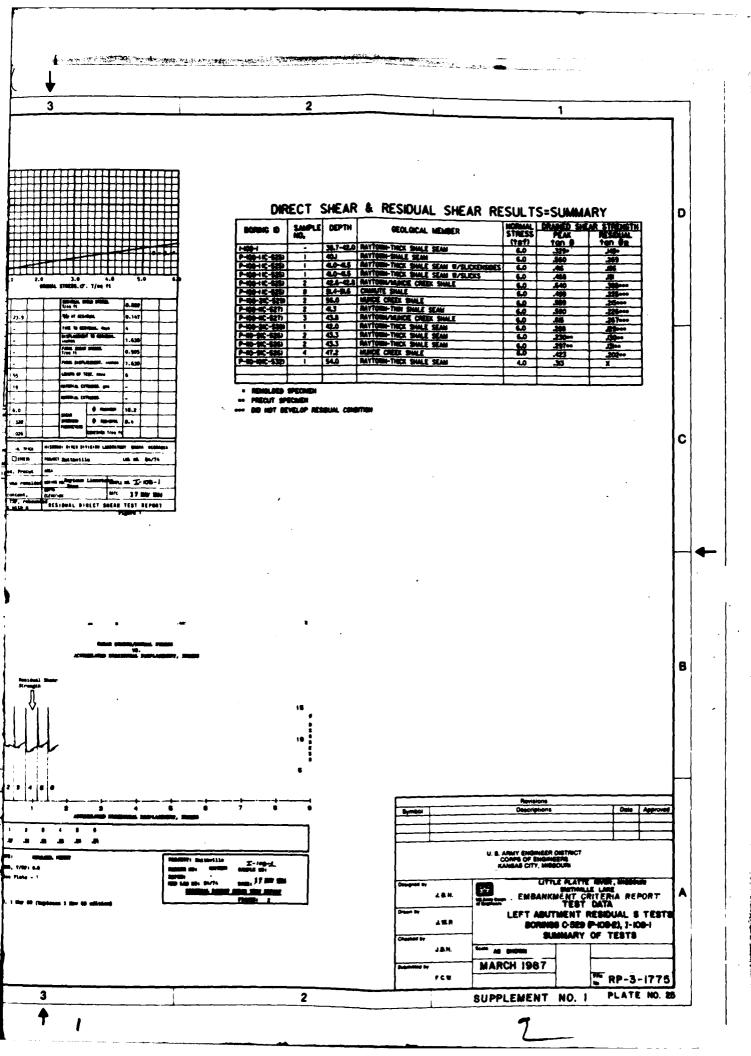


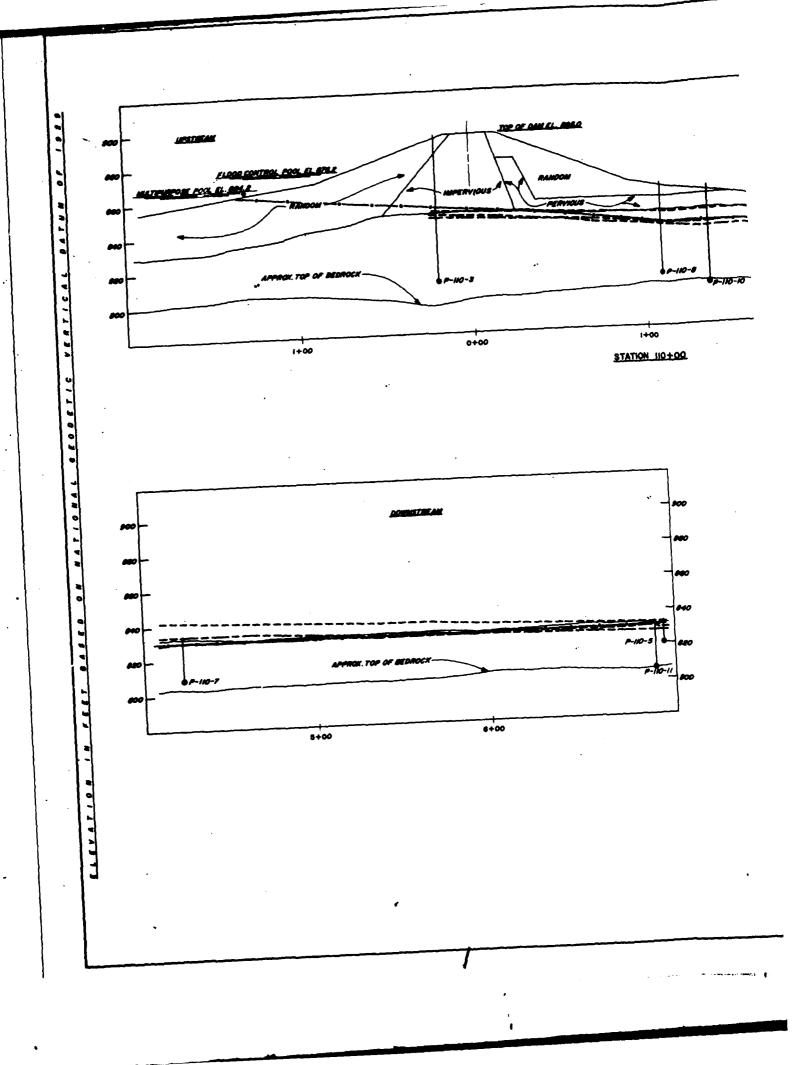


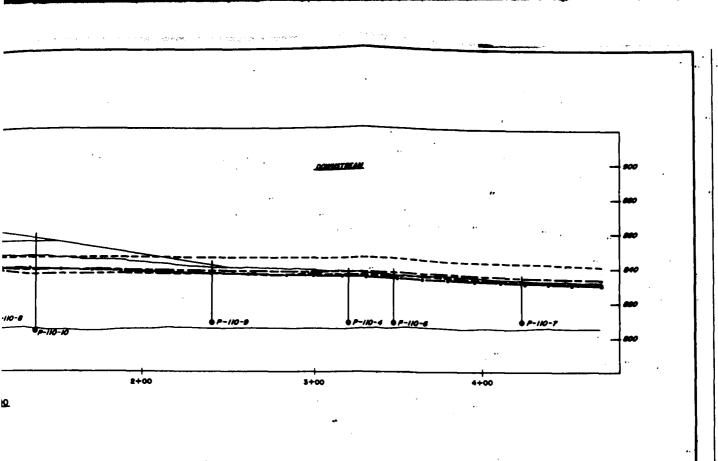












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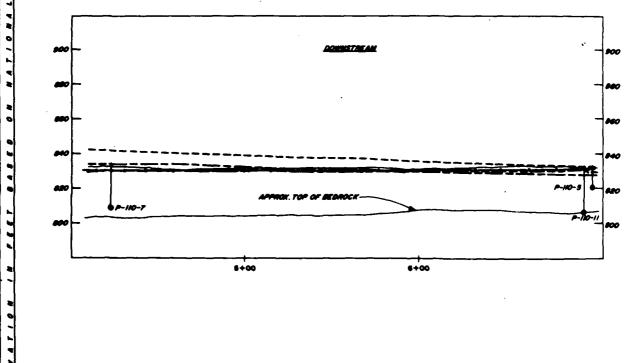
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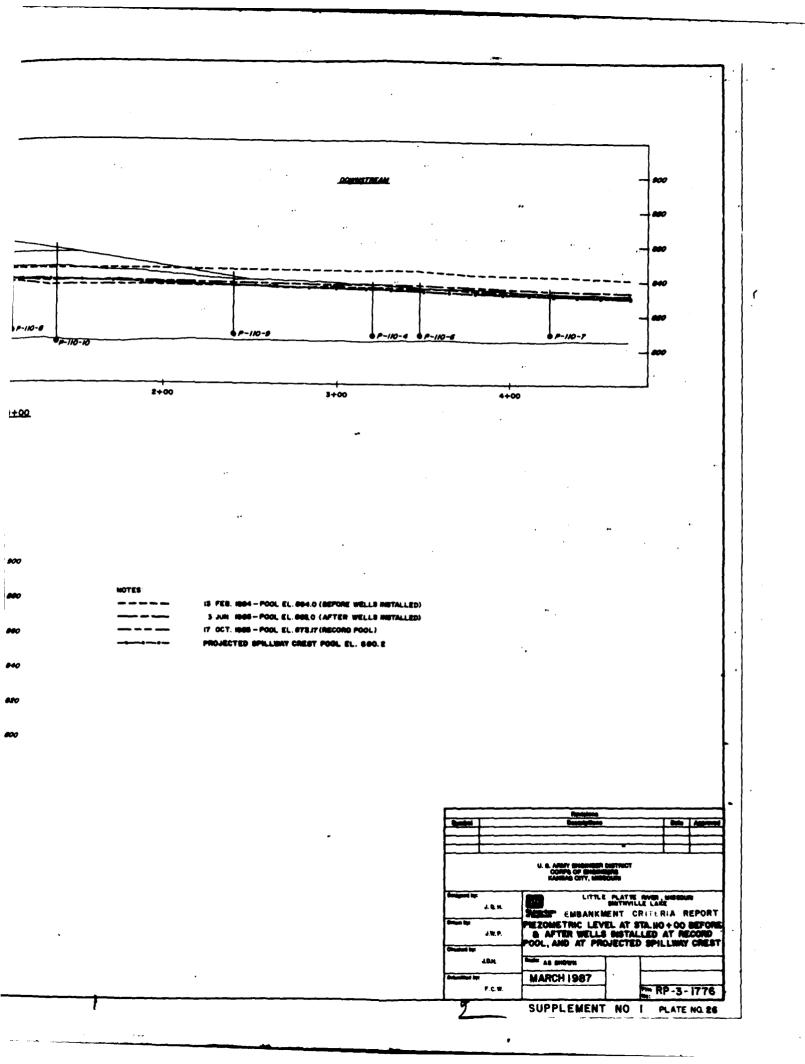
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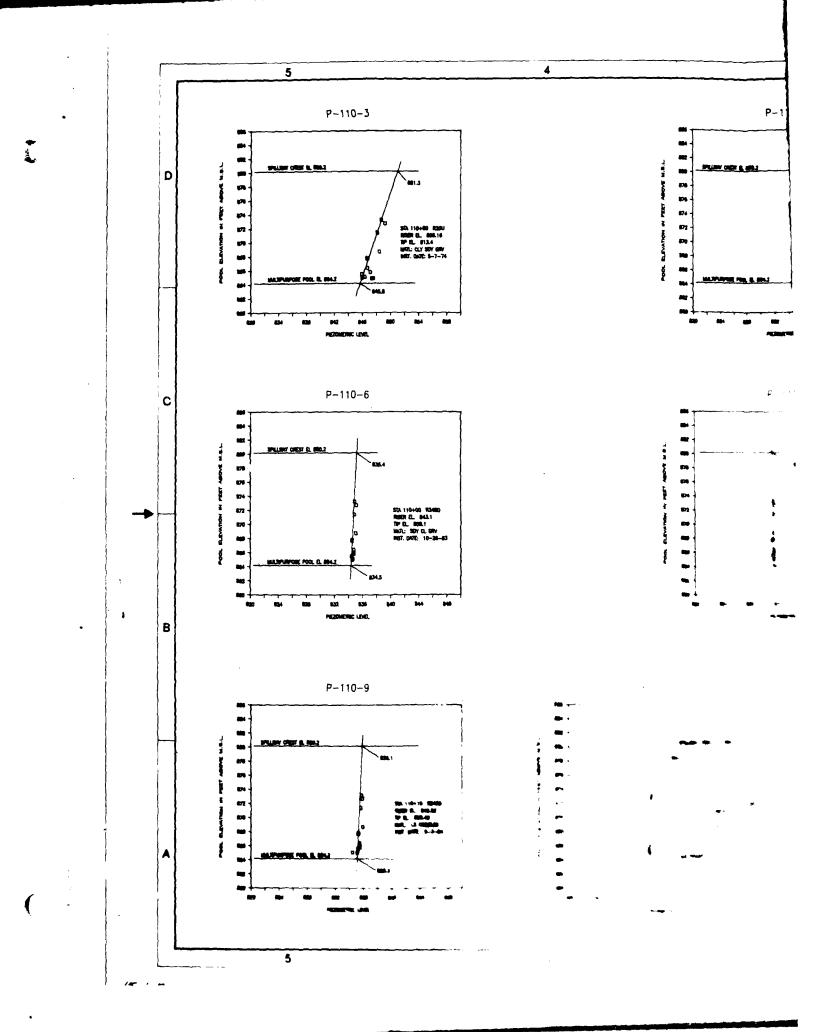
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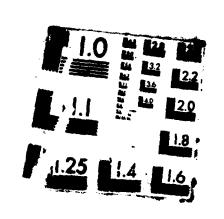
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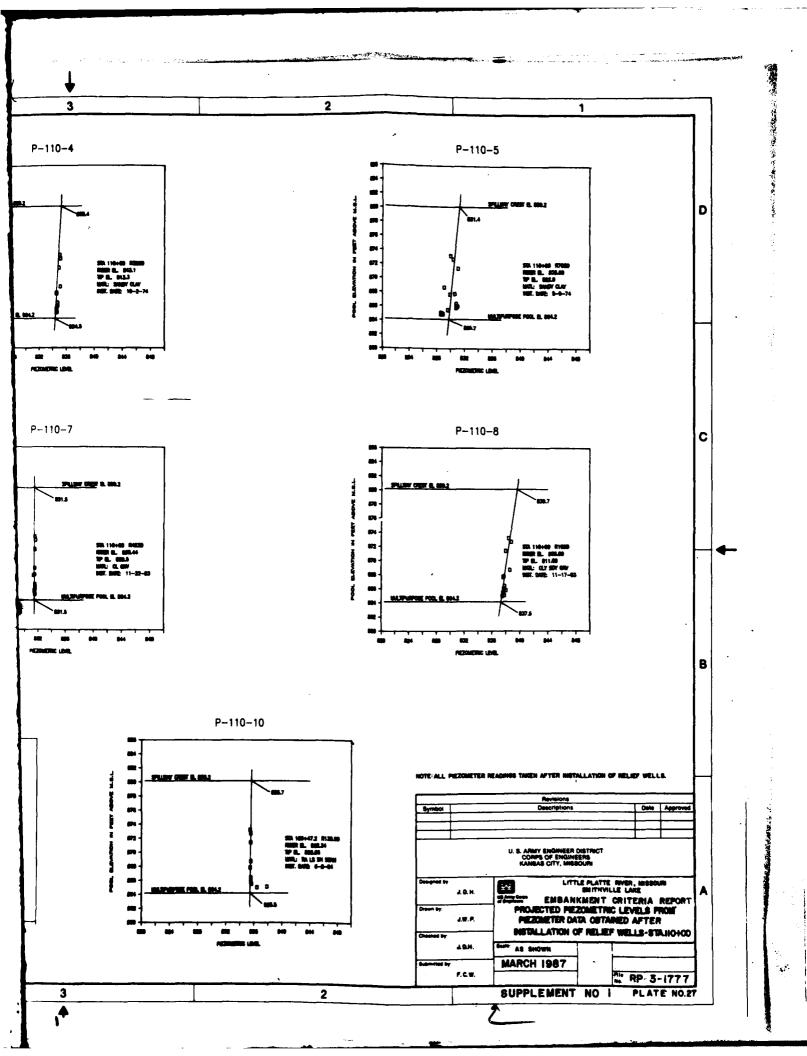


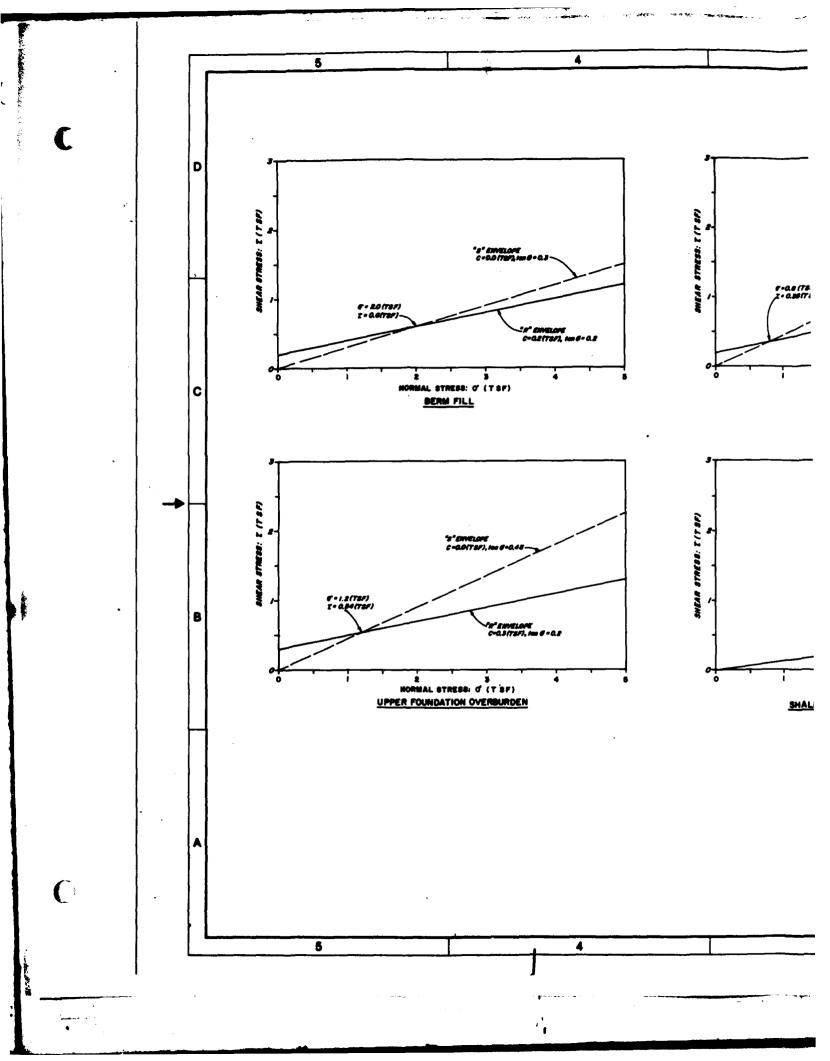


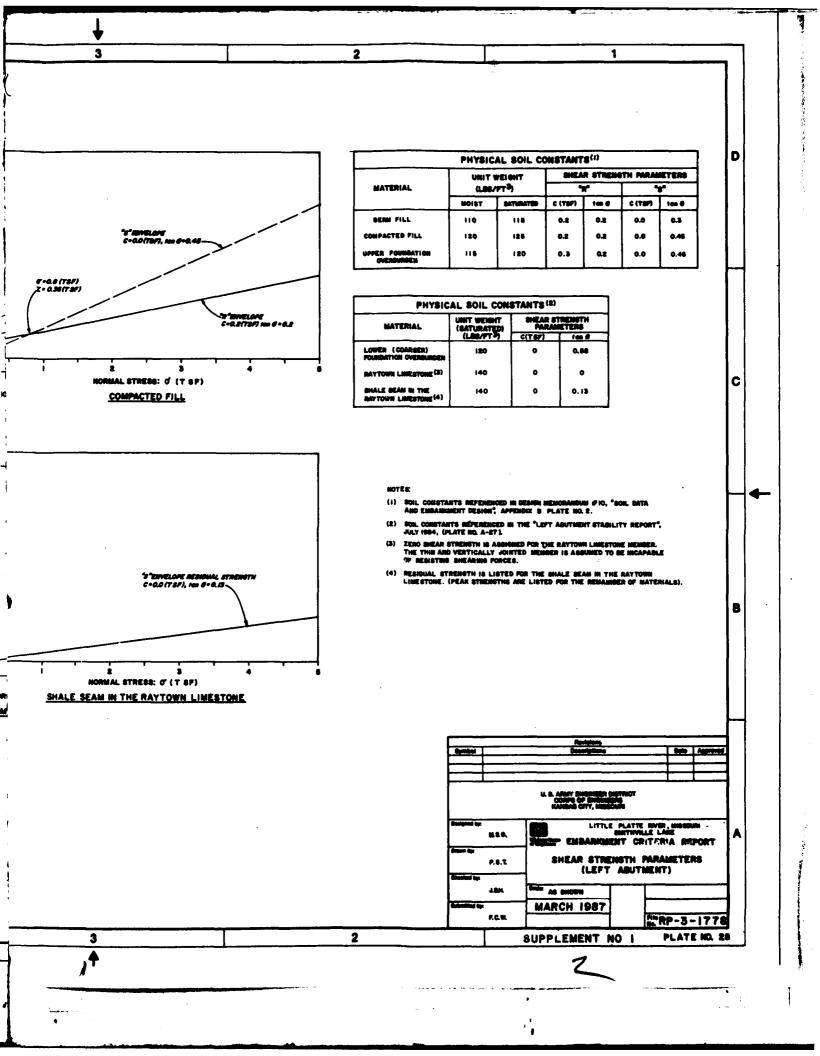


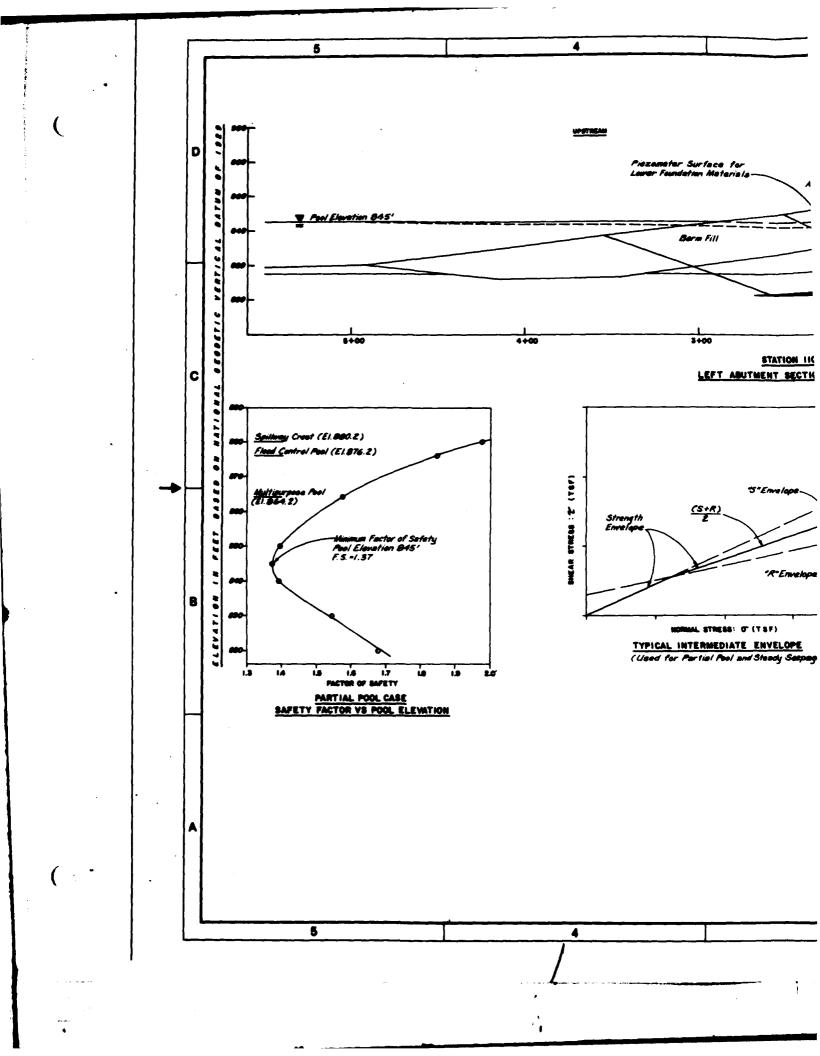
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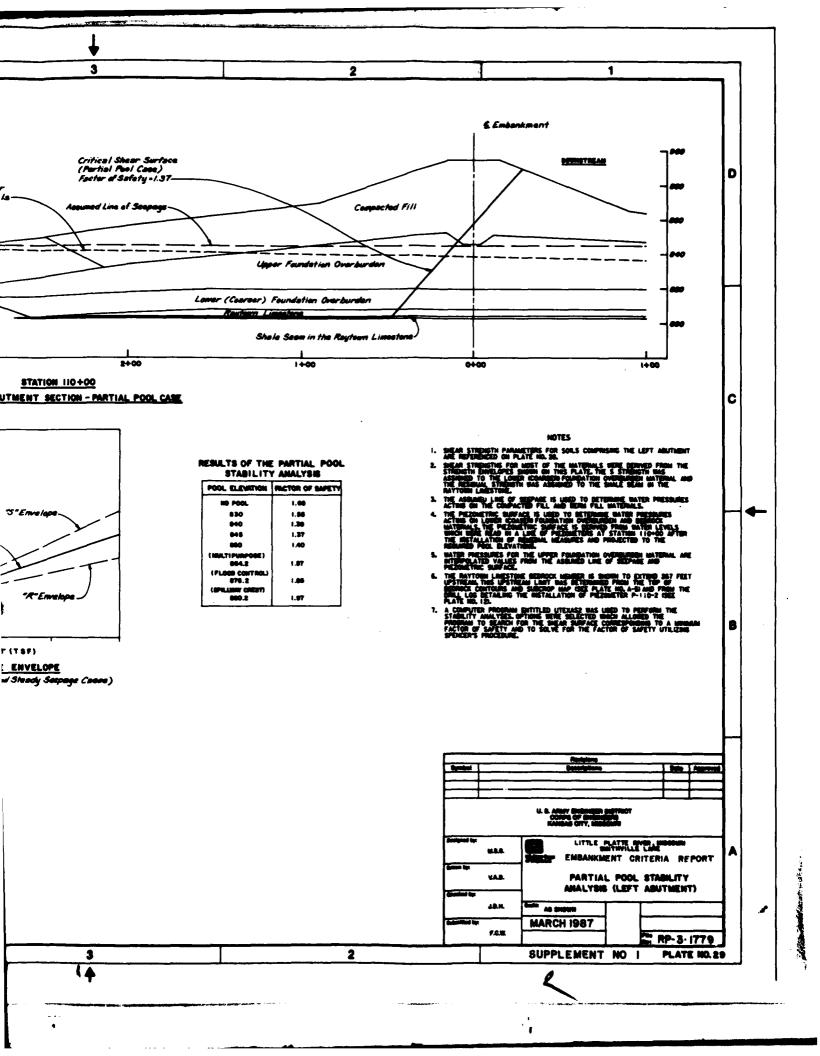


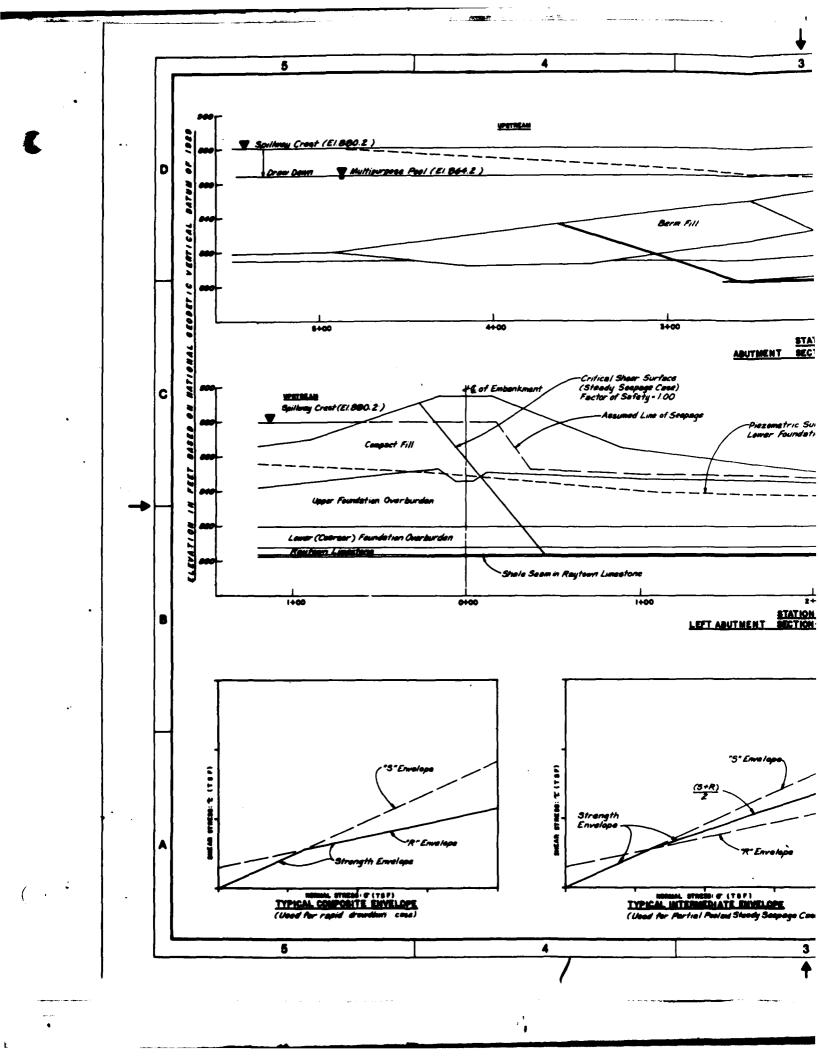


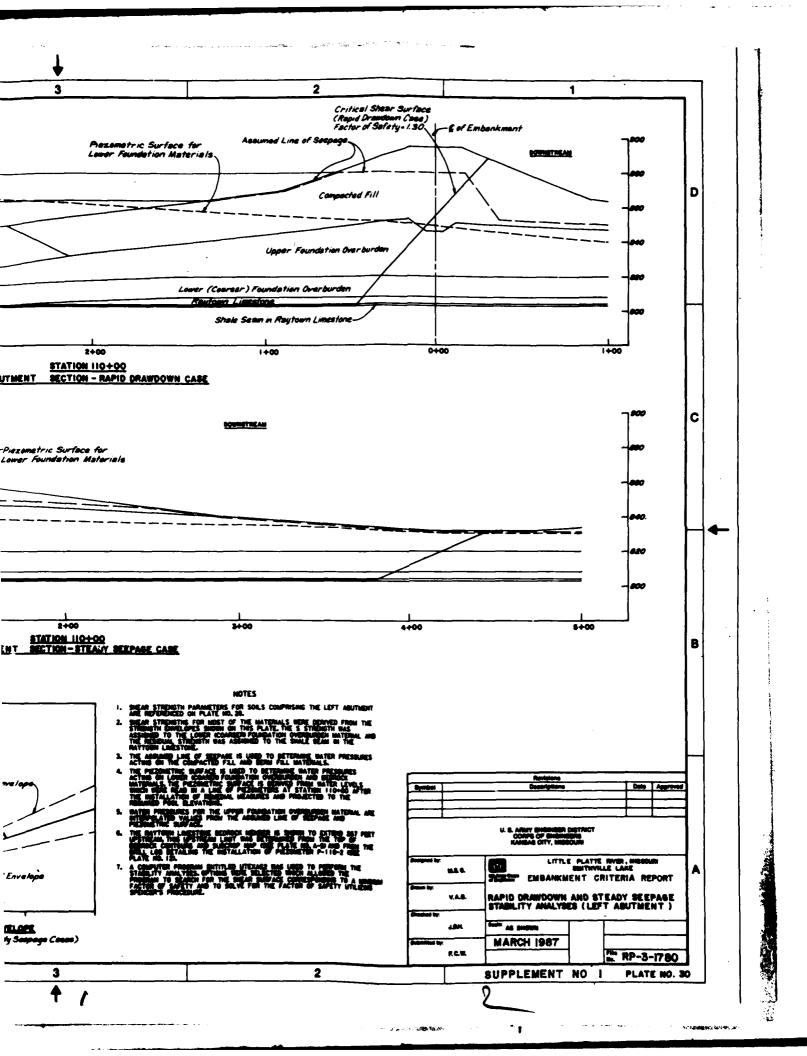












APPENDIX A

APPENDIX A

OPERATION AND MAINTENANCE MANUAL

SMITHVILLE LAKE LITTLE PLATTE RIVER, MISSOURI

APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

APPENDIX A

SMITHVILLE DAM
LEFT ABUTHENT STABILITY REPORT

July 1984

DEPARTMENT OF THE ARMY
Kansas City District, Corps of Engineers
Kansas City, Missouri

OPERATION AND MAINTENANCE MANUAL

SMITHVILLE LAKE APPENDIX V EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

LEFT ABUTHENT REMEDIAL MEASURES

APPENDIX A

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1. <u>Introduction</u>.--Smithville Dam is located in northwest Missouri on the Little Platte River, one mile northeast of Smithville, Missouri. The project was authorized by the Flood Control Act of 1965 with construction starting in February 1974. Impoundment was begun in October 1979 but lake filling was delayed because of real estate acquisition problems. Multipurpose pool, elevation 864.2, was first reached in June 1982. The record high pool of elevation 869.4 was reached in April 1983 and again in May 1984. Flood control pool (full pool) is elevation 876.2. A spillway crest pool is elevation 880.2.

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At the 2nd periodic inspection in the fall of 1982, which was the first inspection after the pool reached multipurpose level, high piezometric response was noted in several of the piezometers downstream of centerline. Comparisons between recorded levels and those anticipated during design were made. In general, it was noted that piezometric levels in the foundation under the downstream slope were somewhat above the horizontal pervious drain under multipurpose pool conditions. It was believed from reviewing the embankment design memorandum that piezometric levels for stability studies were assumed to be at the base of the pervious drain downstream of centerline. At that time it was believed the safety factor might be somewhat lower than the 1.6 shown in the embankment design memorandum for the steady seepage case. It was recommended that close monitoring of piezometric levels be continued, particularly at Station 110+00 where the level was about 3 feet above ground surface at the toe.

In April 1983, a site visit was made to inspect the dam with a record high pool at elevation 869.37. A seep area had developed at the toe at Station 110+00, however, seepage was not enough to observe flowing quantities. Shortly after this, work was begun on the embankment criteria report and review of stability analysis for the embankment DM was started. In August 1983 complaints from an adjacent landowner about a wet area 3,000 feet below the main dike were reported, and an investigation of seepage in the left abutment was initiated. As a result of this investigation, which included installation of additional piezometers through the downstream slope and a review of the original design stability analysis, it was found that:

- a. The piezometric level assumed for design in the left abutment was actually some 20 feet below the base of the horizontal pervious blanket in this area.
- b. Projected piezometric levels for a spillway crest pool based on recorded data to date were about 25 to 35 feet above what was assumed for design.
- c. The higher than anticipated piezometric levels meant that available shear strength of the foundation shales became more critical.
- d. The uppermost bedrock units in the left abutment have been exposed to erosional unloading and glacial loading. These factors, coupled with a nearly horizontal bedrock surface and essentially flat lying sedimentary rock units, suggested the possibility of the existence of a shear zone or zones near the bedrock surface.

e. Preliminary stability analysis conducted with the projected piezometric levels and the DM design strengths showed the safety factor well below the desired 1.6 of the D.M.

Accordingly, a more extensive stability investigation was initiated, and a revised plan of lake releases was quickly put into effect. The objective of the revised plan was to reduce the chances of subjecting the dam to high pool levels. The frequency of monitoring the dam was increased for all pool levels above multipurpose. Further, two inclinometer devices were installed in the most critical areas at Stations 108+00 and 110+00. The investigation included additional drilling and sampling, and installation of additional piezometers in the left abutment area. Since there were immediate concerns for the stability of the dam at high pool levels, an interim solution to improve stability was developed. It consisted of reducing piezometric levels at high pools by pumping test wells installed through the downstream slope. This action allowed time to complete the investigation and to develop a permanent solution or design measure which would assure the long term safety of the dam. Once the test wells were installed and operational, the project was returned to a normal operating plan. Following installation of the pumped test vells, four flowing test vells were installed at the toe of the embankment. The effect of these test wells were analyzed to determine if pressure relief wells at the toe would provide a satisfactory permanent solution.

- 2. Geology.--Smithville Lake is located near the southern limit of the Dissected Till Plains Section of the Central Lovlands Physiographic Province. Hajor topographic features are the maturely to submaturely developed valleys of Little Platte River, Crows Creek, and Camp Branch. Drainage patterns typical of northern Missouri are developed on thick glacial deposits resulting in gently rolling topography. Bedrock exposures are not common but can occasionally be found along the bases of valley walls of major streams. Maximum relief in the area is about 160 feet.
- a. Glacial history. -- Pleistocene glaciers extended into this region of Missouri approximately 750,000 years ago during the Kansan glacial episode and persisted for approximately 100,000 years. Glaciers may have also advanced into the area during the earlier Nebraskan episode. Both the Nebraskan and Kansan advances were from the north-northwest and are attributed to the Iova ice lobe from the Keevatin ice center in Canada. Since the same general regions were traversed during both episodes, the content of resultant drift materials is similar and difficult to distinguish. The southern limit of glaciation is generally recognised as being slightly south of and approximately parallel to the present course of the Missouri River.

Pleistocene ice sheets have been compared in size and extent to those of the Antarctic which have an average central thickness of about 6,500 feet. Estimated thicknesses of marginal masses are of the order of 1,600 feet. Glacial erosion was primarily by abrasion and quarrying whereby slabs of frozen ground were sheared from and dragged forward over nonfrozen ground. Magnitudes of erosion were dependent upon the thickness and velocity of the ice mass, the nature of materials incorporated into the basal ice, and the character of surfaces overridden. Glacial sediments include nonstratified till and, less frequently, fluvio-glacial deposits of stratified silts, sands, and gravels. Drift of variable thickness has been deposited upon essentially

flat lying Pennsylvanian bedrock and is the thickest in pre-Pleistocene topographic lows.

- b. Overburden. -- Overburden in the vicinity of the dam is of two principal types; alluvium and glacial drift. Alluvium occupies the valleys of the Little Platte River and its tributaries and generally consists of lean and fat clays overlying clayey sands and sandy clays with minor amounts of basil gravel. Thicknesses range from 25 to 50 feet. Upland areas are mantled with deposits of glacial drift. In the left abutment area, the drift ranges in thickness up to 85 feet and generally consists of 20 to 60 feet of till overlying 5 to 25 feet of coarser outwash sediments. Till, in general, is composed of unsorted, unconsolidated, nonstratified sediments deposited directly by and underneath glacial ice masses and consists of heterogeneous, random mixtures of clay, silt, sand, gravel, cobbles, and boulders. Till in the left abutment is predominantly fine-grained, relatively impervious silty clay and clayey silt with scattered gravel and cobbles and isolated sand lenses. Coarser materials underlying the till are meltwater sediments deposited beyond advancing or retreating ice sheets which form a permeable zone of saturated dirty sand, gravel, and cobbles. This zone is exposed near the base of the valley walls in the reservoir area just upstream of the dam and therefore, is subjected to reservoir hydrostatic pressures. The overlying less permeable fine-grained silty clays and clayey silts create a confined flow system. Seepage can potentially occur where the piezometric surface in the confined system is above the ground surface. Plate A-3 shows where these conditions exist.
- c. Bedrock.--Near surface bedrock strata are of the Pennsylvanian System, Lansing and Kansas City Groups and consist of alternating beds of shale and limestone. The uppermost units within the area of this investigation are, in descending order, Raytown Limestone, Muncie Creek Shale, Paola Limestone, Chanute Shale, and Cement City Limestone. A geologic column for the left abutment is shown on plate A-3A. The top of bedrock surface in the left abutment landward of approximately dam Station 107+50 is formed by the Raytown Limestone. Top of bedrock contours and subcrop map are shown on plate A-5.

The configuration of the left abutment bedrock surface is the result of a pre-Pleistocene stream channel trending generally east-west through the abutment. It is one of two major channels mapped in the reservoir area which are associated with the ancestral Missouri River drainage system prior to the advance of Pleistocene glaciers. The other is located several miles upstream of the dam in the reservoir area. As ice masses traversed the area, existing sediments were scoured away and near-surface bedrock strata subjected to shear forces induced by ice thrusts.

3. Design criteria.

a. Design measures, left abutment.--In Design Memorandum No. 10, Smithville, "Soil Data and Embankment Design," high piezometric levels resulting from underseepage were not expected to be a problem "due to the thickness of the impervious foundation materials, the large amount of fines in the sands and gravels and the scarcity of continuous pervious layers beneath the embankment." It was thought the horizontal pervious drain would "intercept groundwater seepage from the foundation." However, precautionary

measures were taken. Random fill was placed upstream of the impervious core from Station 110+00 to the end of the dam and covered an existing draw on the upstream slope. This draw was believed to possibly intercept zones of sand and gravel which might be continuous through the abutment. A 5-foot thick clay blanket was placed on the left abutment starting at Station 117+00 and extending to elevation 880 (see plate A-1). It completed cover over the draw on the upstream slope. A centerline cutoff trench to rock was not excavated because of relatively thick overburden. However, curtain grouting was conducted through the overburden from about Station 105+00 to 109+00. Grouting extended through the Raytown, Muncie Creek, and Paola units into the Chanute Shale (see plate

A-6). As part of the embankment construction, a similar draw downstream of the embankment starting high up on the abutment and trending back towards centerline was backfilled with random material.

b. <u>DM stability</u>.--Water pressures during the design analysis were computed based on the assumption of hydrostatic pressure below the saturation line. For the left abutment section, the saturation line under the downstream slope was in the foundation at elevation 825.

For the original embankment design, a minimum safety factor of 1.6 was sought when both the partial pool and steady seepage cases used a peak strength approach and when the shale-overburden contact formed part of the failure surface. When a residual shale shear strength approach was used, a safety factor in excess of 1.0 was sought. Bedrock was assumed to be shale in all cases of the DM stability analysis. The higher strengths for overburden or limestone, where present, were not used since "(1) there may be remnants of weathered shale above the limestone; and (2) it would require thin, partially weathered, jointed limestone to carry very large forces."

The stability analyses were conducted using a computer program entitled "Stability Analysis-Wedge Method" (File No. 41-R3-C102), commonly referred to as KC SLOPE. KC SLOPE is capable of searching for a minimum safety factor for a wedge-shaped failure surface. The program accounts for water forces assuming hydrostatic pressure beneath the assigned saturation line. Analyses were conducted in accordance with EM 1110-2-1902 (1968 draft) with the slope of $E_{\rm A}=.08$, and $E_{\rm D}=0.0$. Slope geometry is shown on plate A-26. DM design strengths and soil properties are shown on plate A-27.

Results of the steady seepage case with the failure surface occurring at the top of bedrock are as follows. A safety factor of 1.64 was obtained using peak design strengths for the left abutment section (approx sta 110+00). When residual design shale shear strengths were used, the safety factor dropped to 1.08.

4. Left abutment embankment-stability analysis. -- Reanalysis of the steady seepage case of embankment stability was conducted for the left abutment section as a result of actual piezometric levels higher than those assumed during design. In general, these piezometric conditions are characterized by 1) above ground uplift levels in the foundation at the downstream toe near the left abutment; 2) unusually high centerline piezometric levels; and 3) piezometric levels in the foundation that respond from 40 to 60 percent to pool changes with little time lag (see plates A-9 to A-14).

- a. Method of stability analysis .- The computer program used in the DM analysis, KC SLOPE, was not written to account for uplift forces in the foundation. For this reason, all subsequent analyses were conducted with the hand vedge method in accordance with BM 1110-2-1902 (April 1970) with the slope of E. - Ep- 0.0 (since ab > 7/8H) and with a computer program, SLOPESR. developed at the University of California at Berkeley. This computer program was used because it can analyse a non-circular failure surface and boundary vater pressures can be assigned for the slide surface. SLOPESR uses Spencer's procedure to calculate the safety factor for specified non-circular slip surfaces. It is a special solution of the Morgenstern and Price method in which all the interslice side forces are assumed to have the same inclination. The program satisfies both force and moment equilibrium conditions for each slice. The two unknown parameters, F (the safety factor) and theta, 0, (the side force inclination) are varied simultaneously by iteration until a convergent solution is found with the net force and moment imbalance less than specified values. The method will not compute the same safety factor nor locate the same minimum failure surface as the hand wedge method. In satisfying moment equilibrium it usually results in solving for a different side force inclination, 0, than is assumed in the hand wedge method. In all cases, the safety factor obtained through hand vedge studies were lower than those obtained by SLOPESR, because side force inclination was greater than that assumed in the hand wedge analysis. When the same side force is used in the hand wedge analysis, computed safety factors agree quite closely. This should be expected because both methods would then be solving for F by force equilibrium equations.
- b. <u>Preliminary analysis.</u>—Preliminary analysis of the steady seepage case of left abutment embankment stability was conducted at Station 110+00, because recorded piezometric levels in the foundation were some 25 feet higher than were assumed in the design analysis. Stability studies used full pool phreatic conditions in the embankment portion of the slide surface and piezometric uplift levels projected for full pool conditions in the foundation portion of the slide. Projected levels were based on the recorded responses of P-110-3 and P-110-4 to changes in pool elevation. Projected uplift levels were elevation 859 at 20 feet upstream of centerline, with a straight line gradient to elevation 849.5 at 320 feet downstream of centerline. The foundation was assumed to be saturated to the base of the horizontal pervious drain.

Safety factors calculated using the projected uplift pressures on the slide surface and peak DM design strengths (c = 0.0, tan ϕ = .30 for shale) were 1.42 using SLOPERR with = 8.0 degrees and 1.23 using the hand wedge method. When the shale shear strength was reduced to residual DM design condition (c = 0.0, tan ϕ = .16), the safety factors decreased to 1.0 using SLOPERR with 9=6.9 degrees and 0.92 and by the hand wedge method. DM design strengths are shown on plate A-27. A summary of stability analyses is shown on plate A-28.

5. Field investigations.

a. Additional sampling rationale. -- Shale design strengths in the DM, both peak and residual, were based on laboratory tests from samples obtained from the shale units in the right abutment, outlet works area, and valley. Difficulty was encountered obtaining shale samples from the left abutment because of the thick overburden, the presence of gravels and cobbles above rock, the thinness of the shale seams, and the weathered, broken nature of the limestones overlying the shales. However, the strength of these same shale units in the outlet works did not control the selection of the design strength envelopes. Since the safety factor of the stability analysis for the left abutment was adequate, additional sampling and testing for the left abutment was not warranted for the original design.

The design shale shear strengths became more critical when higher than anticipated piesometric levels were recorded in the left abutment. The two abutments have different geologic histories. The upper units in the rock foundation of the left abutment have been subjected to both erosional unloading and glaciation, whereas the same units in the outlet works area and right abutment were protected by overlying bedrock units. It was believed either weathering and movement of the ice mass over the left abutment or valley stress relief could have caused one or more shear zones near the bedrock surface. The strength of a shear zone could be less than the design strength.

Thus, an investigation was initiated to determine if a weak zone or zones did exist. Sampling efforts were directed towards obtaining 6-inch core samples of soft shale seams in the Raytown Limestone, the contact of the Raytown and underlying Muncie Creek shale, and suspected soft seams in the upper Muncie Creek where persistent core losses had occurred in previous borings. It was also desired to determine if soft zones, shear planes or slickensides were present in the remainder of the Muncie Creek Shale or in the underlying Chanute Shale. Drilling and sampling was also conducted near Station 105+50 where it was suspected soft weathered Muncie Creek might be present because of the absence of the overlying Raytown Limestone in this area. Soft, highly weathered Muncie Creek had been excavated in the right abutment cutoff trench during construction.

b. Exploratory drilling and instrument installation. -- The exploration program included a series of core borings to obtain shale samples for shear testing and installation of inclinometers to monitor embankment movements and piezometers to define pressure gradients and monitor piezometric responses to pool fluctuations. Subsurface information was obtained from explorations for this stability study and previous seepage investigation, and from preconstruction borings. A plan of explorations for the left abutment is shown on plate A-3. The plan shows instrumentation currently being monitored in the area of concern as well as locations of core borings done for this study.

Sixteen exploratory borings were completed as part of this study. Nine were 6-inch diameter core borings into bedrock which were completed as either piezometers in the overburden or as pore pressure devices in the foundation shales. Six borings were drilled just through the overburden and completed as piezometers. Overburden piezometers were constructed with the

screened interval set in the more permeable basal dirty sand, gravel and cobbles. Inclinometer casing was installed in two borings. Seven of the 6inch core borings were advanced through the Chanute Shale and terminated in the Cement City Limestone, one was advanced through the Paola Limestone and terminated at the top of the Chanute Shale, and one was advanced 5 feet into and terminated in the Raytown Limestone. Selected samples of the shale seem in the Raytown Limestone, the Muncie Creek Shale, and the Chanute Shale were preserved for shear testing. The first three core borings completed contained several thin zones of core loss and spins in the Muncie Creek and Chanute Shales. In order to determine if these resulted from the drilling process or represented other weak zones, a method was developed whereby an NX size core hole was drilled through the Chanute Shale, filled with cement grout, and the grout allowed to cure for approximately 60 hours. This hole was then overcored with a 6-inch core barrel. If thin weak somes had been present, the rigid column of grout would have provided sufficient torsional shear resistance to prevent spins and core losses. This procedure was used in C-528 which is located only 10 feet south of previously drilled C-527, in order to be able to recover and inspect a complete section of core. Core from the MX hole, from the 6-inch overcore and from C-527 were physically compared to determine if spins and core losses represented shear somes. In fact, unsheared shale was recovered in the 6-inch overcore of C-528 at the horizons where spins and core losses occurred in C-527 and NX portion of G-528.

Overburden drilling was accomplished with 9-7/8-inch rockbit or 9-1/2-inch fishtail bit except in UC-530 and UC-531 which were drilled in an area suspected to be underlain by weathered Huncie Creek Shale. In these two holes, the lowermost 12 feet of overburden was sampled with a 5-inch, fixed piston Shelby tube sampler in order to accurately locate the top of bedrock and to insure a quality sample of the contact.

Inclinometer borings were drilled 5 feet into the Cement City Limestone with a 5-7/8-inch rock bit and completed with 3-inch grooved aluminum inclinometer casing grouted the full depth. The lovermost 5 feet of each hole was grouted with 1:1 water:cement grout to anchor the casing firmly into the Cement City Limestone. The remainder of the annulus between the casing and drill hole was filled with grout consisting of 50 percent bentonite by weight of Portland Cement. A basic mixture consisted of a 94 lb. sack of Portland cement, 47 lb. of powdered bentonite and 38 gallons of water. This mixture forms a semi-rigid material capable of proportionally transmitting any deformation to the casing should movement occur.

Piezometers and pore pressure devices are the open tube type and are constructed of 3/4-inch PVC pipe with 1-1/2-inch diameter, 1-1/2 ft. long 0.020-inch slotted PVC well screen tips. Overburden piezometer tips are isolated and sealed in the lower, more permeable materials. Five open tube pore pressure devices were installed in the foundation shales; two in the thick shale seam in the Raytown Limestone, two in the Chanute Shale and one in the Huncie Creek Shale.

c. Results of exploratory drilling program. -- At the start of the investigation the purpose of the drilling program was primarily to sample and preserve for testing, the Raytown-Muncie Creek contact. It seemed then that the most likely plane to have been disturbed by ice thrust deformation would

have been the Raytown-Huncie Creek contact since the Raytown forms the top of bedrock and consists of moderately hard limestone directly overlying a relatively soft shale. The first core hole, however, revealed slickensided planes in a very soft shale seam in the lower part of the Raytown Limestone. The seam is about 0.4 to 0.5 feet thick, approximately 1-1/2 feet above the Muncie Creek and is persistent throughout the abutment. Apparent shear planes were observed in every sample of the shale seam recovered. Several thin shale partings are present above this seam but they are not continuous. The contact of the Raytown with the Muncie Creek as well as the remainder of the Muncie Creek and the Chanute were determined to be inconsequential with respect to embankment stability.

d. <u>Instrumentation monitoring</u>.--Prior to the initiation of the seepage investigation, the basal layer the left abutment overburden was monitored by piezometers located upstream of centerline and at the toe at Station 110+00, and at the toe at Station 114+00 and Station 119+00. Monitoring of these piezometers was done on a quarterly basis. During the seepage investigation, additional devices were installed at the top of the dam at Station 106+00, downstream mid-slope at Station 110+00, downstream of toe at Station 110+00 and at the toe at Station 118+00. The devices confirmed the high pressures measured by the existing devices and enabled better definition of the piezometric surface. Monitoring was increased to monthly.

Recorded data showed piezometers in the left abutment foundation overburden respond rapidly to changes in pool level. Responses ranged from 40-60 percent with maximum time lag of a few days. All new installations responded similarly to existing devices (see plates A-9 - A-11A).

Beginning in April 1984 and as a result of the revised operation plan for the project, instrumentation was monitored according to the following schedule:

Pool Blevation	Frequency
864-868	veekly
868-869	biveekly
869 and above	daily

Monitoring included all piezometers, inclinometers, and alignment monuments in the left abutment area. This increased monitoring also resulted in better definition of projections of piezometric levels for a spillway crest pool condition (see plates A-12 - A-14).

Two inclinometers installed in April 1984 at Station 108+00 and Station 110+00 have shown no movement. They have been read at least weekly since installation with biweekly and daily readings at higher pool levels. Typical movement versus depth and movement along the Raytown shale seam versus time plots are shown on plates A-15 - A-16. Alignment monuments similarly have shown no indications of movement. Typical plots are shown on plates A-17 - A-18.

6. Test vells.--Seven test wells were installed in the left abutment area and tested to determine their effect of lowering the piezometric surface. Locations are shown on plate A-3. Three of the wells; W-1, W-3, and W-4; are

located in areas within which the piezometric surface is below existing ground surface and therefore, must be pumped. The other four; V-2, V-5, V-6, and V-7; are in the area within which the piezometric surface is above the ground surface and consequently, are free flowing.

a. Pumped test vells.

(1) Installation and development.--Pumped test wells were drilled with nominal 13-inch diameter rockbits and self-destroying organic polymer drilling fluid through the Raytown Limestone. Installation details are shown on plate A-19. Screen is 6-inch diameter type 304 stainless steel, continuous slot design. Casing is 6-inch diameter schedule 80 PVC pipe. Screen slot opening is 0.060-inch. Gravel pack gradation is as follows:

Sieve	Size	% Retained
No.	4	12
No.	8	66
No.	16	90
No.	30	96
No.	50	99
No.	100	99.7
No.	200	100

Well development consisted of dispersing clays with a polyphosphate solution, surging with surge plunger, and high velocity water jetting through the screened section.

(2) Pump testing.--V-1, V-3 and V-4 were pump tested individually as they were completed. In addition, a long term pump test was done simultaneously pumping V-1, V-3, and V-4. V-1 was pump tested individually at 10 GPM while monitoring P-110-3, P-110-8, P-110-4, P-110-6, P-110-7, P-106-4, P-118-1 and P-119-1. No measurable responses were recorded in P-106-4, P-118-1 or P-119-1. Computed values for transmissivity (T) and storativity (S) from pumping V-1 are as follows:

P-110-3	P-110-4
T = 1000 GPD/ft.	T = 1300 GPD/ft.
$S = 1.4 \times 10^{-4}$	$s = 0.9 \times 10^{-3}$
P-110-8	P-110-6
T = 300 GPD/ff.	T = 1800 GPD/ft.
S = 0.8 X 10 ⁻⁴	$S = 0.8 \times 10^{-4}$

W-3 was pump tested at 25 GPM while monitoring P-114-1, P-113-1, P-112-1, P-110-3, P-110-8, P-110-4, P-110-6, P-110-7, P-118-1 and P-119-1. Heasurable responses were recorded only in P-114-1 and P-113-1. P-112-1

was not functioning during the test. Computed valves for T and S from pumping V-3 are as follows:

P-113-1 T = 3200 GPD/ft $S = 0.5 \times 10^{-2}$ P-114-1 T = 4300 GPD/ft. $S = 0.5 \times 10^{-2}$

A pump test was started in W-4 at 10 GPM while monitoring P-110-9, P-109-1, F-110-3, P-108-2 and W-1. However, after 2 hours pumping, drawdown in the well was near the top of the screen and no responses were observed in any of the piezometers. The test was terminated and the well was redeveloped. The well was not pump tested individually again.

The rather wide range of T & S values obtained from the pump tests and the somewhat unpredictable effects of pumping each of the three wells indicate significant lateral variation in permeability of and/or thickness of the basal pervious material. The transmissivity of the pervious appears to be greatest upstation of approximately Station 112+00 and is quite small downstation of approximately Station 108+00. The higher transmissivity in the vicinity of V-3 may be caused by either a thickening of the pervious zone or the presence of higher permeability material or a combination of both. The lower values near W-4 appear to be caused by the presence of less permeable material in this area. As shown on plate A-20, the greatest drawdown over the largest area results from pumping V-1.

On 31 May 1984, a long term pump test was started with all 3 wells pumping simultaneously. V-1 was operated at an average discharge of 7.5 GPM, V-3 at an average discharge of 17 GPM and V-4 at an average discharge of 5 GPM. Again, drawdown in V-4 was rapid with no corresponding response in nearby piezometers. The pump was shutoff, removed and the well again redeveloped. No improvement in well performance was realized. The test was run for 27 hours. All piezometers installed in the basal pervious overburden were monitored during the test. Distance-drawdown plots were constructed for V-1 and V-3 from pump test data at 24 hours and these contoured as cones of heads. Drawdown contours are shown on plate A-20. These were subtracted from contours of the piezometric surface projected to the spillway crest pool for the interim analysis and the resulting contours of the piezometric surface with pumping are shown on plate A-21. These piezometric projections were based on early data and were subsequently revised based upon additional data, spring 1984.

b. Test relief vells.

(1) Installation and development.--Flowing test wells were drilled with nominal 10-inch diameter rockbits. Because piezometer levels were above ground, weighted bentonite slurry drilling fluids were used. Installation details are shown on plate A-19. Screen is 4-inch diameter type 304 stainless steel continuous slot design. Casing is 4-inch schedule 80 PVC pipe. Screen slot opening is 0.060-inch except V-5 in which slot opening is 0.030-inch. Gravel pack gradation is the same as for the pumped wells. Development was done by jetting a polyphosphate solution through the screened section and by surging with a surge plunger.

- (2) Well effectiveness.--Installation of 4 flowing test wells, W-2, W-5, W-6 and W-7 was completed on 9 June 84. After all the test wells were completed and developed, they were all plugged, the piezometric surface allowed to stabilize and then the wells unplugged. Piezometers were monitored daily for the first full week after unplugging the test wells and weekly thereafter. The pool was at elevation 868.4 during this time. Total drawdown in each piezometer in response to the flowing wells was plotted, contours drawn, and these values subtracted from refined piezometric contours projected to a pool at spillway crest for the final analysis. These are shown on plate A-22.
- 7. Shear strength testing. -- To provide design strength parameters for the interim and final analyses, consolidated-drained direct shear "S" tests, residual shear tests, and triaxial compression consolidated-undrained (with pore pressure measurement) "R" tests were conducted.

a. Test procedures.

- (1) Direct shear and residual shear.--The direct shear and residual shear tests were conducted with a 3-inch square shear box in which a usually 0.5-inch thick sample is made to shear horizontally. For intact shale specimens the peak strength is obtained within .3 inches of horizontal displacement. The residual shear condition is attained by repeatedly reversing the direction of shear on the induced shear plane. Intact, precut and remolded specimens were tested. Specimens were consolidated in most cases to 6.0 tons per square foot (TSF) prior to shearing. Deformation rates were on the order of 0.2 to 0.4 inches/day. Soft shale specimens were trimmed using a band saw; a diamond rock saw was used when harder rock formed part of the specimen.
- (2) Triaxial compression consolidated-undrained (with pore pressure measurement) R tests.--The R tests were conducted to determine total and effective stress strength parameters on slickensided surfaces in the soft Raytown shale seam. The slickenside was oriented at 55 degrees to 60 degrees from horizontal to assure failures developed on the slick. Specimen size was 1.4-inch diameter by 3-inch long so that 2 specimens could be trimmed from a single 6-inch core containing the near horizontal slick. Specimens were trimmed using a band saw and a supporting jig to prevent disturbance or shearing on the slick. All specimens were back-pressure saturated to obtain 100 percent saturation (Skempton's B parameter greater than .95 was required) prior to consolidation and shear. The shearing rate was selected to allow pore pressure measurements and was based on t₅₀ from the consolidation data.
- b. Initial tests.--A 4-inch core sample (hole I-108-1) recovered from the Raytown Limestone contained soft shale seam about 1-1/2 foot above the Muncie Creek contact. The seam had a continuous near horizontal slickensided surface but the sample was too small to test intact. There was, however, sufficient material to run a remolded specimen. The specimen was consolidated to 12 TSF, rebounded to 6 TSF and precut prior to shearing. The specimen developed a residual shear strength of $\tan \phi = 0.147$. At first this was believed to represent a lower bound residual strength. However, subsequently it was discovered that the shear surface contained "gritty" particles and the test results were considered unrepresentative.

The first 6-inch core hole (C-525) recovered the same thick shale seam in the Raytown, but as in the earlier exploratory drilling efforts, core loss and spins occurred in the upper Muncie Creek. The slick was present in the thick Raytown seam (sample 1) and direct shear and residual shear tests were conducted. A tan $\phi=.416$ and tan $\phi=.196$ were obtained. The Raytown/Muncie Creek contact as well as other soft seams in the Raytown and a low angle fracture in the Chanute shale were tested. These results are presented on plates A-23 - A-24. Based on these encouraging results, it was felt the suspected soft seams in the Muncie Creek which had not been successfully recovered and possibly other areas of core loss would probably dictate the design shear strength. Sampling efforts were adjusted accordingly. However, it was considered desirable to obtain at least one other sample of the Raytown slick to better define the effective stress envelope, especially any cohesion intercept, and to develop a total stress strength envelope in case this was needed for the stability analysis.

c. Definitive testing. -- An R test was conducted on the Raytown slick (C-527). Although problems were encountered with the test, an interim design strength of c' = 160 pounds per square foot (psf), tan ϕ' = .265 was selected for the Raytown seam see plate A-27. Since this was considerably lower than the previous direct shear result from C-525, another direct shear test was run C-528. It did not confirm the earlier direct shear test results. This time a tan ϕ = .289 and tan ϕ = .129 was obtained. The inconsistency is best explained by the concave shape of the slick surface in the earlier test as opposed to a near planar surface in the latter. Because of the wide difference in results, it was considered prudent to run an additional R test and residual shear tests to increase the confidence level in the strength results. In the meantime, by using an overcoring technique, it was determined that suspected soft seams in the upper Muncie Creek were not present. Thus the design strength would be dictated by the slickensided surface which had been encountered in all the samples recovered from the Raytown shale seam.

Residual strengths obtained on precut specimens from the Raytown seam were nearly identical to the result from the intact specimen on the planar slick, $\tan \phi = .13$. Of some interest is the fact that the peak strengths on the precut surfaces were also quite similar to the peak strengths on the planar intact specimen. The second R test result from C-532, was c' = 500 psf, $\tan \phi'$.31. A final direct shear test was run at a consolidation pressure of 4 TSF. From these additional tests, the final design strength was adjusted to c' = 250 psf, $\tan \phi' = .268$ (see plate A-27). Thus the selected peak design strength was not significantly lower than the original design peak envelope.

8. Stability analysis criteria.

a. Shear strength considerations.--The DM and preliminary stability analyses were conducted using two different strength approaches: 1) peak design strength along the entire failure slide surface and 2) peak design strength along the failure surface in the active and passive wedges and residual shale shear strength in the central block portion of the slide. These strength approaches were discussed with MRD and OCE personnel at a site inspection in late April. It was agreed that use of the residual strength in a stability analysis may be overly conservative. However, use of peak strengths in the analysis was probably unconservative, because of strain

incompatibility between a shear zone in the shale, the foundation overburden and the embankment. With small strains in the shale, the peak strength could be developed before peak strengths are attained in the embankment and overburden.

Accordingly, a third approach was considered for the interim and final analyses which would allow use of peak strength in the active wedge portion of the failure surface and in the shale seam, but not in the passive wedge portion since relatively large displacements would be required to develop full passive resistance. It was considered reasonable to use strengths in the passive wedge (foundation overburden) which correspond to 0.5 percent strain development. Strains somewhat larger than this were required to develop the peak strength in tests on the slicksided shale surface. Test results on overburden clay samples from the left abutment and for the embankment were available from the earlier design investigation. Although record control tests from the embankment indicate higher strengths than those used for the design analysis, design strengths were not changed. (The DM strengths were determined for minimum required placement conditions, 95 percent maximum density and +3 percent optimum water content.) As a result of the extensive exploratory boring through the foundation overburden, it was concluded that a coarse-grained pervious layer was consistently present immediately above rock. Since DM tests on overburden samples were on the weaker lean and fat clays it was believed reasonable to assign a strength to the pervious layer of c=0, \$\phi\$ =30 degrees in the active wedge portion of the failure surface. In the final analysis, the Raytown Limestone above the shale seam in the active wedge was assumed to have a vertical joint and was given no shear strength. See plate A-27 for a summary of the design strengths used for the various analyses.

b. Required safety factor.--In many cases during a DM stability analysis, comparatively little is known about specific foundation conditions at a given location. The design safety factor of 1.5 required by EM 1110-2-1902 for the steady seepage case is in part, intended to provide an added degree of safety, in case possible locally weak areas do exist. Since considerable exploratory work, sampling, and testing was conducted for this investigation, a safety factor of 1.3 for the left abutment embankment is appropriate for the detailed stability analyses conducted.

The minimum safety factor of 1.3 must be obtained using the more conservative hand wedge method (with horizontal B, and B forces) instead of SLOPESR. The hand wedge method has been used extensively by the District in past stability analyses and thus is an integral part of our slope design experience. SLOPESR was used to expedite the analyses and to find the most critical combination of slope and piezometric levels. The results were checked by the hand wedge method.

9. Left abutment embankment stability analysis. -- The re-analysis of the left abutment section for embankment stability was conducted in three phases. A preliminary stability analysis used DM strengths and projected piezometric uplift levels based on recorded data. The results of the preliminary analysis led to concerns for the stability of the dam at high pool levels. Subsequent analyses included an interim analysis for an interim solution and final analysis for a permanent solution.

a. <u>Interim analysis</u>.--An early objective of the investigation was to determine whether an interim solution could be effected which would not require the construction of an expensive stability berm. Further, emergency construction of a berm would have in all likelihood been rockfill and made additional and badly needed exploratory work very difficult, if not impossible.

The interim solution which was discussed with MRD in June 1984, consisted of pumped test wells installed through the downstream slope. The interim analysis was conducted at Station 110+00 with pool levels at multipurpose pool and at spillway crest pool. The third strength approach was used for the analysis, using peak strengths in the active wedge and central block and strength corresponding to 0.5 percent strain in the passive wedge. The strengths used are shown on plate A-27.

Piezometric levels used corresponded to recorded values for multipurpose pool and projected values for a spillway crest pool condition. Drawdown obtained from the pumped test well data at multipurpose pool was subtracted from the projected piezometric levels at spillway crest. The piezometric contours used in the interim analysis are shown on plate A-21. Results of these studies are summarized below and on plate A-28.

Safety Factor

	Hand Wedge	SLOP B 8R	
Multipurpose Pool, El. 864.2	1.40	1.66	$(9=8.5^{\circ})$ $(9=8.0^{\circ})$ $(9=8.9^{\circ})$
Spillway Crest Pool, El. 880.2	1.20	1.41	$(9=8.0^{\circ})$
Spillway Crest Pool with pumped test wells	1.32	1.55	(9-8.9°)

From the plot of safety factor versus pool level it was determined that pumping would begin whenever a pool of elevation 872 or higher is forecast to assure a safety factor of greater than 1.3 (see plate A-29).

While this interim solution provides an adequate safety factor, it is not desirable as a permanent solution since it requires a specific human response for the life of the project whenever high pools are experienced. There are concerns that at some time in the future, when current personnel are no longer around, that the necessity of maintaining and of pumping the test wells each time the pool approaches elevation 872 may be forgotten. The solution is also dependent on complete mechanical and electrical reliability of pumps and generators; any significant downtime during periods of high pool would be potentially dangerous.

b. Final analysis. -- The final stability analysis consisted of locating the most critical slope in the abutment area for the steady seepage case at spillway crest pool, both with and without pressure relief wells at the toe. Piezometric levels were projected for this pool condition, using the most recent recorded piezometric levels. This analysis of the more recent piezometric data indicated some reduction in anticipated pressure levels was justified. Drawdown data obtained from the installation of 4 test relief wells near Station 110+00 was used to evaluate the effect on stability. The drawdown obtained with the pool at elevation 868 was applied directly to refined projected spillway crest piezometric levels (see plate A-22).

With the completion of laboratory shear strength testing, the design shear strength for the shale foundation was further refined as discussed previously. This final design shear strength was used with the same strength approach used in the interim analysis, that is, peak strengths in the active wedge and central block, strength at .5 percent strain in passive wedge and a required safety factor of 1.3 (see plate A-27). Results at Station 110+00 are as follows:

Safety Factor

	Hand Vedge	SLOPE8R
Spillway Crest Pool, without relief wells	1.25	1.47 (9=8.7°)
Spillway Crest Pool, with relief wells	1.30	1.53 (9=8.7°)

The safety factors above are conservative in that piezometric levels assumed for the "with wells" condition are conservative. The effect of the wells at a spillway crest pool should be greater than with the pool at elevation 868.

Further the safety factor will be increased by lowering the outfall of the wells with the installation of a buried collector pipe at a depth of 3-4 ft. and installing more pressure relief wells at the toe and through the embankment with the "outfall" in the pervious drain. (See recommendations.)

- 10. Conclusions. -- The following conclusions are made.
- a. The embankment on the left abutment as constructed has an inadequate safety factor with respect to slope stability for a spillway crest pool, steady seepage case, because of higher than anticipated piesometric levels in the foundation.
- b. An interim solution of pumped test wells through the downstream slope will provide an adequate safety factor until a permanent solution can be implemented. The pumping will commence whenever the pool is predicted to rise to elevation 872 or higher.
- c. Construction of a stability berm is not warranted. In addition to the cost ($$500,000 \pm$) and environmental impacts, it cannot be implemented as quickly or as efficiently as pressure relief wells. Installation of pressure relief wells at the toe area will provide an adequate safety factor for spillway crest pool, steady seepage conditions.
 - 11. Recommendations. -- The following recommendations are made.
- a. Pumped test wells should continue to be implemented as the interim solution until the permanent solution is in place.
- b. The following schedule for monitoring of the existing instrumentation in the left abutment area is recommended.

Pool Elevation	Frequency
865.5 and below	monthly
865.4 to 869	veckly
869 - 872	biveekly
872 and above	daily

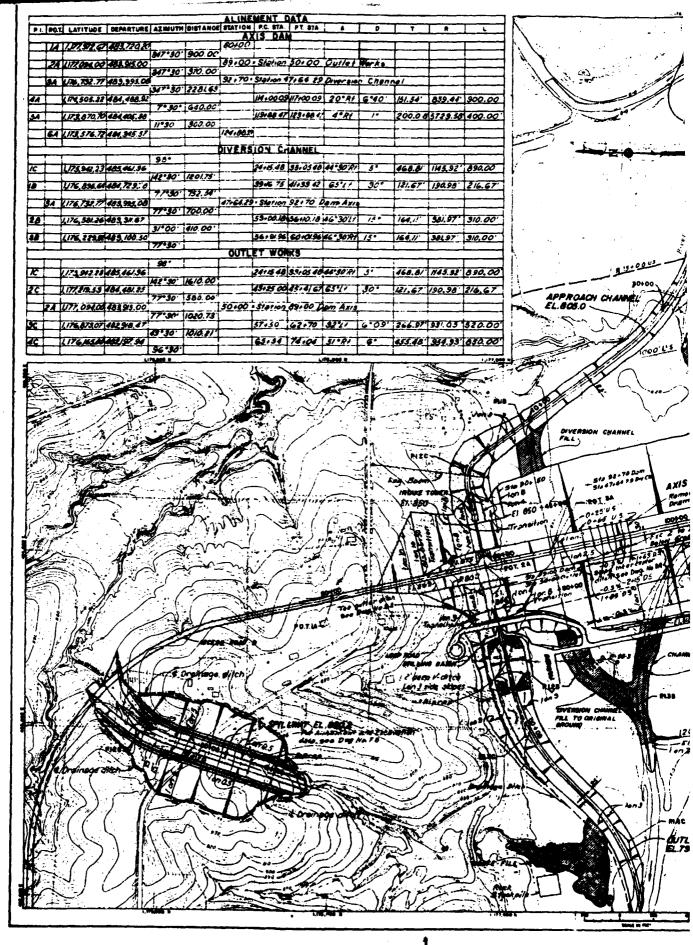
c. Additional pressure relief wells should be installed in the toe area as shown on the plate A-30. The well outfalls should be connected to a buried collector pipe. Additional wells should be installed through the downstream slope with a riser section containing a well screen at the pervious horizontal sand blanket to provide pressure relief. Estimated cost for the above remedial measures are as follows:

12 additional pressure relief wells @\$6,000 ea \$72,000 Buried collector system 8,000 \$80,000

- d. It is also recommended that the wells be installed with the District's own hired labor drill crews for the following reasons:
- (1) With the existing piezometric surfaces well above the ground surface in the area where the wells for a final solution would be installed, the risk of an inexperienced and/or inept contract drill crew losing a hole or otherwise compromising the integrity of the dam is too great.
- (2) The Kansas City District drill crews have successfully and efficiently installed four test wells in this area without any compromise of the safety of the dam.
- (3) Due to the heterogeneity of the subsurface conditions in the left abutment area, some adjustments in well location, screen locations, screen size, etc., may be required based on the conditions encountered. District drill crews can more readily adapt to such required changes.
- (4) If funds were made available for implementation of the proposed permanent well solution, District drill crews could have all of the work completed by time it would be put out for bids under normal procurement procedures.
- (5) For a viable dam safety program it is essential that inhouse drill crew forces be able to respond quickly and effectively to dam emergencies. In order to provide this response it is necessary for in-house drill crew forces to have drilling experience in conditions and of the type that would be encountered in a dam emergency. Although the present conditions at Smithville are not an emergency, the opportunity to install relief wells and a collection system under high piesometric head conditions is an excellent means of further honing and refining the expertise that presently exists in the Kansas City District.

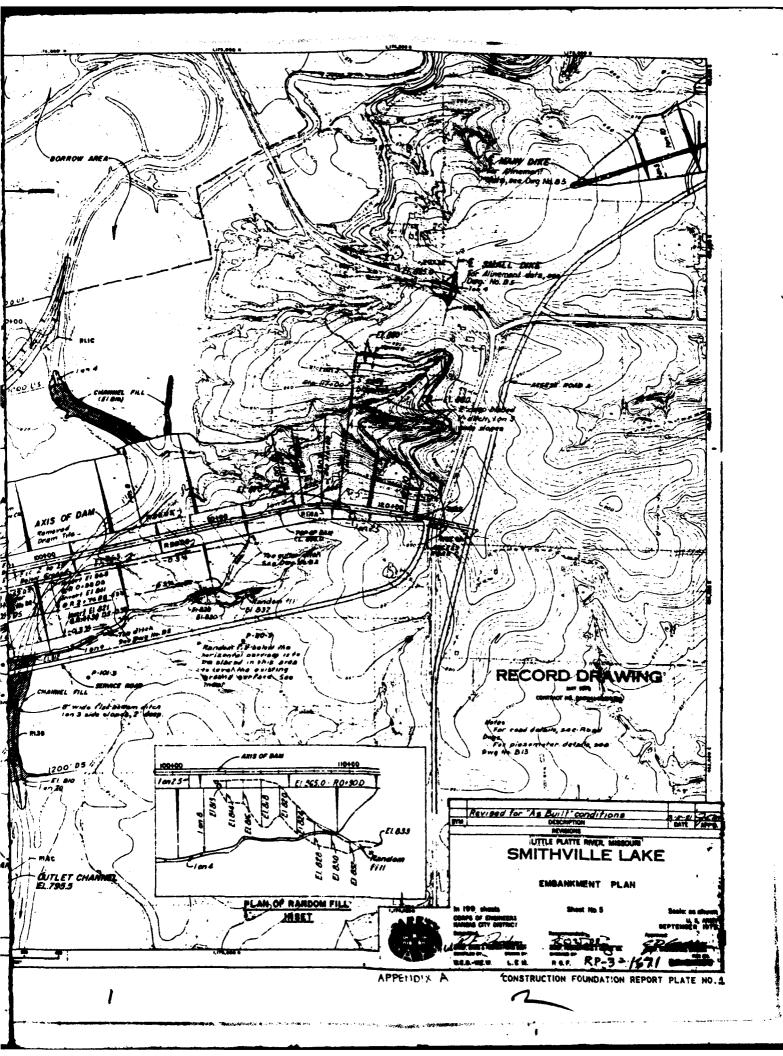
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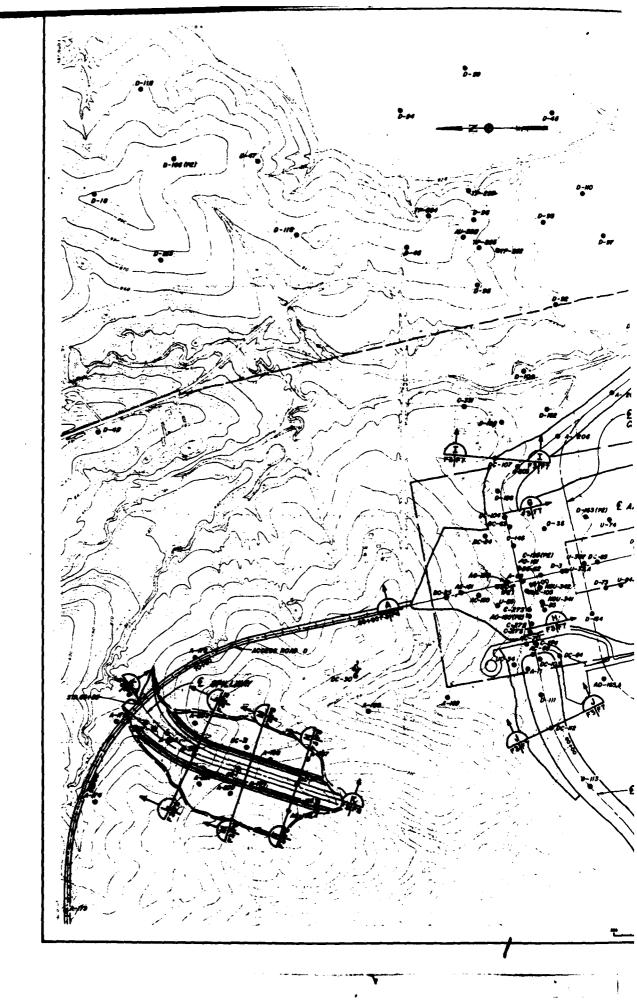
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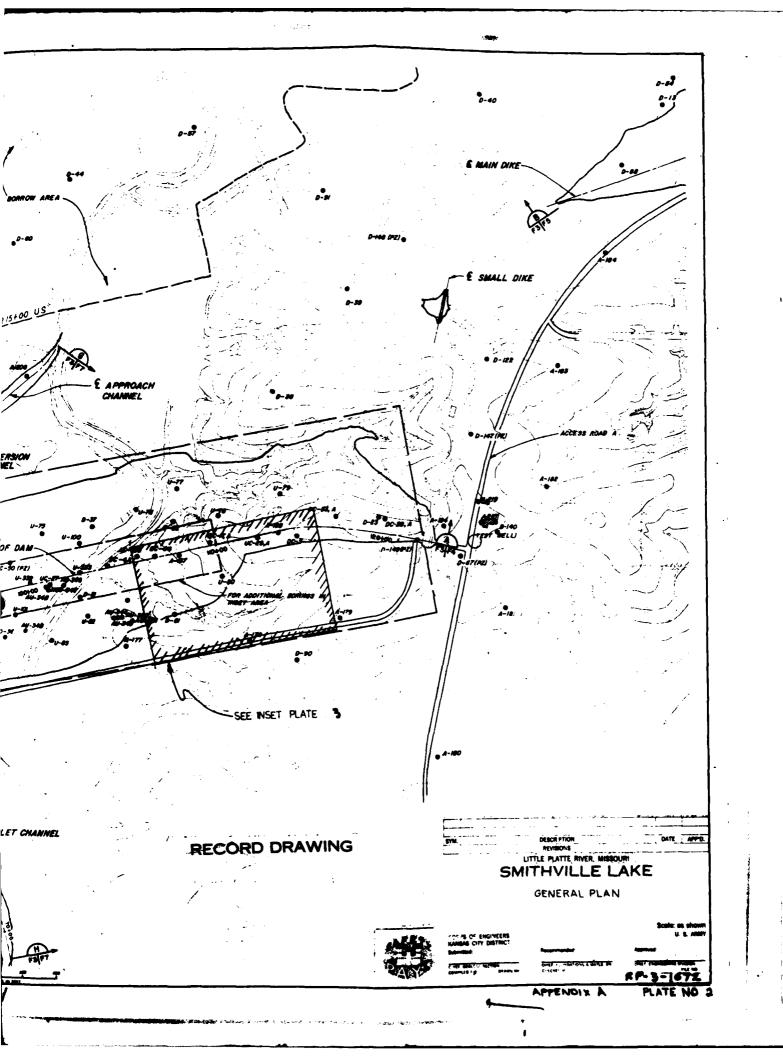
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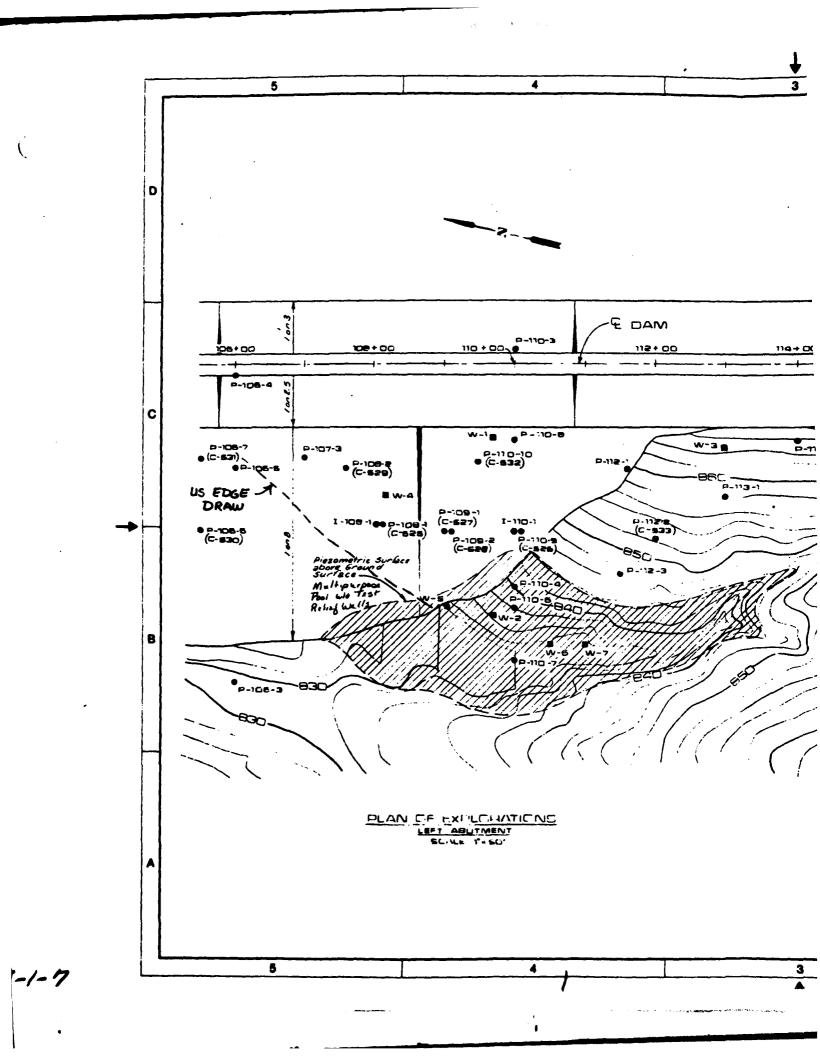


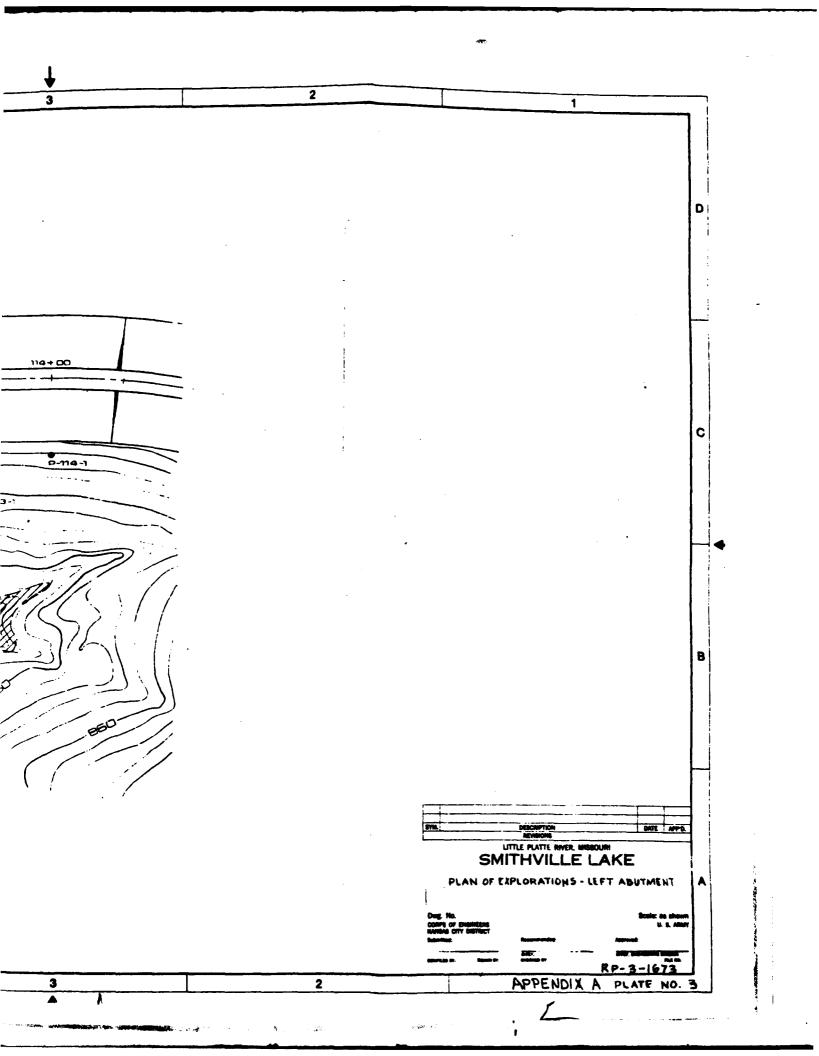


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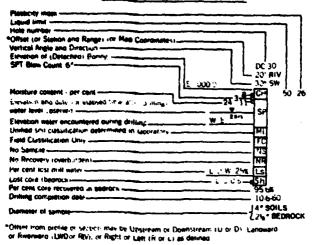
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LEGEND FOR LOGS OF BORINGS



MAP SYMBOL

Calya boring (30"

30. Virtical boring showing direction and vertical angle

TYPE OF EXPLORATION

CODE DESIGNATION

D Drive sample hate. C Core hate

TP Test pit (includes power aug 24" or larger diameter) U Undisturbed sample hole

A Auger hate hand or power suger less then 24" diemet NS Nrt Sampled (Field Constication from

FS Field Section of autorop.

BEDROCK UNIT THICKNESS

Porting	< 0.02	
Bend	0.02 to 0.2	
Thin Bed	02° to 0.5°	
Medium bed	0.5' to 1.0'	
Thick bed	10° to 20°	
Martine	>20'	

UNIFIED SCIL CLASSIFICATION SYSTEM

GW	Well graded gravels, gravel-eand measures, little or no hees.
œ	Poorly graded gravels or gravel eand minitures, little or no knex
	Sitty gravers, gravel-rand sit

Clayer graveis, gravel-sand-cay

Well-graded sends, gravery san

SP Poorey praded sangs or gravely sands, little or no times

SM Sifty sands, sand-sitt militures.

SC Clayey sands, sand clay multiples lessication from accual laboratory tests we

Inorgenic sits and very fine sends, rock flour, Sits or cayey hire sends or cayey sits w/slight plasticity

inorpanir clavs of low to medium

Ct. plasticity eravelly clays sandy
Clays sets clays lean clays

Organic sitts and organic sitty clays it live pasticit.

Morganic suts micaceous or distornaceous hine sandy or sully solid elestic sulls

Inorganic class of high plasticity for clays.

Organic clays of medium to high plasticity, organic sits

Pt Post and other healty organic soils

Classification from accual Legistery tests where LL and PI are shown
Oual classification, where used, is in accessance with the United Soil Classification System.
For details on the United Soil Classification System, See Weserveys Experiment Station
Technical Memorandum No. 3-357 detect March 1953 and revised in 1960

TERMS FOR CONSISTENCY OF COHESIVE SOIL AND HARDNESS OF BEDROCK

SOIL

Consussancy	Estimated Unconfined Compressive Strength (Tons per square foot)
Very soft	< 0.25
Soft	0.25-0.5
Medium	0510
Stiff	1.0-2.0
very stiff	2.0-4.0
Hard	> 4.0

BEDROCK

SCALE OF HARDNESS

very soft or plastic
Soft
Can be scratched with tagement
Moderately hard
Can be scratched easily with tands
can be scratched easily with tands
can be scratched easily with tands
can be scratched with Impernal
Difficult to scratch with hards
Very Hard
Cannot be scratched with hards.

ABBREVIATIONS

alt	sternating	•	4	***			
are.	angular	dmo	demo	iee	leached	m d (d)	round. (rounded)
20	aujuquis	dol (c)	dolomite. (dolomitic)	ME	tignite	set	salurated
¥		ent	extremely	ls	kmestone	scet	scattered
	argiffaceous	f (yı	fine, (finely)	H	light	ed (y)	sand, (sandy)
bdo	Ded. bedded bedding	te	iron	lo	lagee	98V	several
501	pearace	Red	Ritled	L.C.	lost core	Sh tys	shale, (shaly)
Dky	BIOCHY	P0	ferm	LDW	last drill water	si (yı	sift, (sifty)
9	brue	fos (s)	Innail, (fonsiblerous)	med	madium	sis.	selfstone
	boulder	trac (d)	Pactures, (Pactures)	mec	(THE CREAMS	<u>-</u>	sightly
a ft	black		fragments, (fragmented)	WW.	mineralised	sics	siceous
BFBC (Q1	brectie (preceietes)	trag (d)	frable	mod (y)	moderate, (moderately)	siks	sichtmades
	prosen	fri		mat (y)			
brn	Drawn	fai	fracile		mottled	10	soft
\$	COSTNE	B'	Etb.u	mss	massive	sol (d)	solution, Isolutionized
cak		E.s	Eusquisou	met	mousi	95	sandstone
carb	CATCATAGUS	Sta	green	ents	material	2 (B)	stamed, (staming)
	Carbonaceous	Rea (A)	gravet, (gravelly)	MOL	mgirne	giti	Stiff
CBV	courty:	97	Ch.	ned	notules	**	stylclinc
chi	coppie	879	Evptum	num	numeraus	v	very
cht	Chert	NA.	high angle	occ (y)	occasional, (occasionally)	wart	vertical
CIFCI	circulation	M	Ners	OP .	COOR	-	Walter
ci ty)	CIBY (CIBYEY)	Mes	heater	or	orange	7	water '
210	Closed	New	horizontal	0/1	OUR	- ·	with
cimid	Compiled	india.		000	partially	-	wellhared
cat	columnar		interbeddad				
conc	CONCRETE	inel	inclusions	pit	pit, pitted, pitting	wild	white
CORE	Condiguesta		interlammeted	pi ofo	pleatic	n-belg	created bodded
CITE		ier	eregular	600	ploty .	100	crystations
	Crumbby	pt (19)	renti. (portis)	pin .	plane	y	yellen
•	denies	i e	Inv ange	(a) géa	porting (portings)	When we	ad as les sumbol
-	derk	lors (d)	(envises, (feminated)	qtz (0)	quartz. (quartrie)	Greet teller	t Chadaland

15-1-7

mb.	
40:	

COLOSIC COLUMN - LEFT AMERICA,	ANITHWILLS DAN
Granding: variable thickness Silty clays w/constant beness of fine send & secondaral fine to courts gravel, underlain by clays siles & Sine so maken sends w/clay & secondaral gravel. Clays, conty gravels w/limming clays & quartoics orbites & benidest.	
tain 30 - State overage thickness ?' deft, Massie, eligicity colessous, desk gray.	\
TOTA Fit - Entrolog Limitation (c) inderectly hand no hand, expectabline to deman forciliderous & messive in upper part. This bedded without instances rafe, as leaves their viporotousest ands to v only alayer choic (approximately) on 1.5' about been of England) overlying underectally hard, detect to finally expectables describiforous limitation without partiage to latest part.	
JOLA St - MINISTE CHARK COLLE 7' Soft to medescapily hard o/constimul v soft thin limites, plats, di gray to block, colessances ch soft home.	
TOTA FR - PAGEA LIMENTED 11. Understally hard so hard, finally orystalline, unserte tr/constant orgalization partiage, goog, femaliliforess.	
changes de - smale 10° Seft, encentenel v weft of understaly hard finale to placy, de gray to black, enleaveum, tuny sides partiage to lesse part, seft & clayer n/escentenel elichenoides man beco.	
point Fit - Commit City Limited Particles to desce, thin to med-badded; it gray 6 argillateous, solities upon part, wasy discommissions to perficie to least.	
CHEMINALE DE - QUIVIRA MALS V ooft, mod finnile, di groon-gray,	
Combinivate Di - METTENVILLE LIMETONE 3' de partiage.	
CHROSTALE DE - MA STALE 201 Seft, Aliey, mentus, vertenlared.	

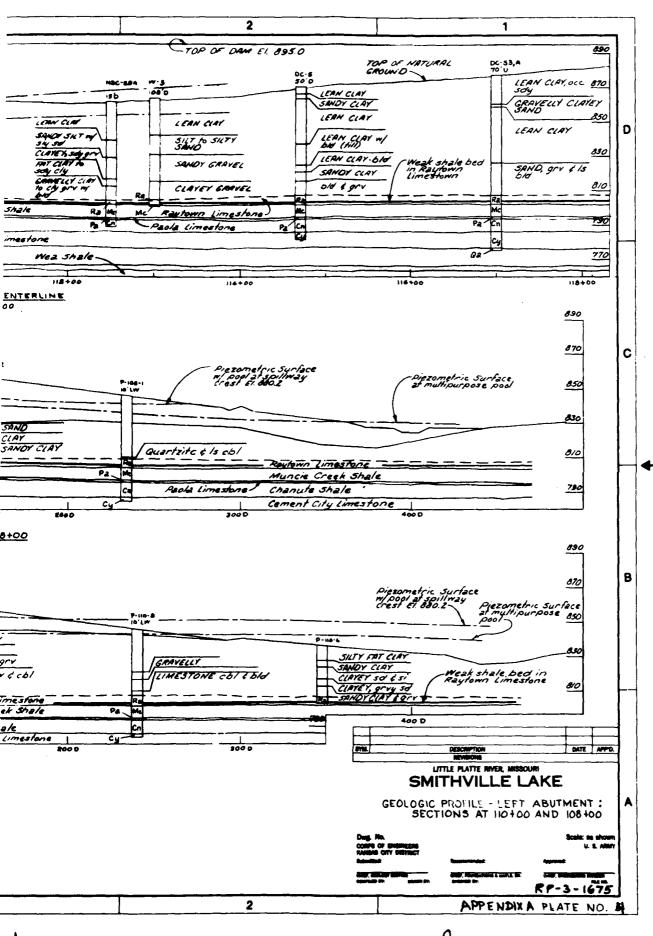
SMITHVILLE DAM LEFT ABUTMENT

BORING LEGEND GROLDGIC COLUMN -LEFT ABUTMENT

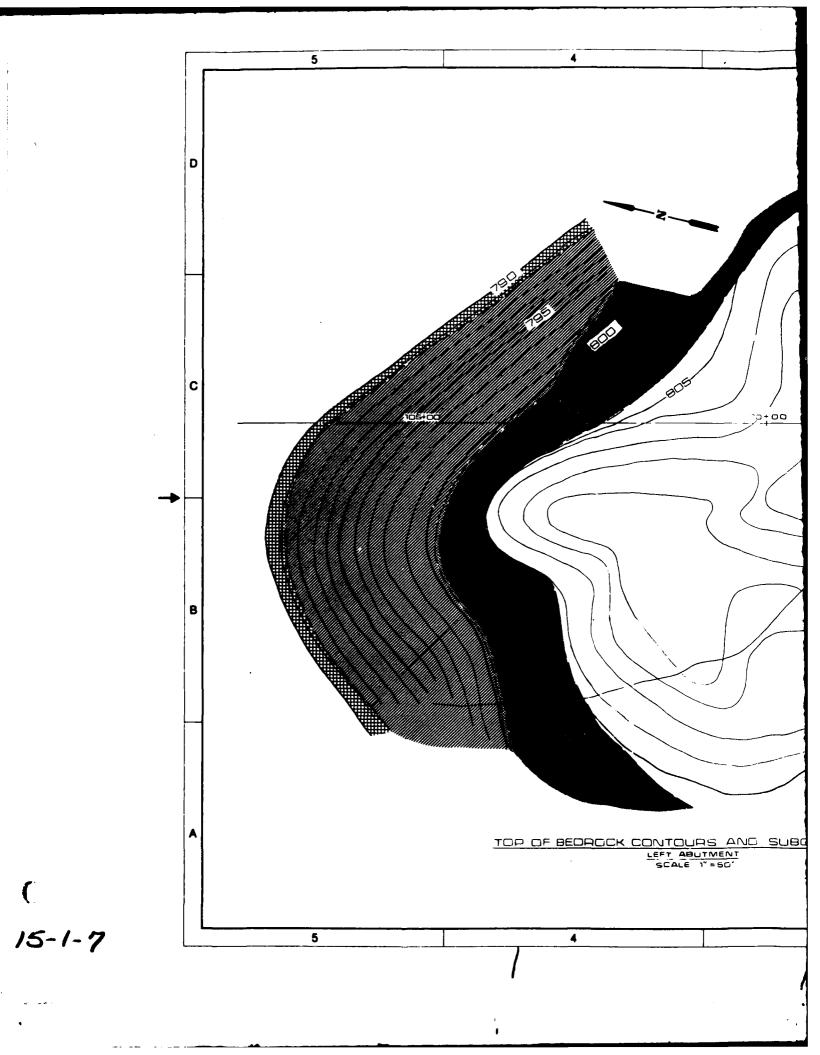
APPENDIX A

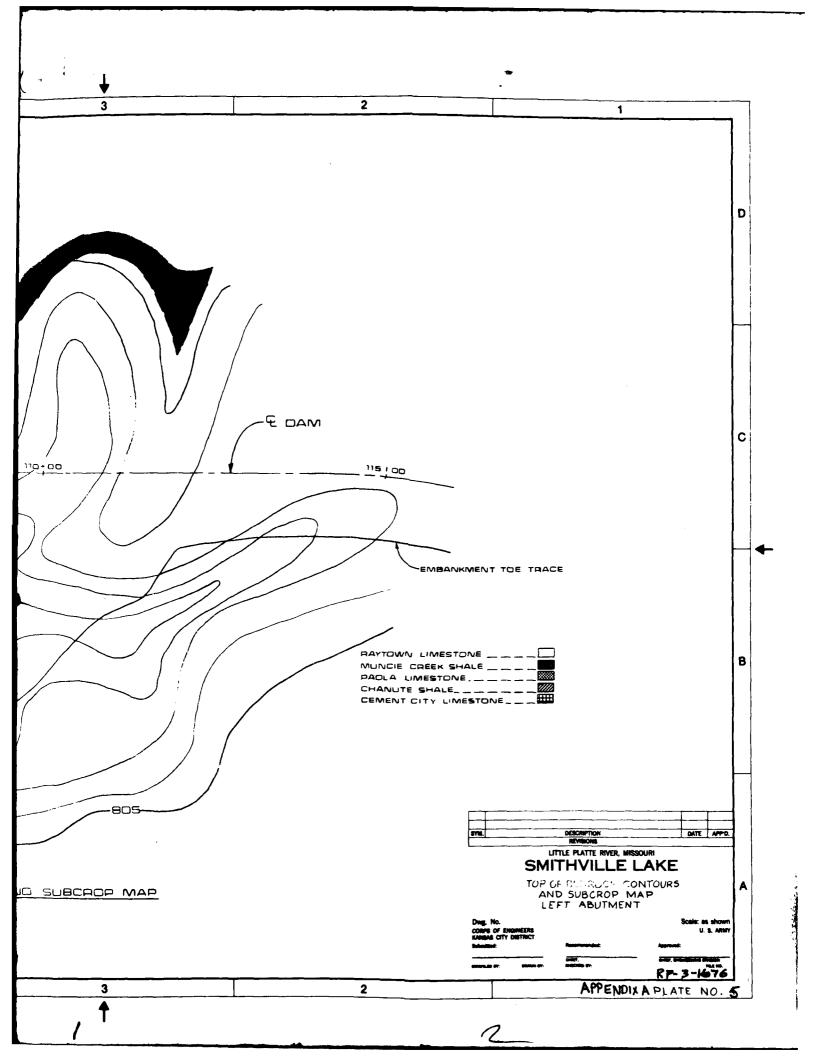
RP-3-1674 JULY 1984

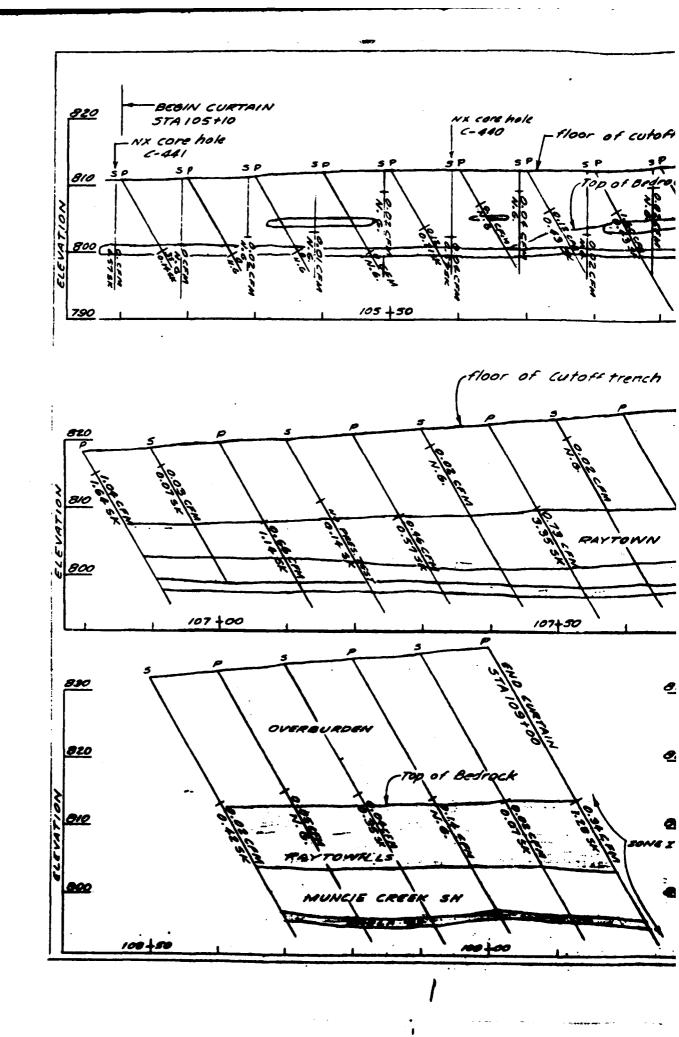
PLATE 3A



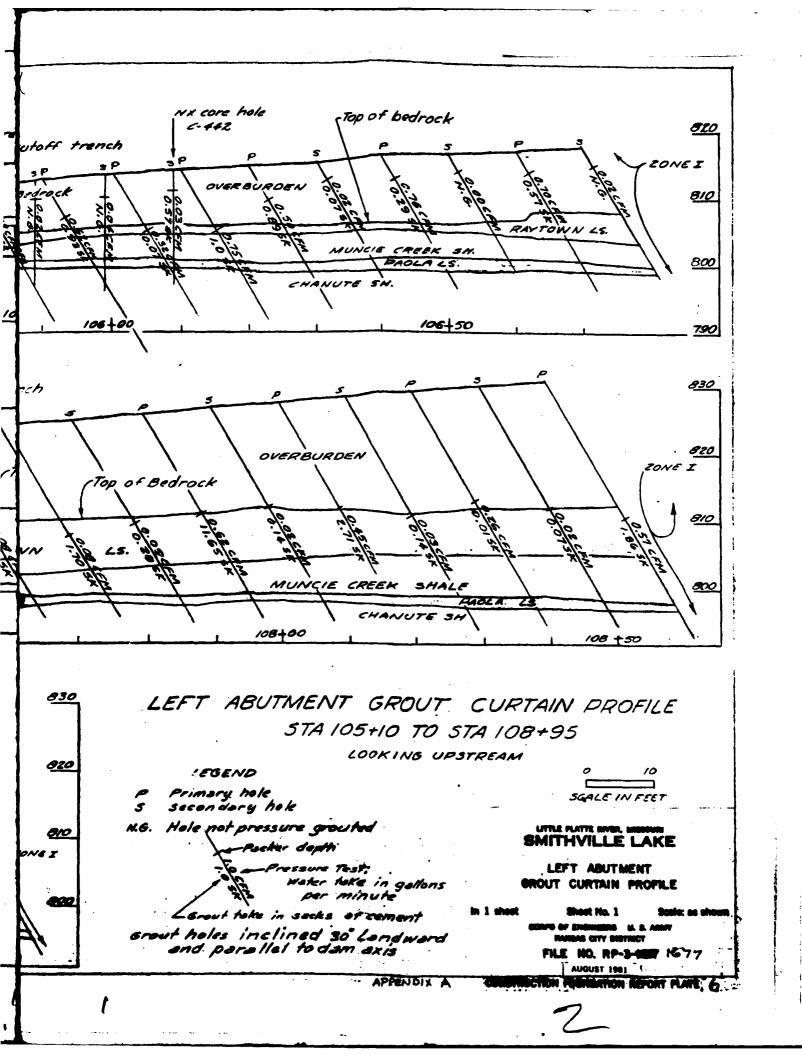
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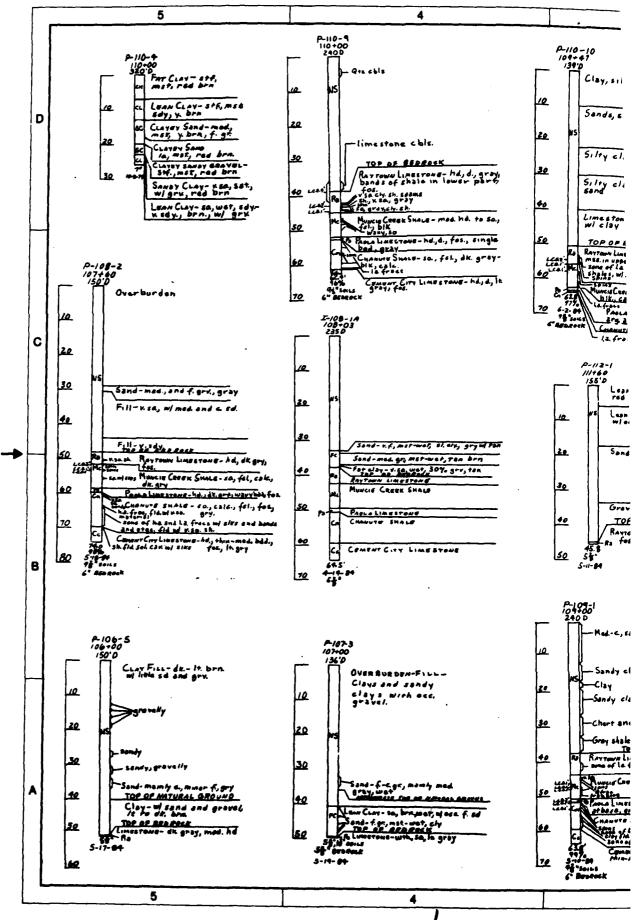


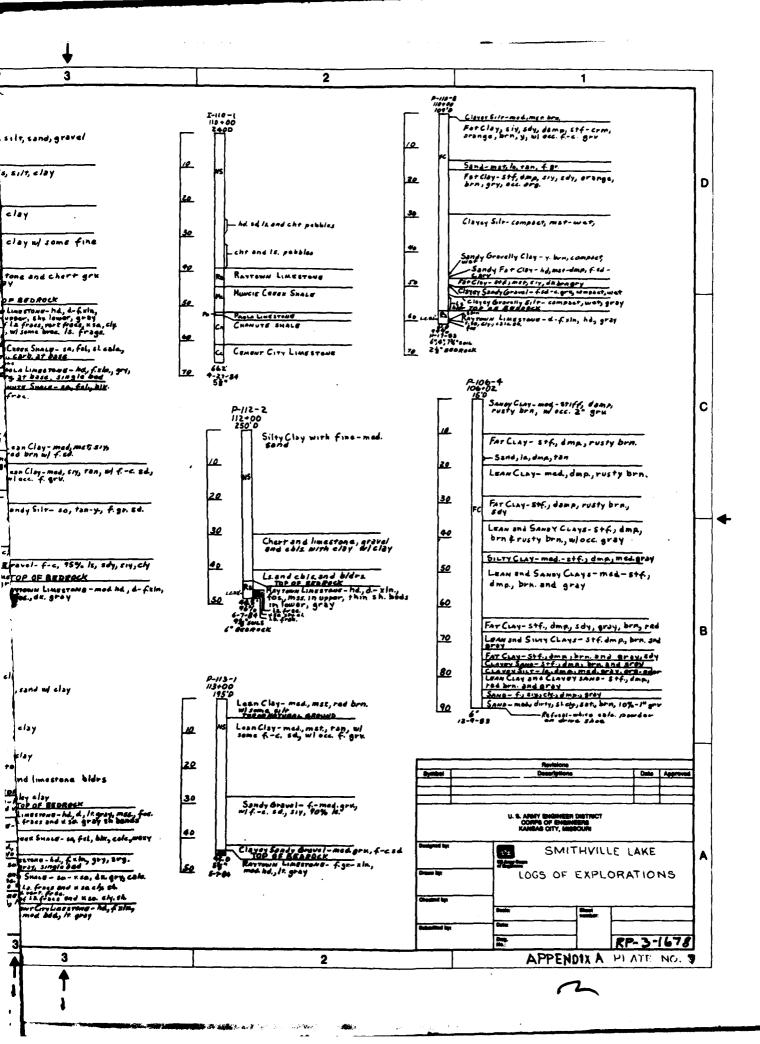


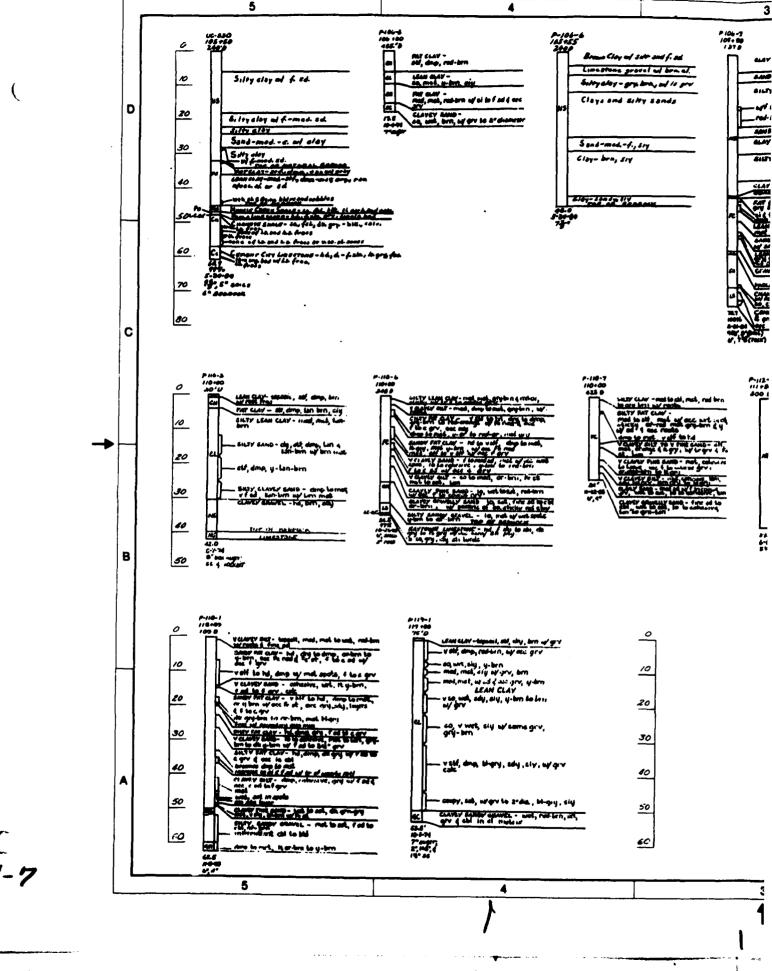


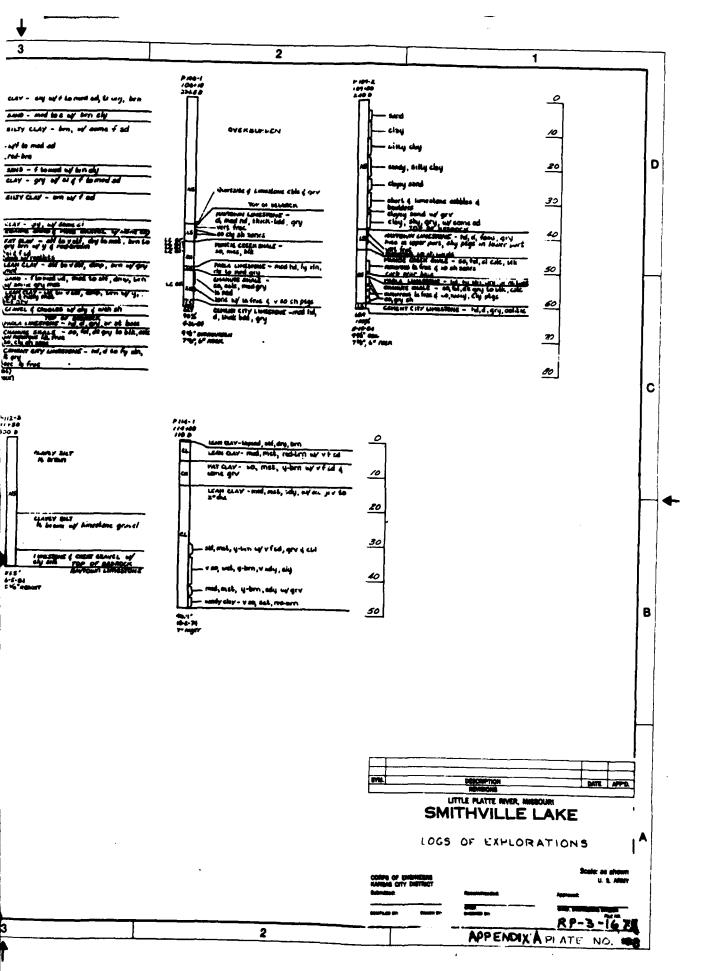
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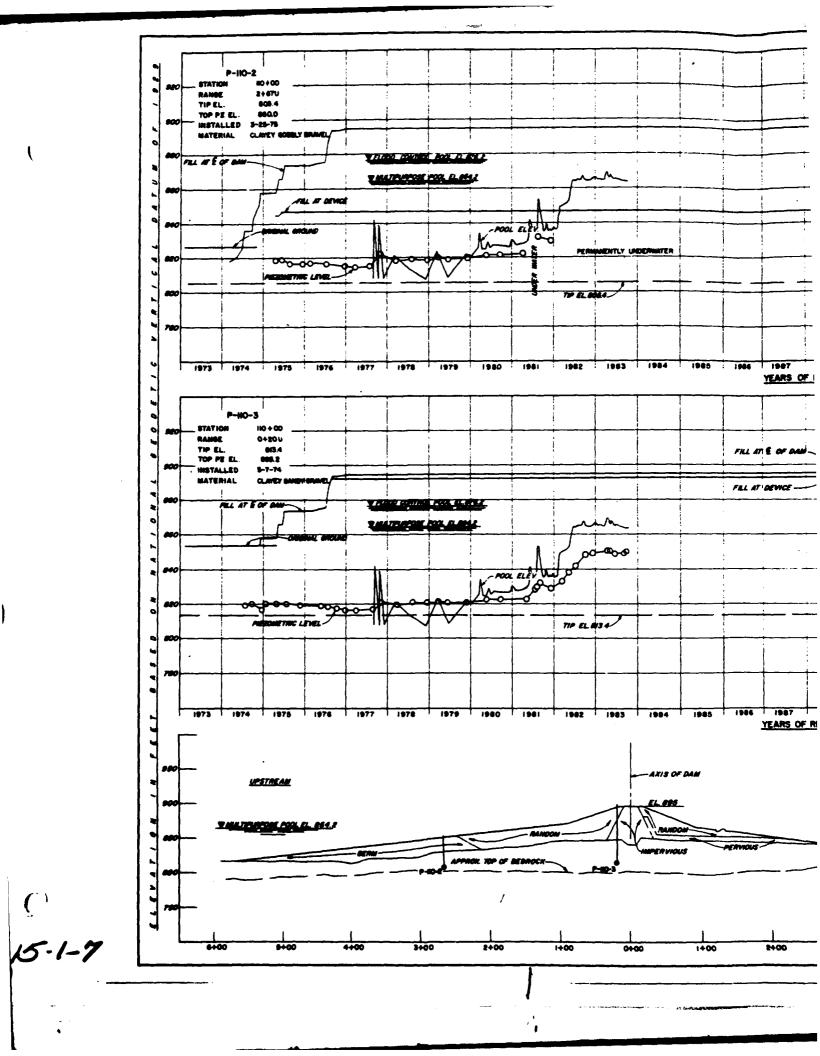


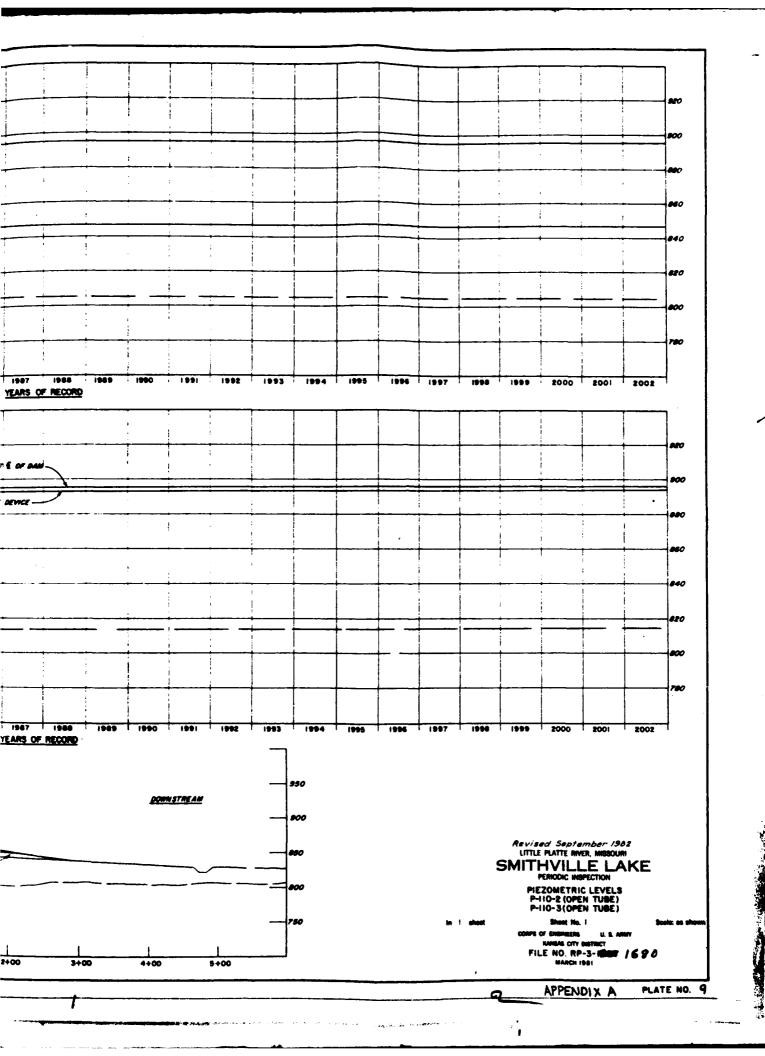


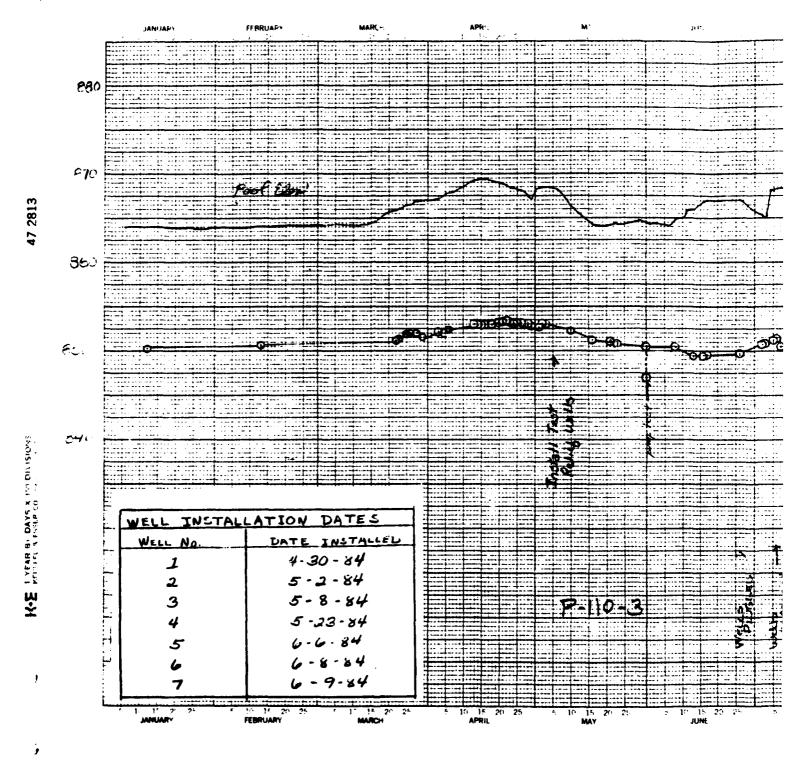




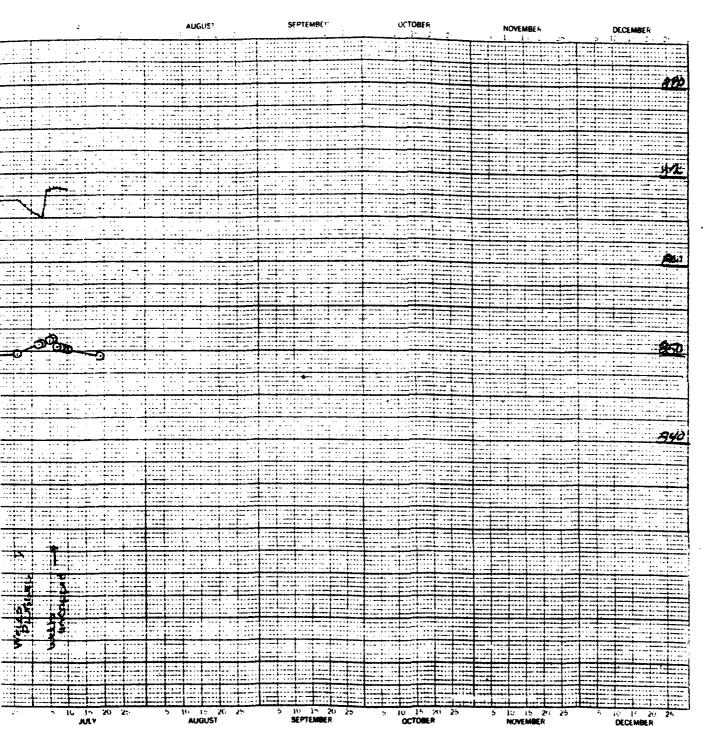
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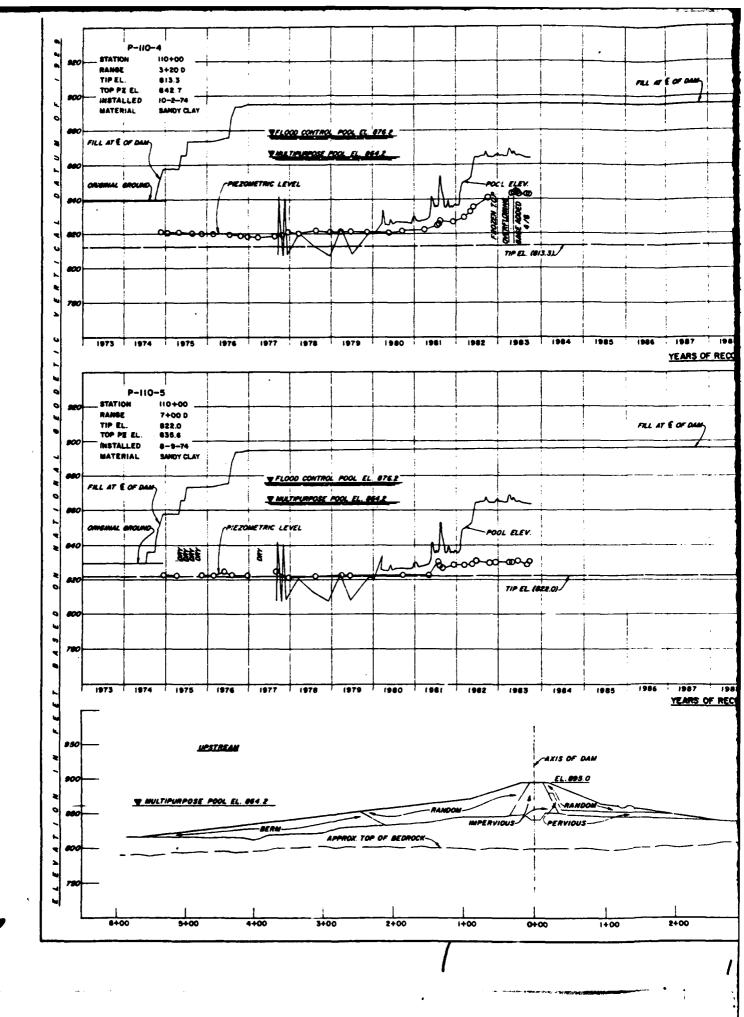


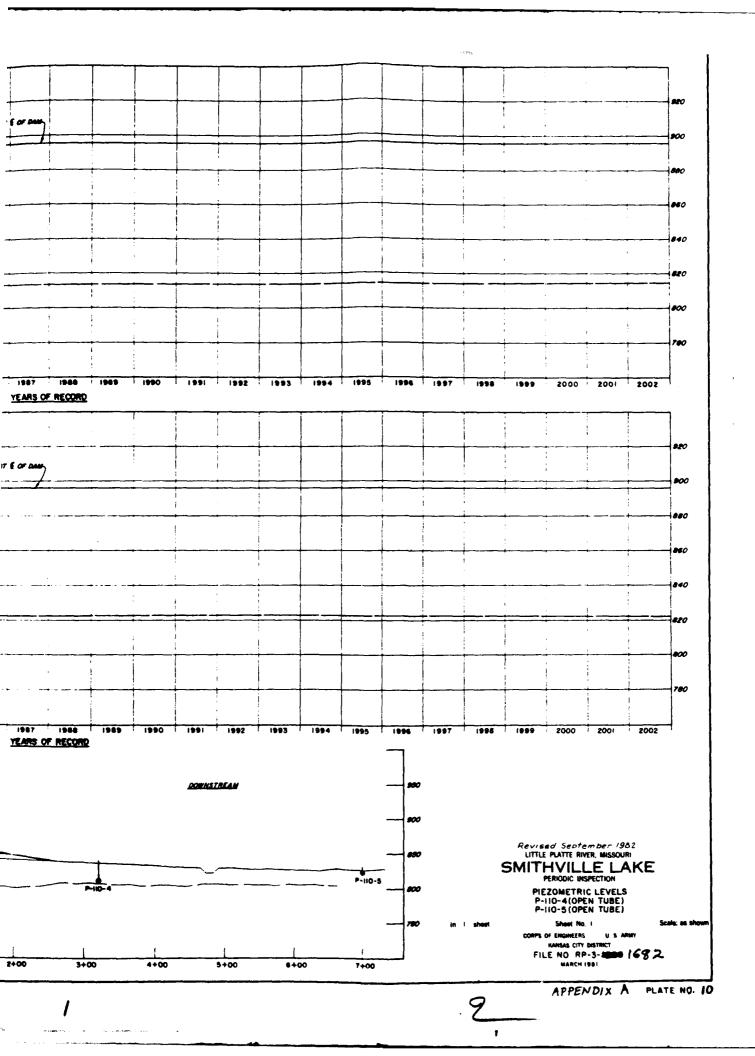


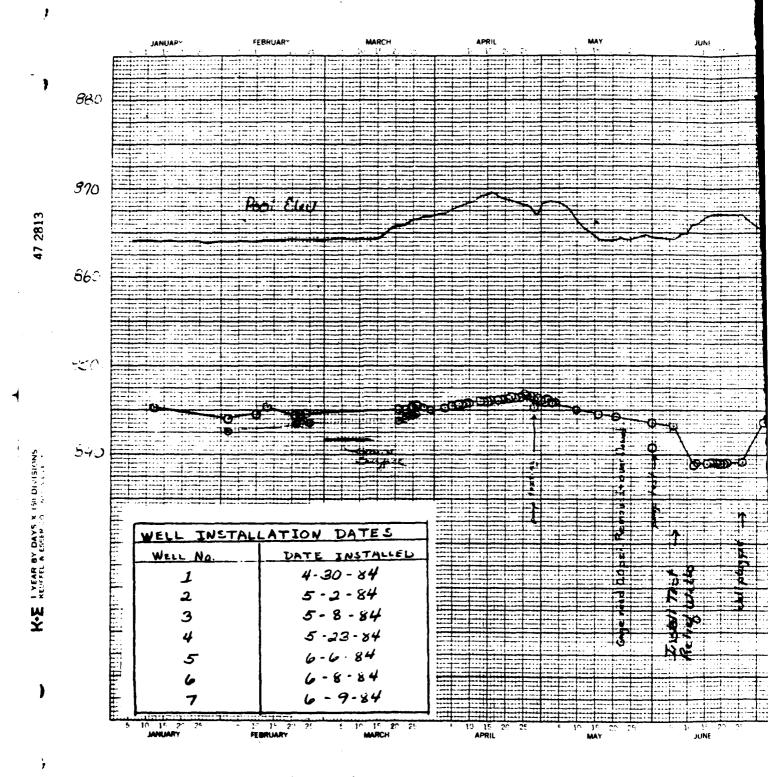
P-110-3



Sta 110+00 Rg 0+20 US Smithaulb Dam
P-110-3
1964 PLCT
RP-3-1681
APPENDIX A PLATE 9A







P-110-4

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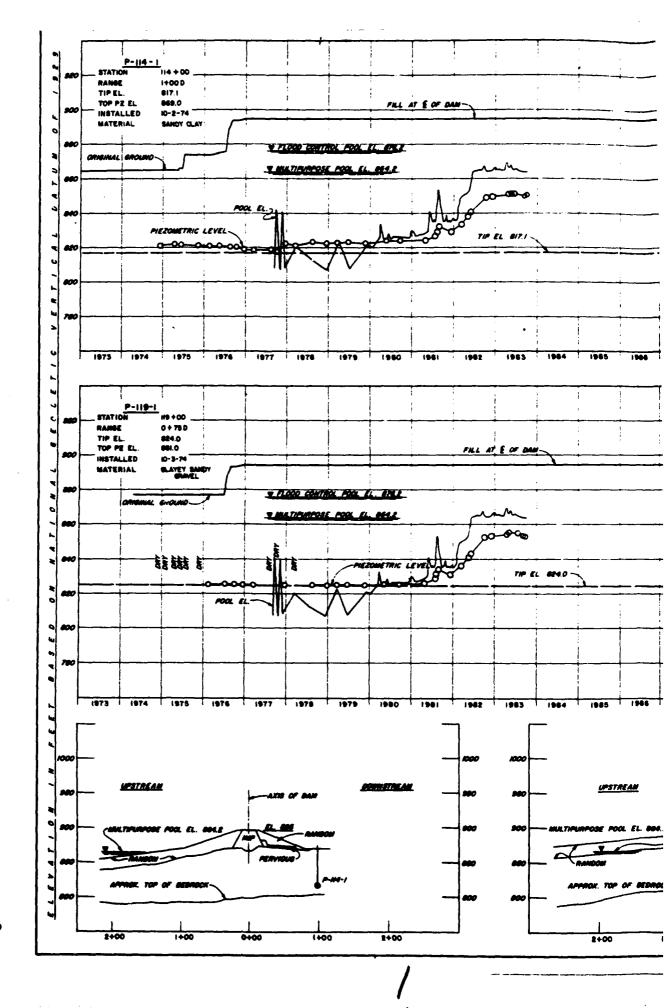
5ta 110+00 R 3+20 D/S OReading: changed to correlate w/516.

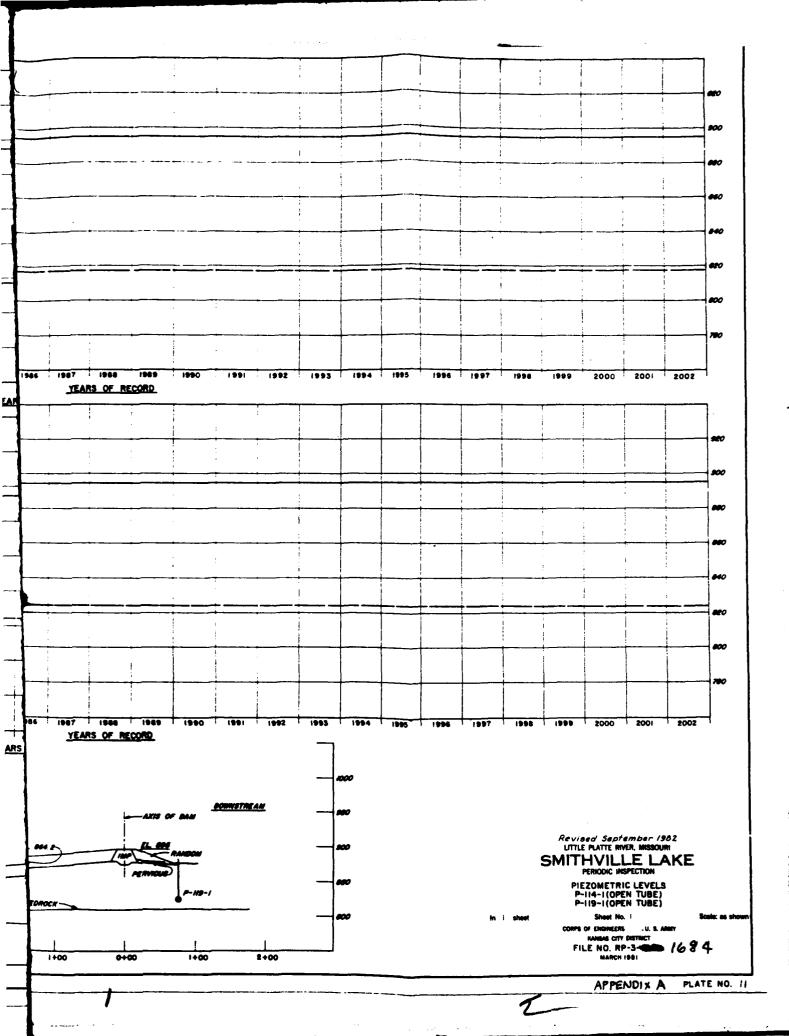
gage: 15# gage und diesal removed
2-27-84. Replaced w/5# Marshall town

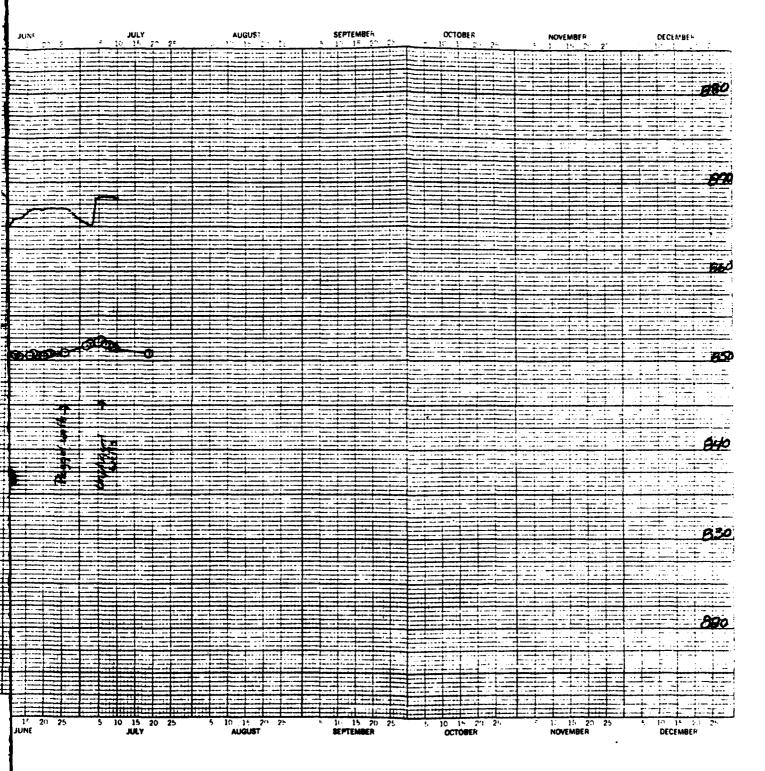
RP-3-1683

APPENDIX A DIATE IOA

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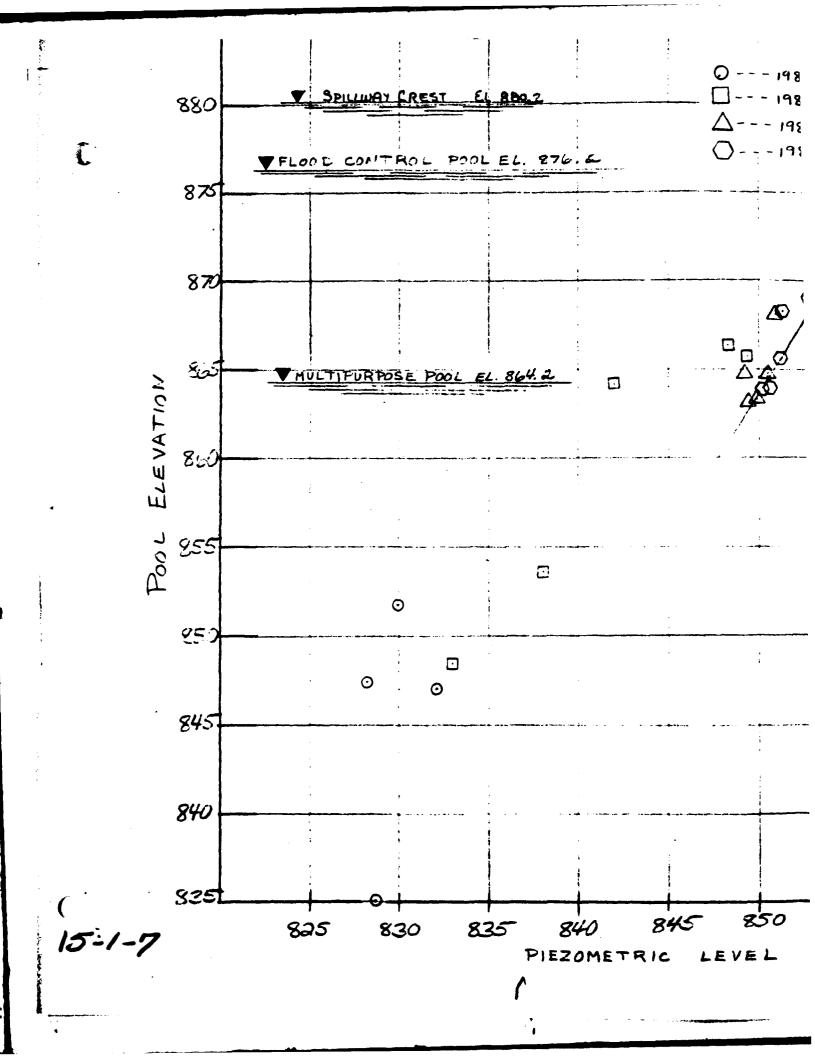


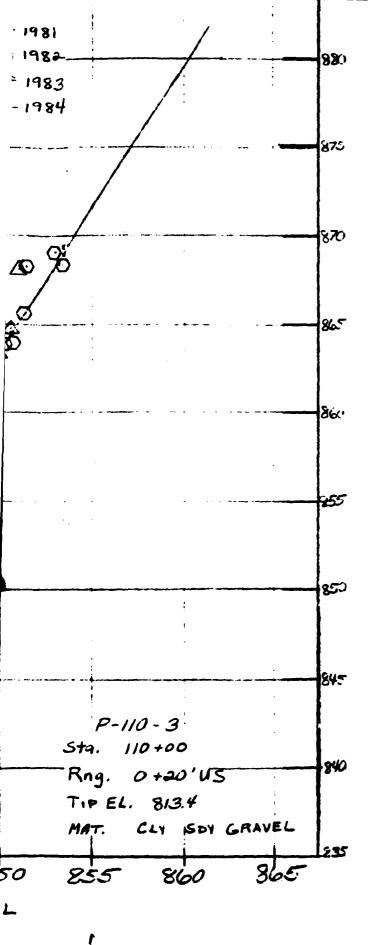


STA 114+00 k 1+00 D/1

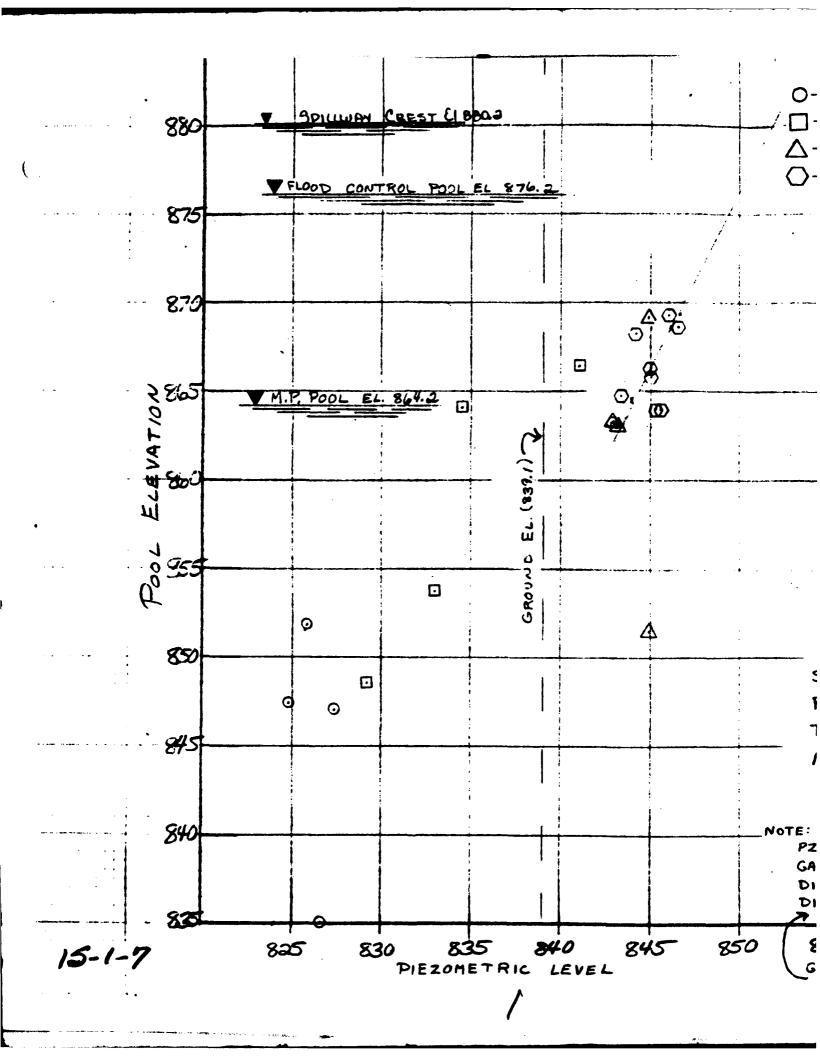
SHITHVILLE DAM P-114-1

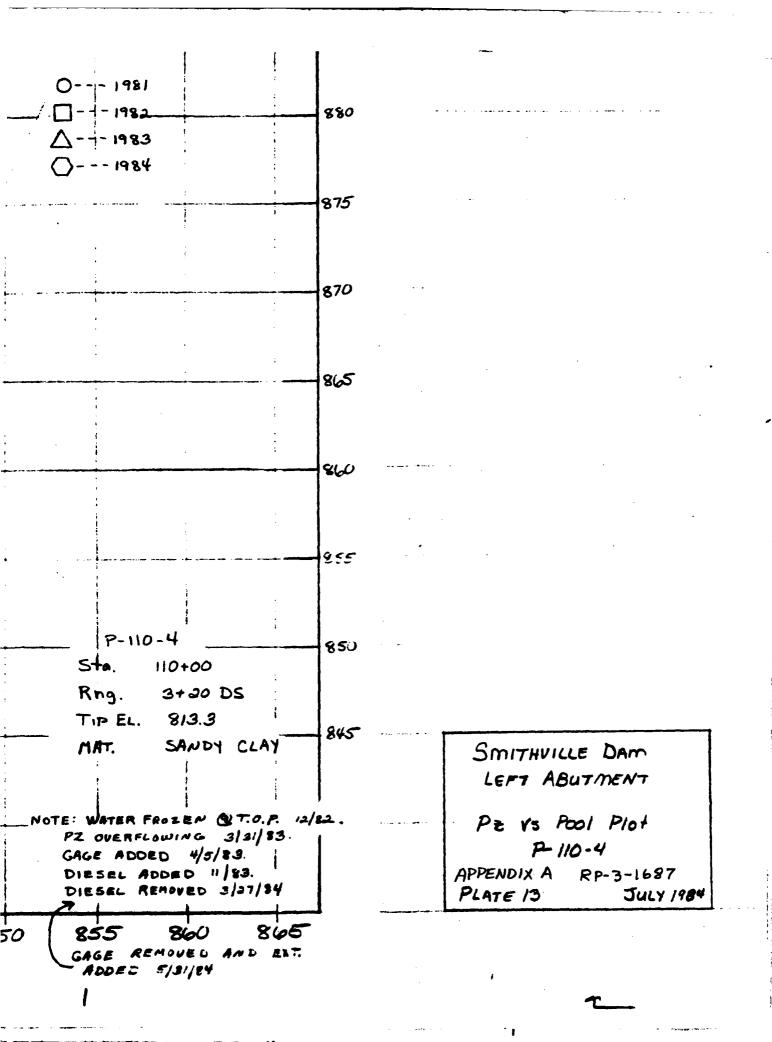
RP-3-1685 APPENDIX A PLATE 11A

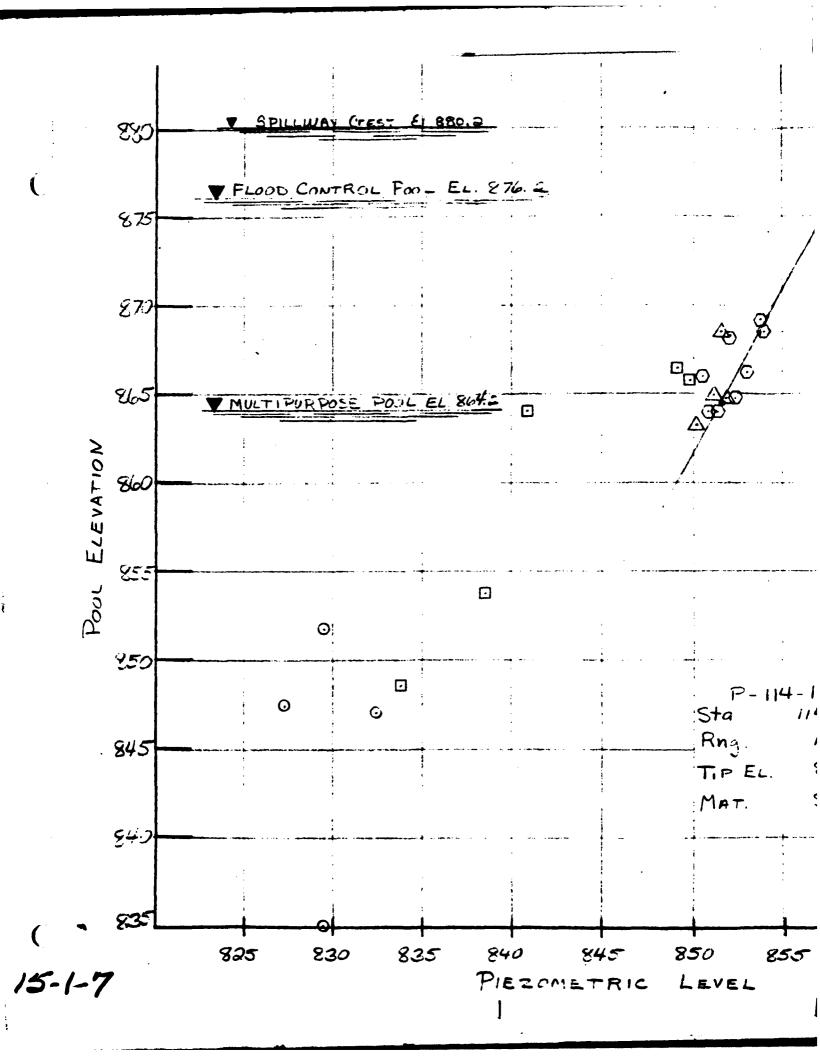




SM ITHVILLE DAM LEFT ABUTMENT Pz vs Pool Plot P-110-3 APPENDIX A RP-3-1686 PLATE 12 JULY 1984







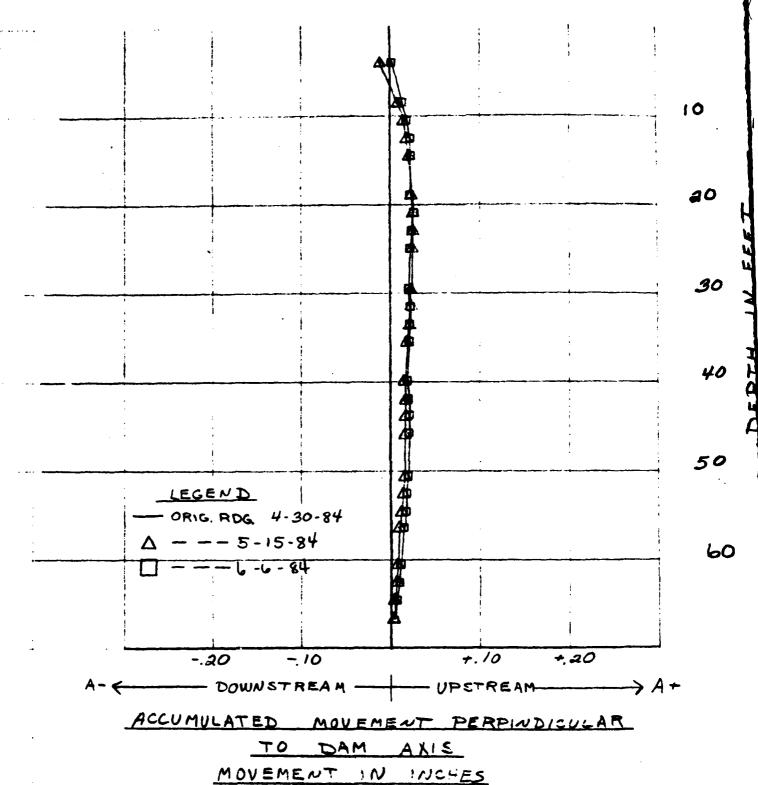


SMITHVILLE DAM
LEFT ABUTMENT

PZ YS POOI PLOT
P-114-1

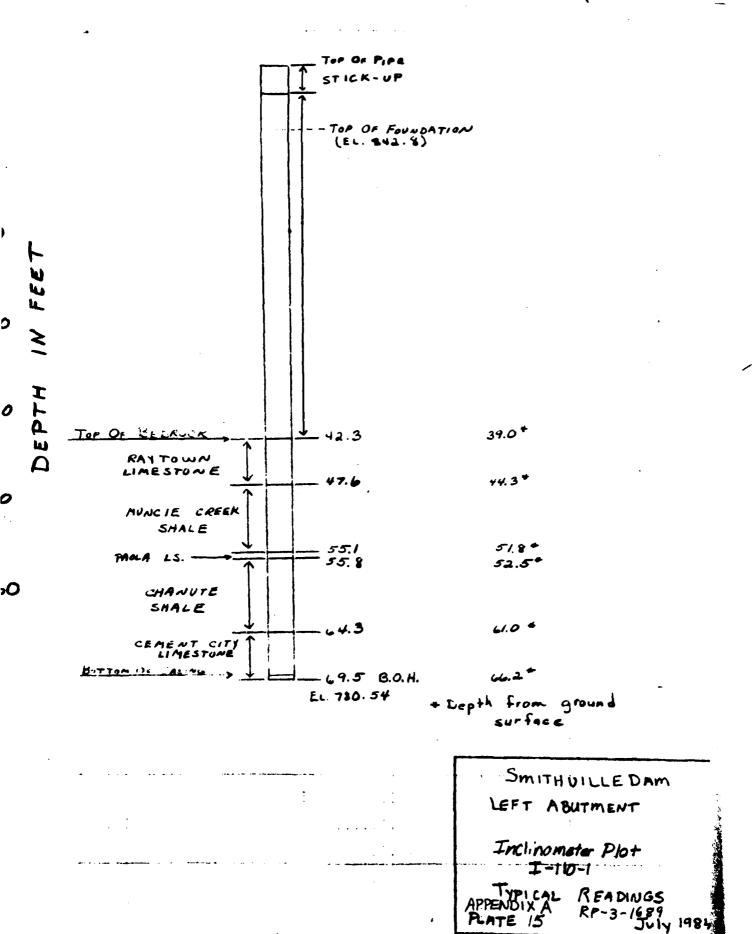
APPENDIX A RP-3-1688

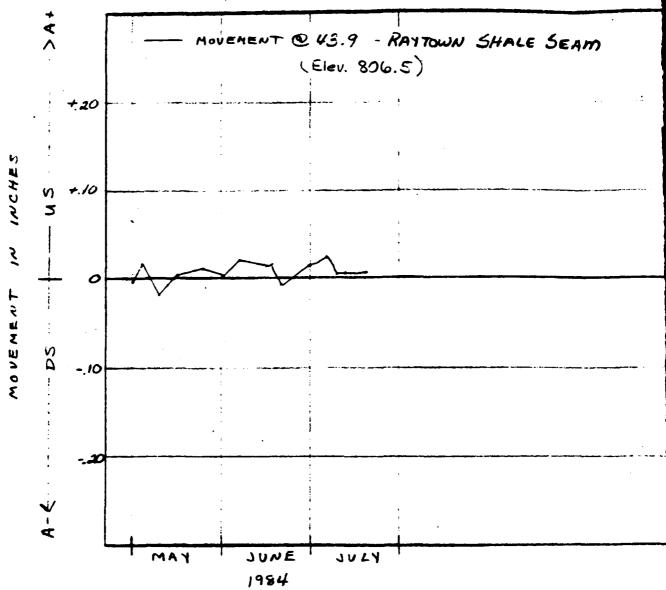
PLATE 14 JULY 1984



I-110-1 Sta 110+00

Rng. 2+40 DS





MOVEMENT VS. TI

I-110-1 Sta 110+00 Rg 2+40 DS

S. TIME

SMITHVILLE DAM LEFT ABUTMENT

INCLINOMETER PLOT

MOVEMENT VE TIME at
RAYTOWN SHALE SEAM

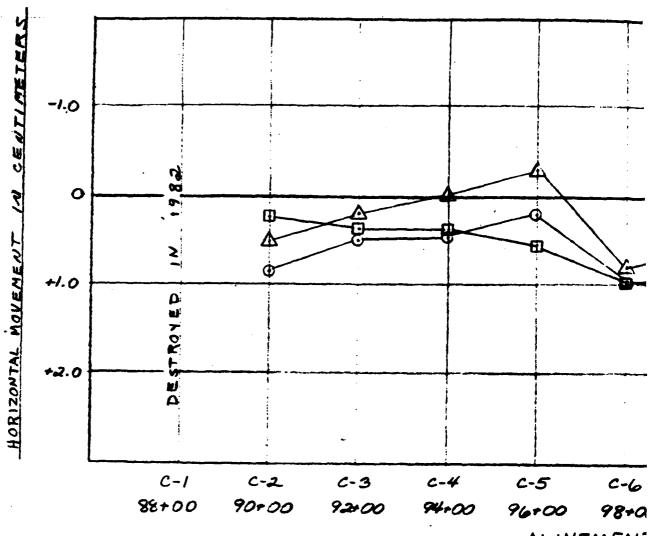
PLATE 16

JUCY 1984

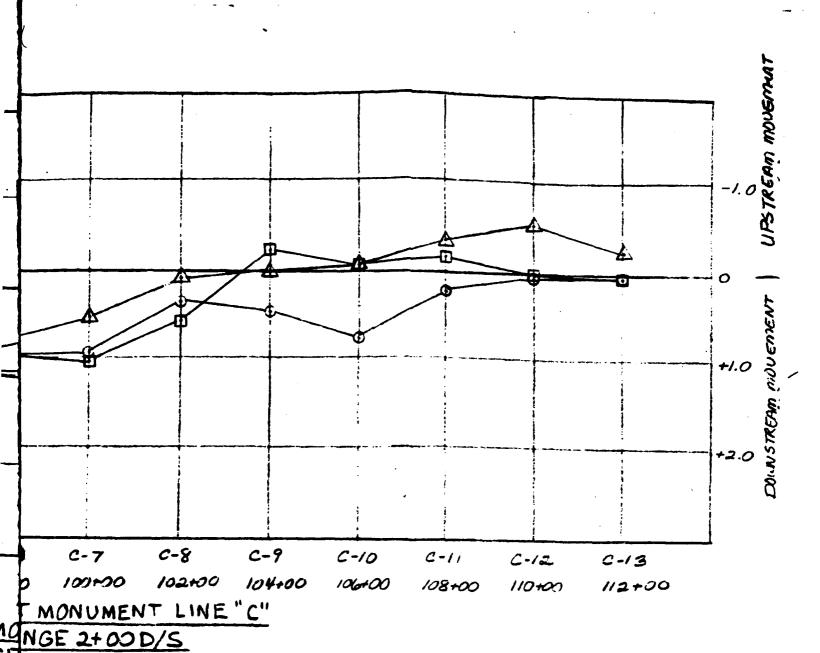
APPENDIX A RP-3-1690

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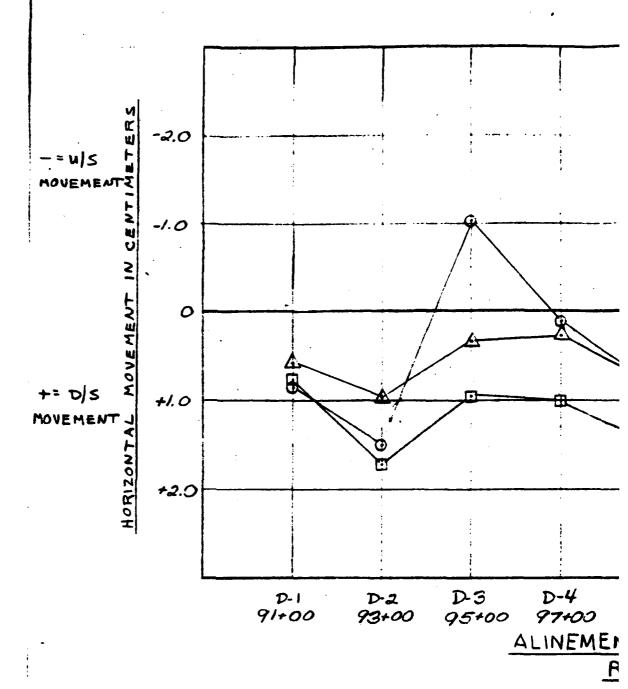


ALINEMEN' RA



> Smithville Dam RP-3-1691 APPENDIX A PLATE IT July 1984

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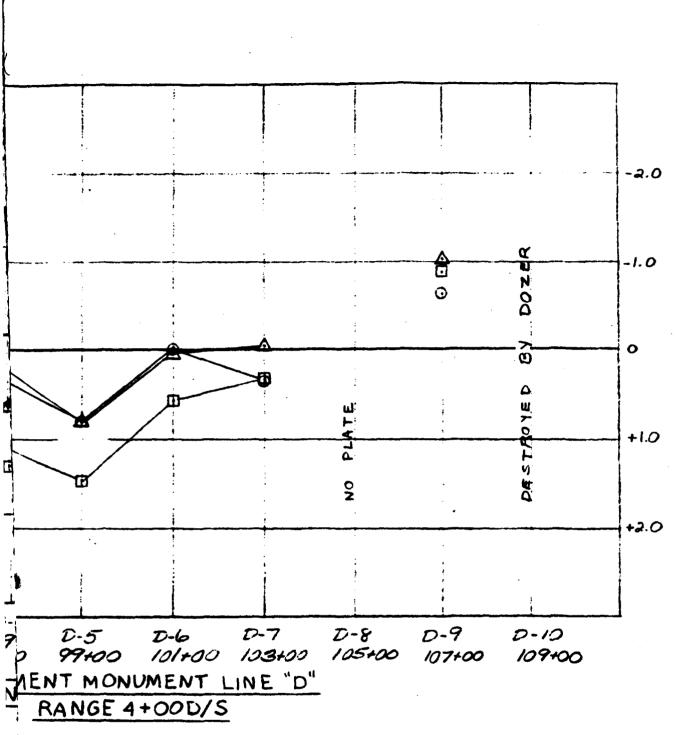


LEGEND

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O 16 April 1984

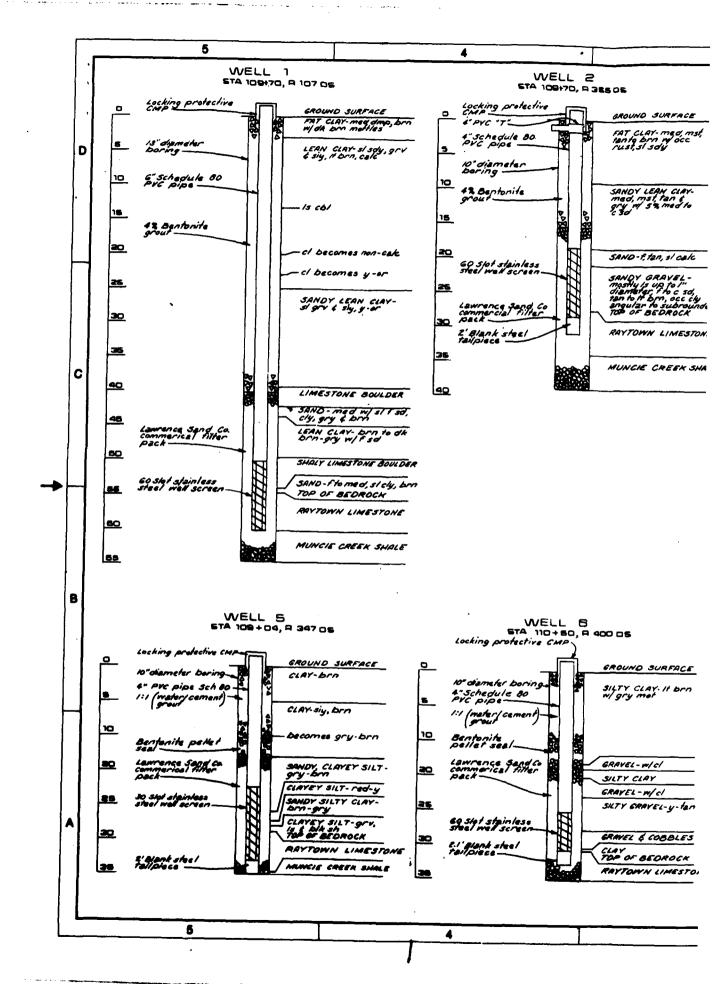
△ 4 JUNE 1984



Smithuille Dam Left Abutment

Alinement Line "D"
Typical Pata Sheet
APPENDIX A RP-3-1692
PLATE 18 JULY MW

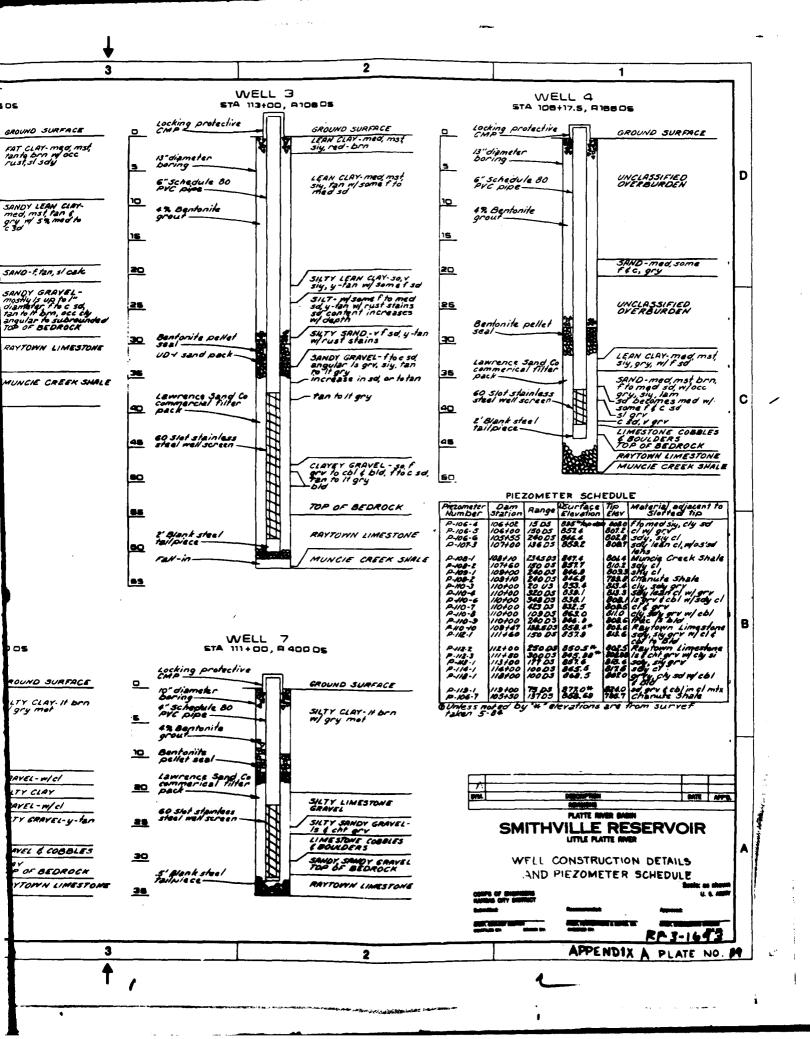
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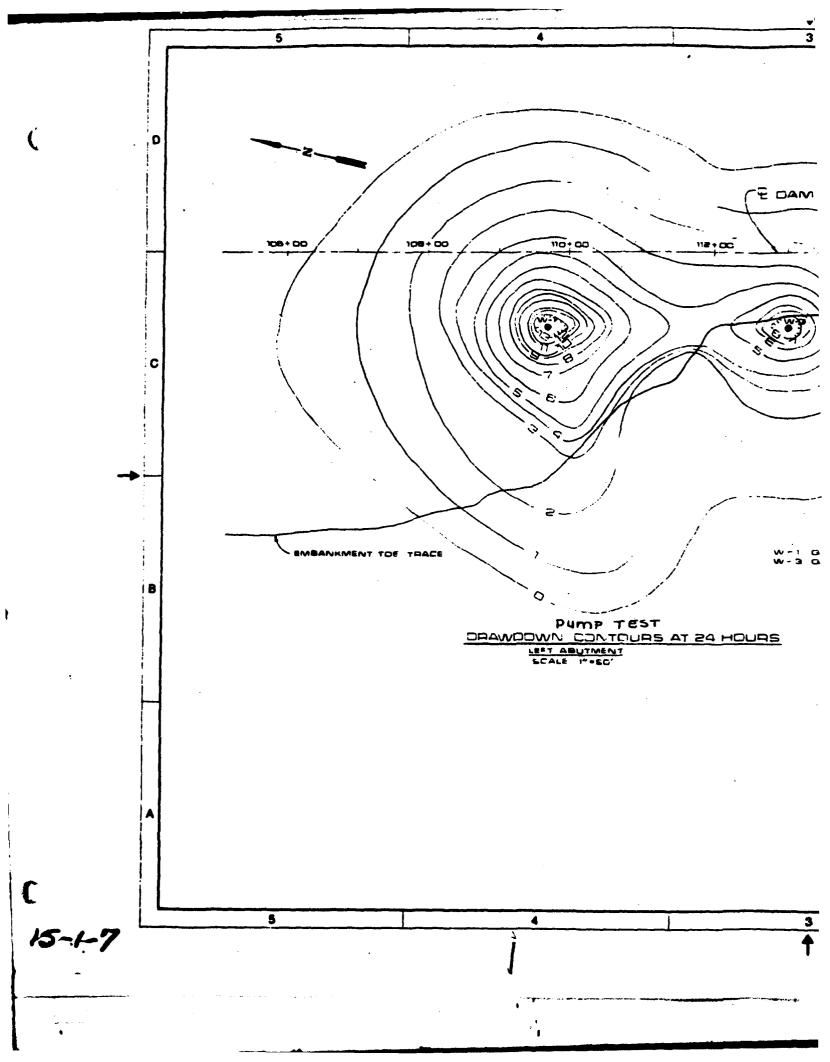


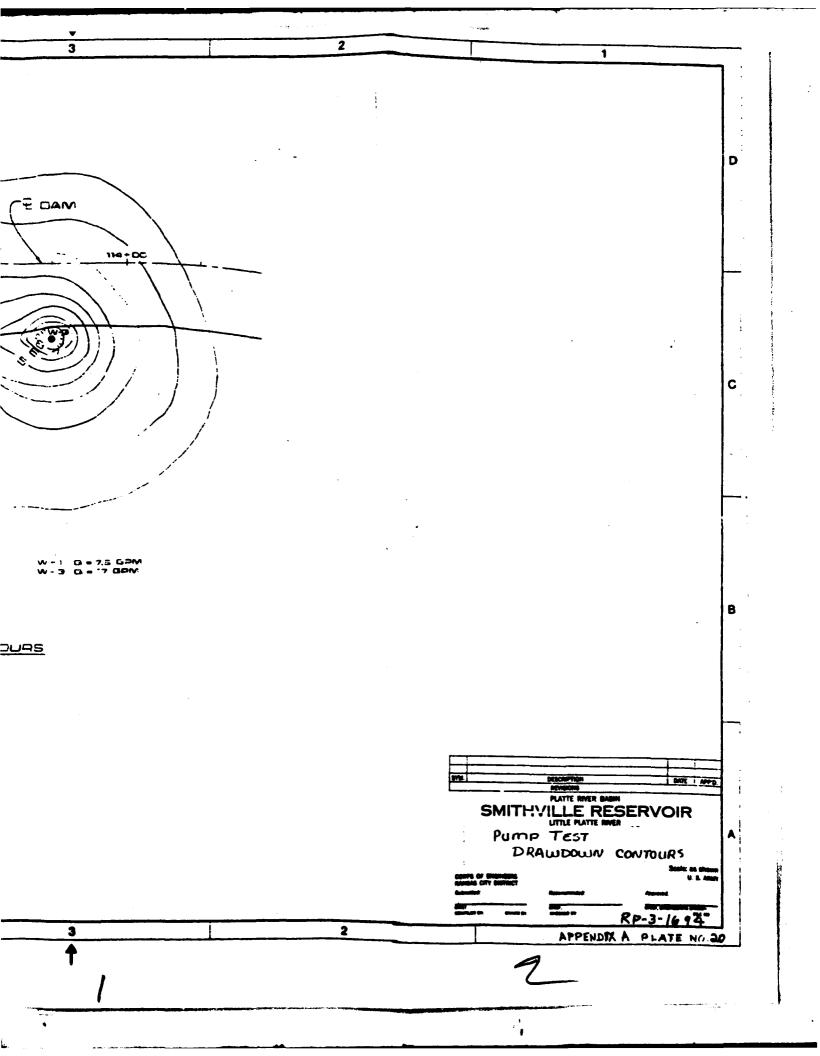
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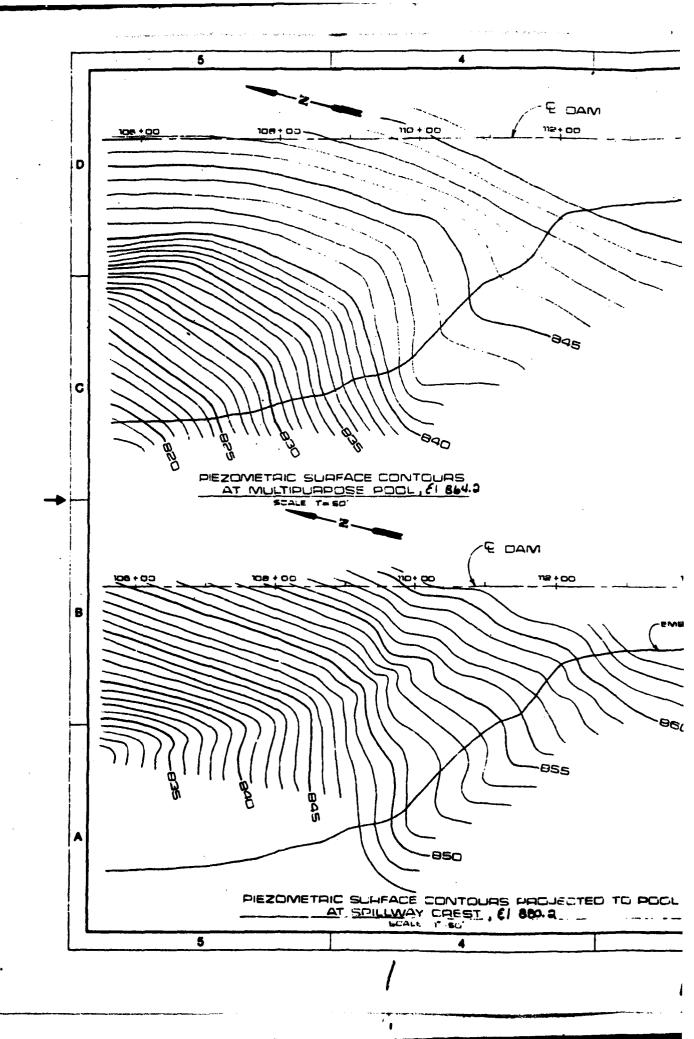
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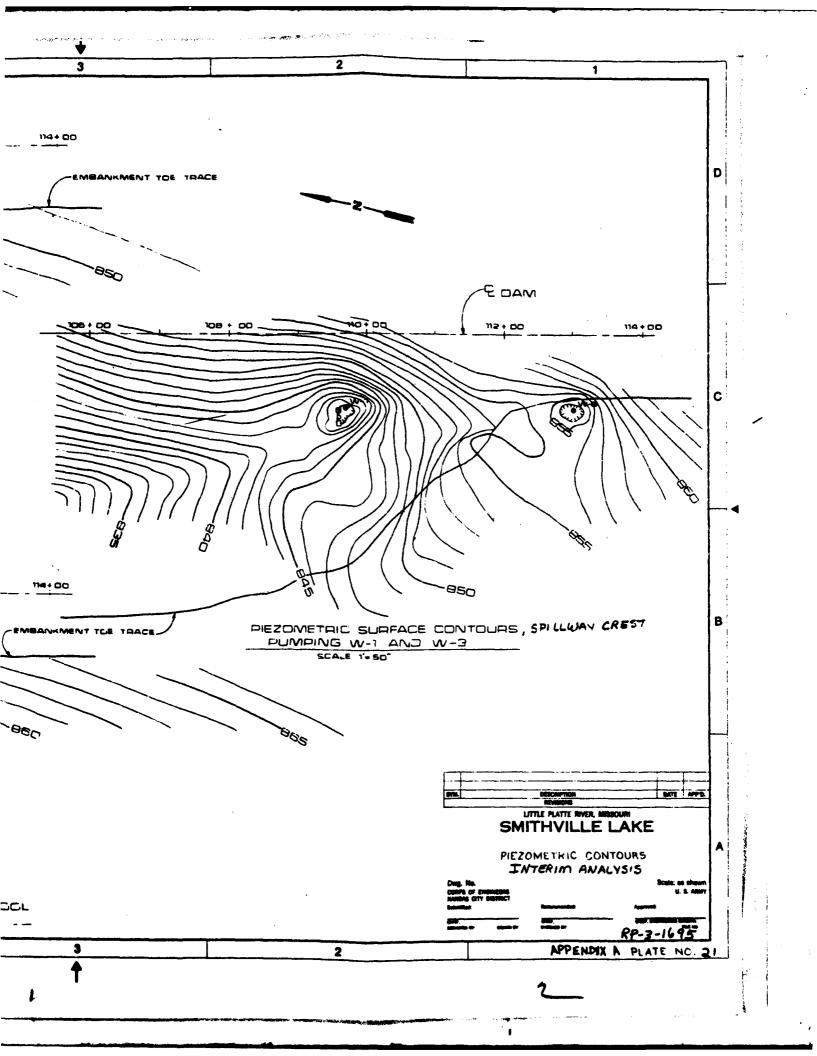
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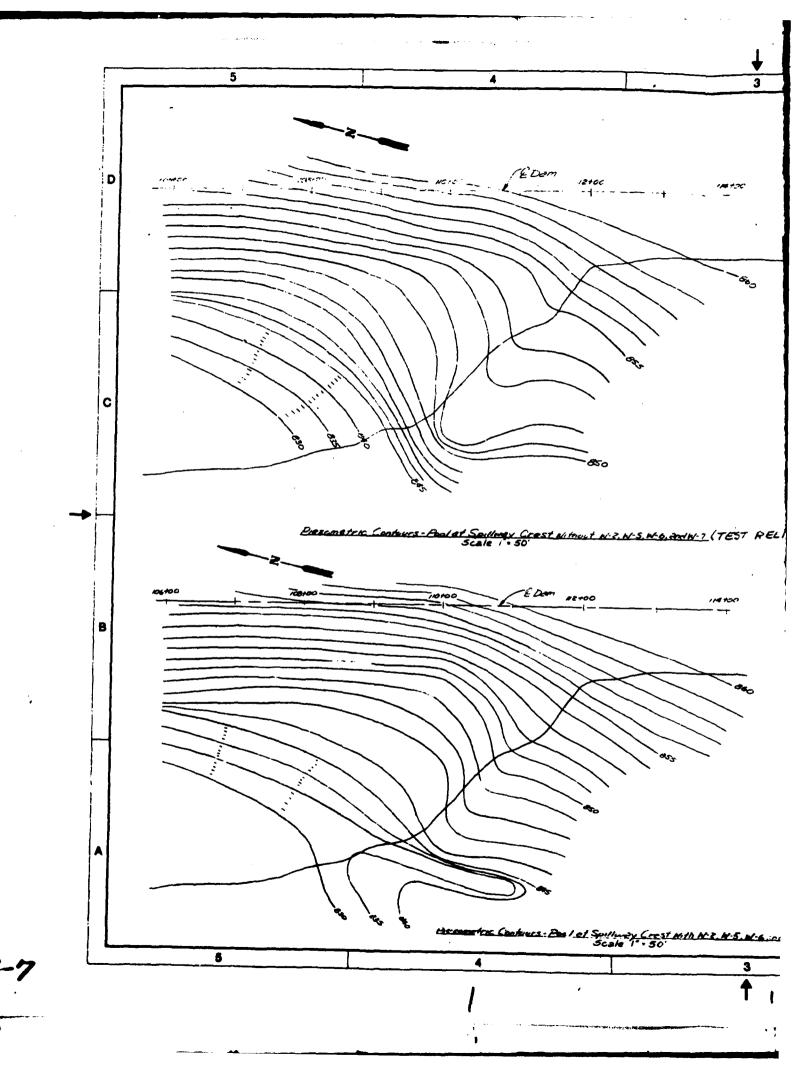


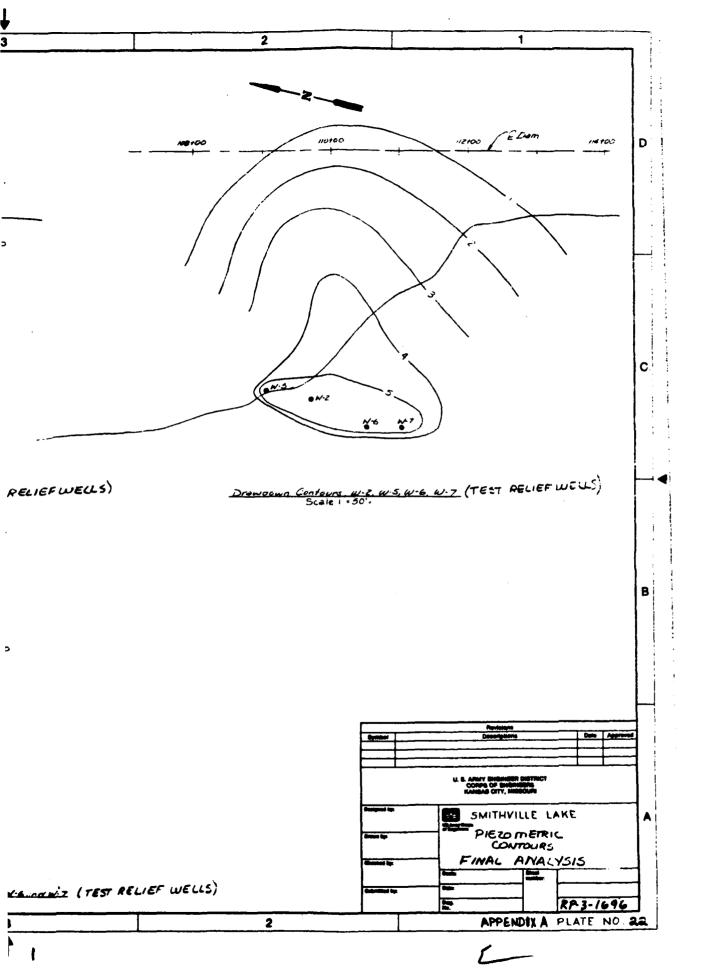












DIRE	DIRECT SHEAR & RESIDUAL SHEAR RESULTS							
SYMBOL	SAMPLE	GEOLOGIC	Norma	DRAINED SHEAR STRENTGE				
STIMBOL	Number	MEMBER	STRESS	PEAK	RESIDUAL			
			σ _n	tan Ø	tan Ør			
1	J-108-1	RALS, -THK SH SEAM	60	,328*	.147*			
2	C-525	RALS - SH SEAM @ 40'	60	.561	484			
3	C-525	RALSTHESH SEAM	6.0	. 416	./96			
4	C - 525	RALS - THK SH SEATH	6.0	.469	./9/			
5	C-525	RALS / ME SH CONTACT	60	.639	.387***			
6	C-525	CH SH -LO ANGLE SOFT ZONE	6.0	. 499	.226 ³¹³			
7	C-526	RALS - THK SH SEAM	6.0	.230**	.13 MX			
8	C- 504	RALS -THK SH SEAM	6.0	. 296 24	./3 **			
9	C-536	Mc SH	60	.423	.2/3 ^{xx}			
סו	C-507	RALS - THN SH SEAM	4.0	,59	۲۲ دی			
11	C-598	RALS - THK SH SEAM	60	, 289	./29 ***			
12	C-529	Mc SH	6.0	-559	. 215 ***			
13	C-532	Rals - Thksh Seam	4.0	.3/3				

5050

* REMOLDED SPECIMEN

PRECUT SPECIMEN

DID NOT DEVELOP RESIDUAL CONDITION

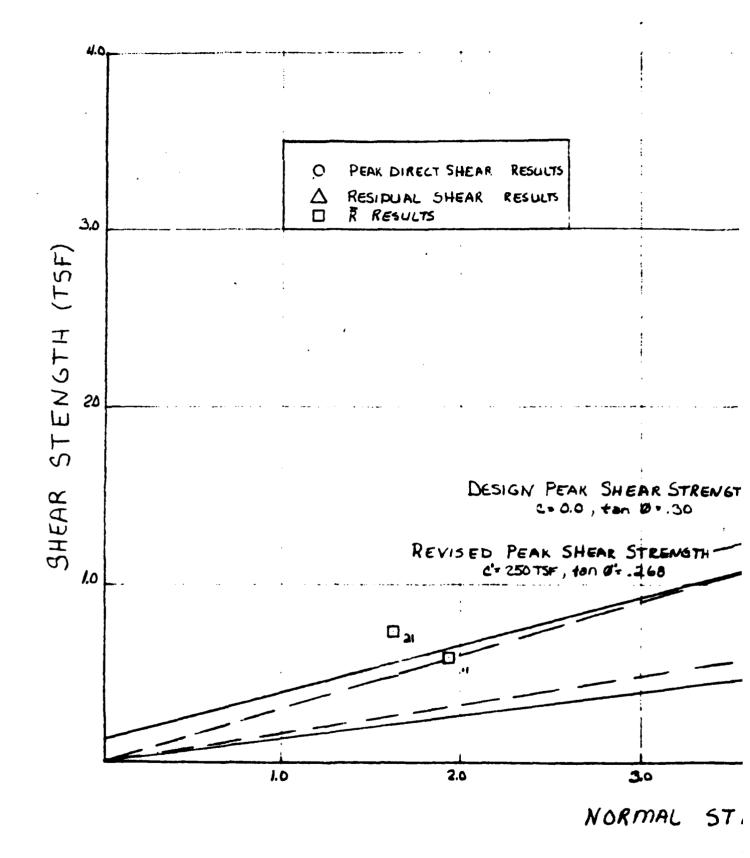
TRIAXIAL "R" RESULTS						
SYMBOL	SAMPLE	Tzc	Tff	σ¢		
Ā.,	C-527	2	, 59	1,94		
Ř, z	C- 527	L	1.40	5.22		
R ₂₁	(-53)	2	.73	1.62		
Ř _{z2}	C-533	6	1-61	4. 9 6		

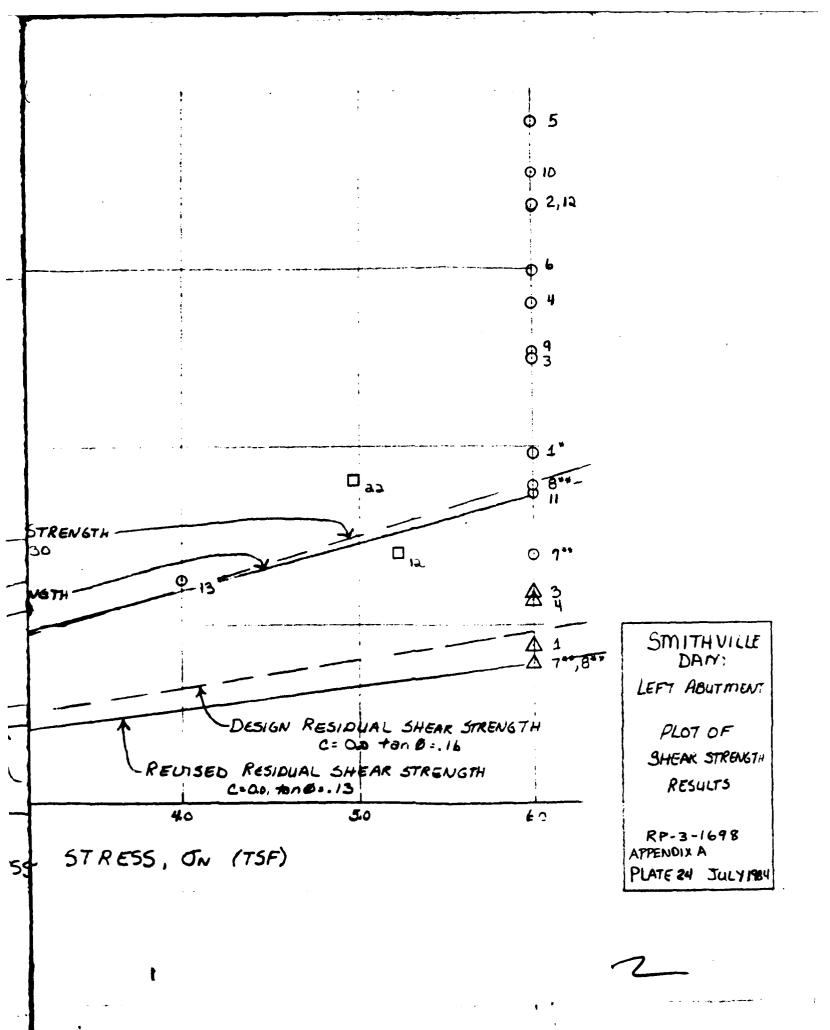
SMITVILLE DAM LEFT ABUT MENT

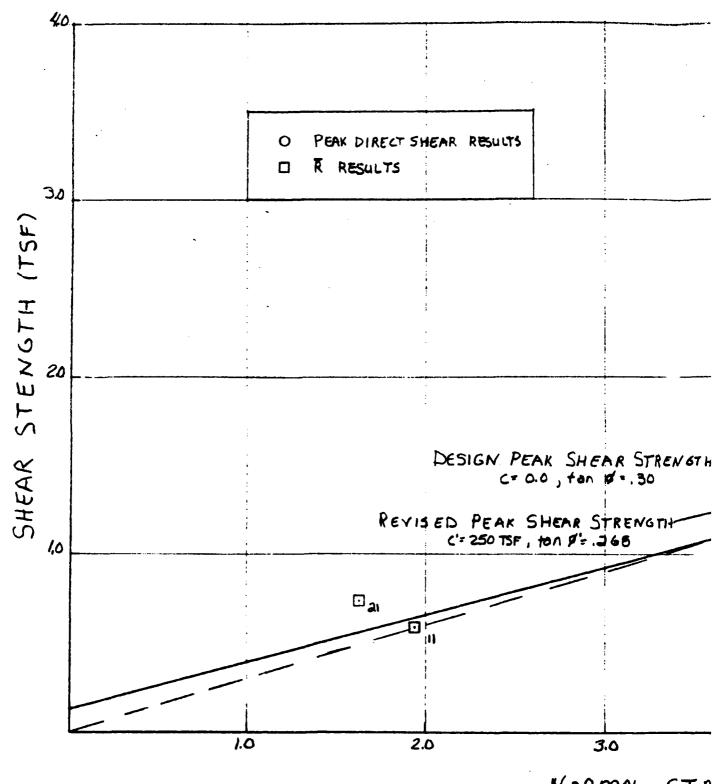
Summary of SHEAR STENGTH RESULTS

APPENDIX A RP-3-1697 PLATE 23 JULY 1984

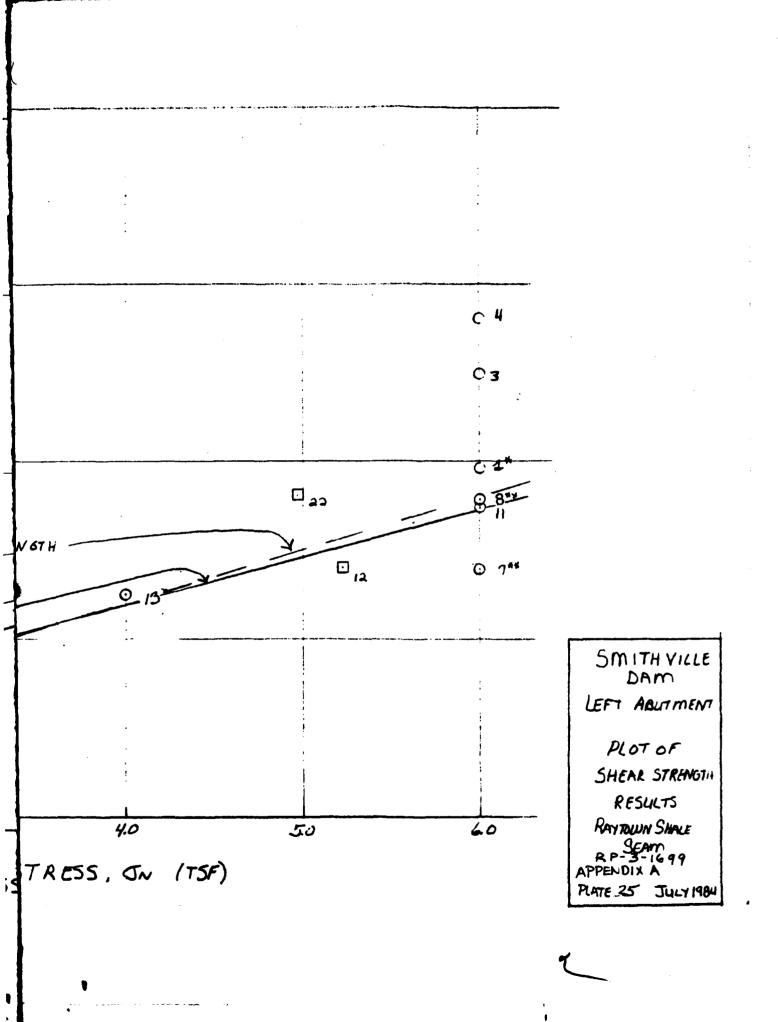
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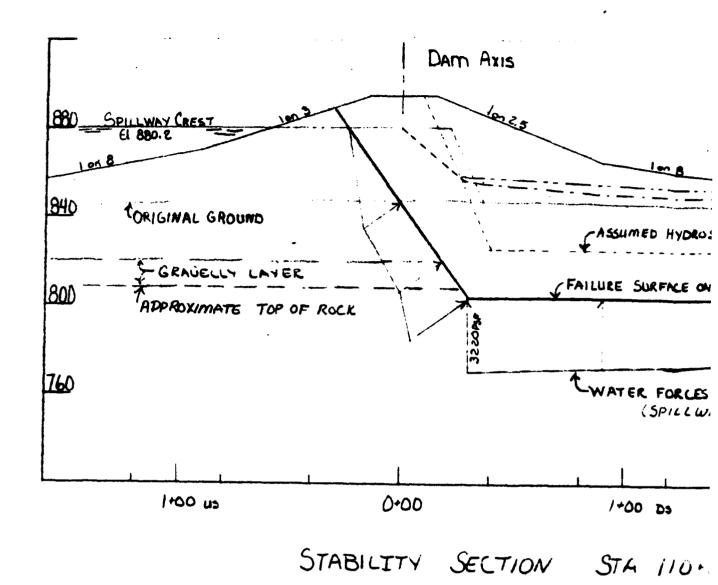


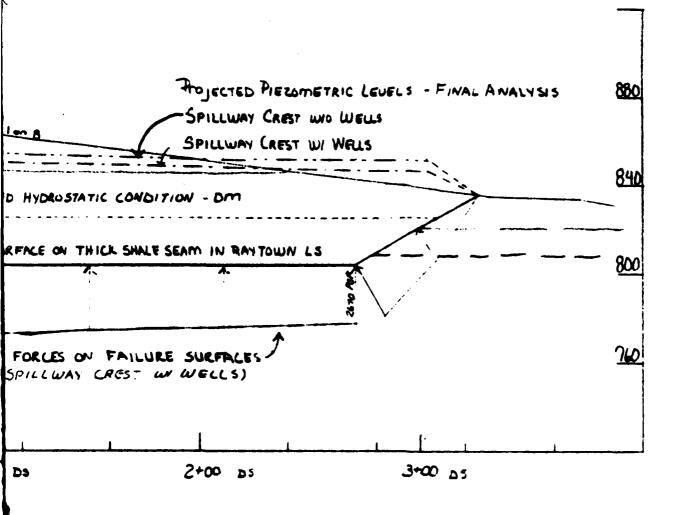




NORMAL STR







110.00

SMITHVILLE DAM LEFT ABUT MENT

STABILITY SECTION

STA 110+00

APPENDIX A RP-3-1700
PLATE 26 JULY 1984

2

	SHEA	R STR	ENGTH	PARAI	METERS	
AWALYSIS	DM; PRELIM		INTER	RIM	FINAL	
PEAK STRENGTH	c (PSF)	tan Ø	c(PSF)	tan Ø	C'(PSF)	A
EMBANKMENT*	0/300	.45/.305	0	.45	0	
DUBN - FINE GRAINED	oros	.45/. 32 5	0	.45	0	ı
OUDI - GRAVELLY	200	.325	0	.577	. 0	
JOINT IN RAYTOWN	~	~	0	.517	0	
RAYTOWN LS SH SEAM	6	.30	160	.264	250	Ä
RESIDUAL STRENGTH						
RAYTOLIN LS SH SEAM	0	.16	~	~	\ ~	-
STR @ 0.5% STRAIN	: •					
DY BN-PASSIVE WEDGE	~	~	40	./3	40	٠,
í					•	

^{*}DM and PRELIMINARY ANALYSES USED & S,(R+5)/2 ENUELOPE

INTERIM AND FINAL ANALYSES USED A S ENUELOPE

5	
46	
	ion 0
	.45
	.45
	.577
	6
	268
	\sim
	./3

PHYSICAL SOIL PROPERTIES					
AAATEOIAI	UNIT WEIGHT LBS/FT3				
MATERIAL	SAT	Moist			
EMBANKMENT	/25	120			
OVERBURDEN	120	115			
BEDROCK	140	140			

SMITHVILLE DAM LEFT ABUTMENT

DESIGN PARAMETERS

APPENDIX A RP-3-1701
PLATE 27 JULY 1984

2_

DM ANALYSIS						
POOL CONDITION'	STRENGTH APPROACH	METHOD HAND WEDGE ³				
SPILLWAY CREST	1 2	1.64 1,08				

PRELIMINARY ANALYSIS						
Pool	STRENGTH	МЕТНОВ				
CONDITION'	APPROACH ²	HAND	SLOPEBE"			
CONSTIGO	TIFFROME	WEDGE?	F	9		
FULL POOL	1	1.22	1.42	8.0		
FULL POOL	2	0.92	1.00	6.9		

INTERIM ANALYSIS*						
POOL	STORMEN	METHOD				
CONDITION'	STRENGTH Approach	HAND	SLOPE BR"			
(0.00)	/	WEDGE"	F	θ		
MULTIPURPOSE	3	1.40	1.66	85°		
SPILLWAY Crest	3	1.20	1.41	8.01		
SPILLWAY CREST WI PEMPED WELLS	3	1.32	1.55	8.9*		

Poi Conx

SPILL WO R SPILL W FL

Pool Con

²STRENGT

3HANDU

4 SLOPE

* SEE F

FINAL ANALYSIS						
Pari	A	METHOD				
POOL CONDITION'	STRENGTH APPROACH ²	HAND	SLOPE	ebr"		
(312)	7 IF PROFICE	WEDGE ³	F	Θ		
SPILLWAY CREST WE RELIS	3	1,25	1.47	8.7		
SPILLWAY CREST W FLOWING TEST WELLS	3	1.30	/.53	8.7		

Pool Condition : HYDRUSTATIC PRESSURE WAS ASSUMED IN EMBANKINEME CORRESPONDING TO THE GIVEN POOL CONDITION. IN HAL DM analysis, DOWNSTREAM UPLIFT CORRESPONDED TO A SATURATION LINE AT EL 825 IN THE FOUNDATION. IN ALL OTHERS ANALYSES, DOWN STREAM UPLIFT CORRESPONDED TO ACTUAL OR PROJECTED PIEZO METIC LEUELS IN THE PERMEABLE BASAL LAYER IN THE FON!

2STRENGTH APPROACH:

APPROACH 1 : PEAK STRENGTH USED HOUND ENTIRE SLIDE SURFACE

APPROACH 2 PEAR STRENGTH USED IN ACTIVE AND PASSIVE WEDGE,

RISIDUAL STRENGTH USED IN CENTRAL BLOCK

APPROACH 3 : PEAK STRENGTH LISED IV. ACTIVE WEDGE AND CENTRAL BLOCK; STRENGTH @ 0.5% STRAIN USED IN PASSIVE WEDGE

SHANDWEDGE: SAFETY FACTOR OBTAINED USED HANDWEDGE METHOR ACCORDING

TO EN: - 1110 - 2 - 1905. (APRIL 1710) IN DM ANALYSIS, EA=.08, Ep=0.0 IN OTHER ANALYSIS, EA = Ep = 0.0

SUPFBR · F = FACYOR OF SAFETY 8 - SIDE FORCE INCLINATION

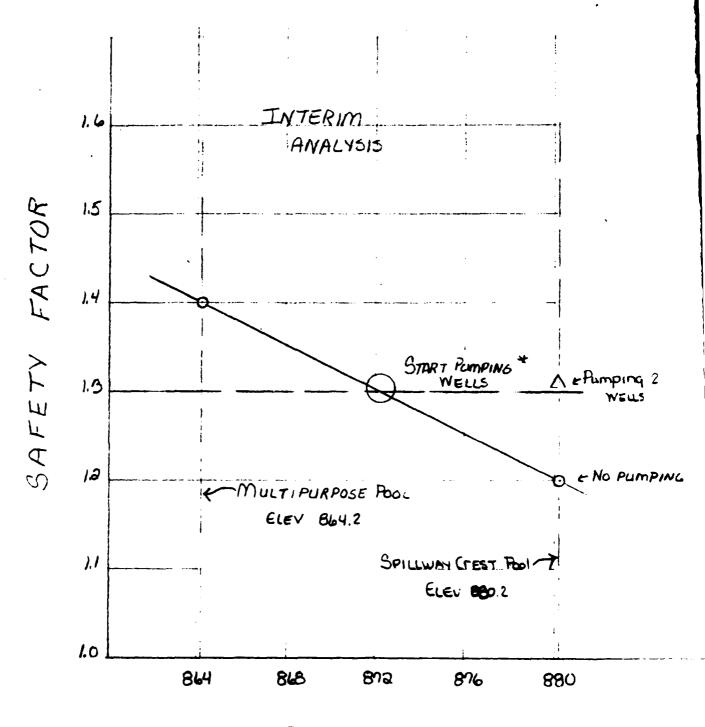
SEE PLATE 29 FOR PLOT OF FYS POOLEUEU. FOR HAND WEDGE METHOD

SMITHVILLE DAM LEFT ABUT MENT - STA 110-00

STEADY SEEPAGE STABILITY ANALYSIS SUMMARY

APPENDIX A RP-3-1702

PLATE 28 JULY 1984



POOL ELEVATION

STABILITY STUDIES WERE CONDUCTED AT STATION 110+00 USING ACTUAL PIEZOMETRIC LEVELS AT MULTIPURPOSE FOOI, PROJECTED PROJECTED LEVELS AT A SPICLWAY CREST, AND PREDICTED DRAW DOWN. AT A SPICLWAY CREST POOL PUMPING I WELLS

*TO ASSURE Q SAFETY FACTOR > 1.3,

PUMPING WILL ISE STARTED WHENEVER

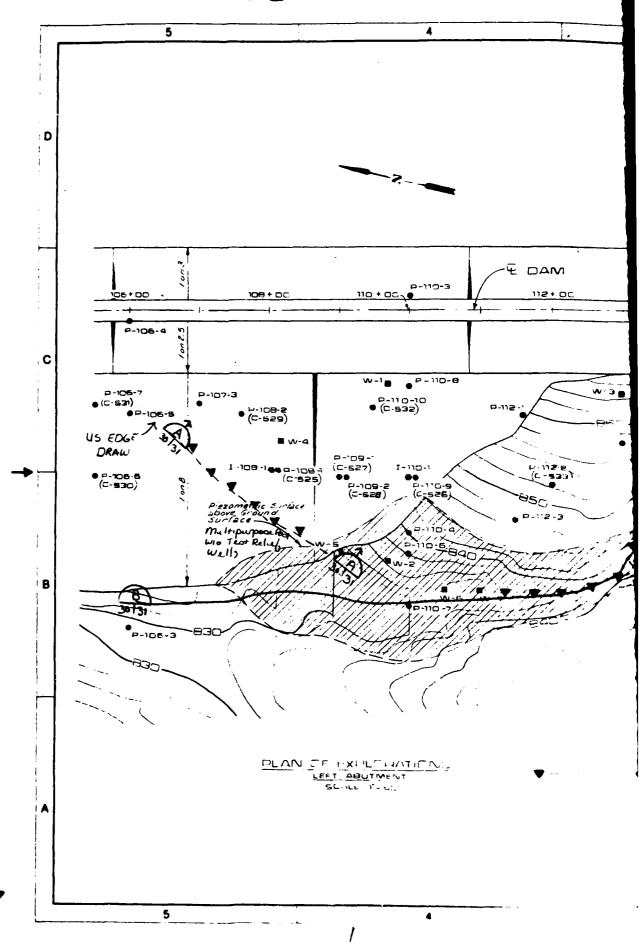
A POOL OF ELEV 872 OF HIGHER

15 FORECAST.

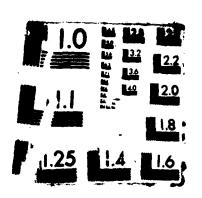
SMITHVILLE DAM

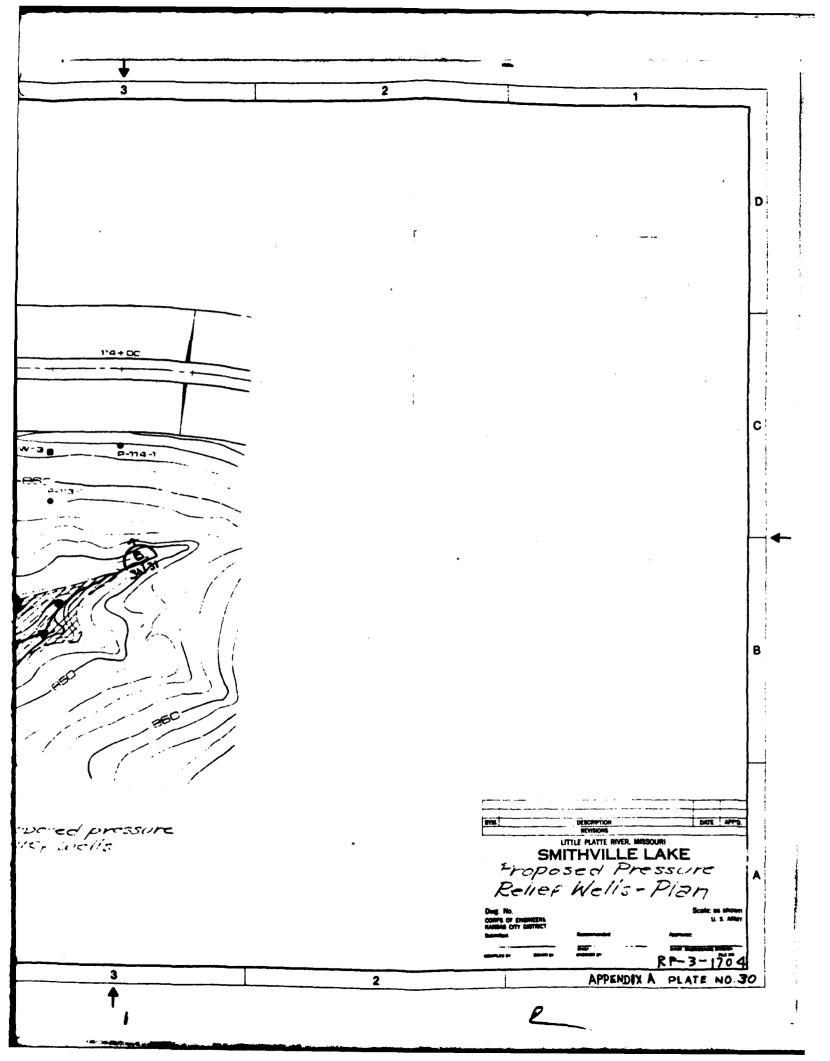
SAFET: Factor V: POOL ELEV INTERIM ANALYSIS

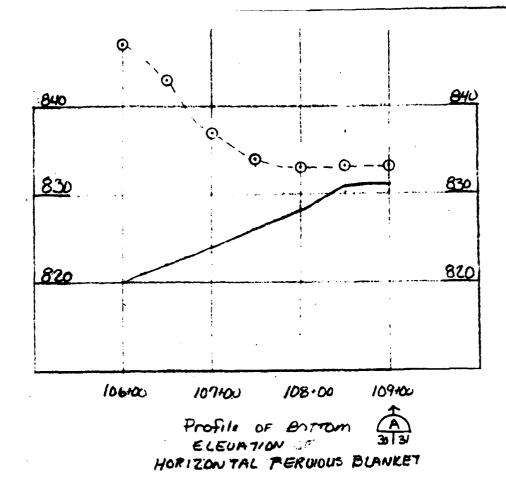
APPENDIX A RP-3-1703
PLATE 29 JULY 1984

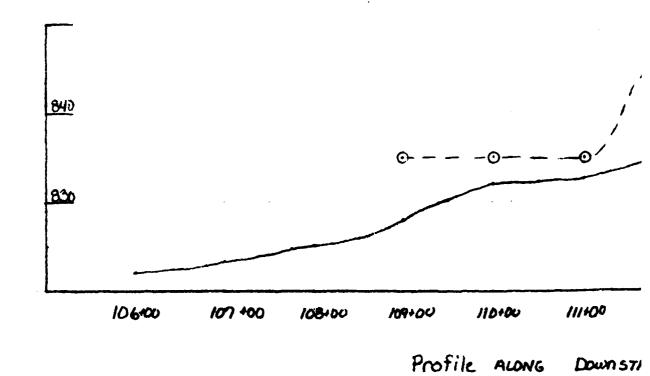


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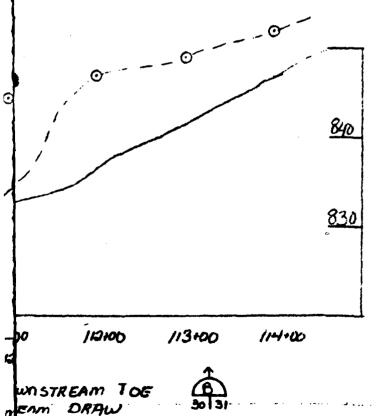






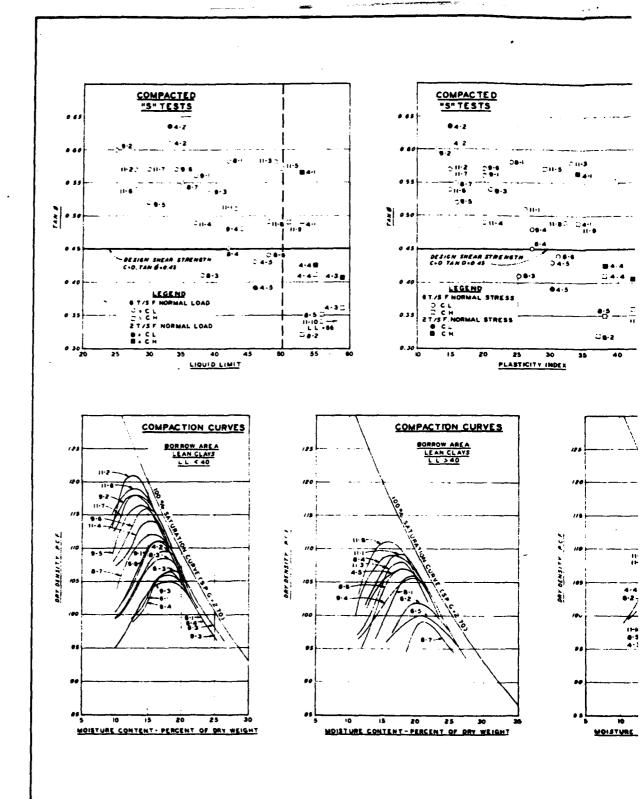
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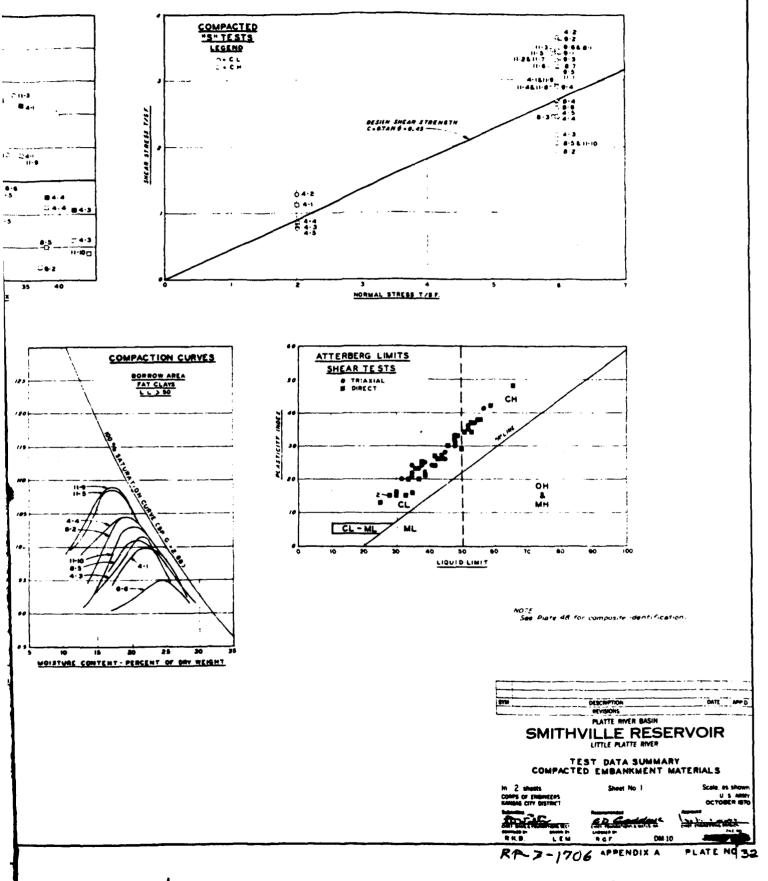


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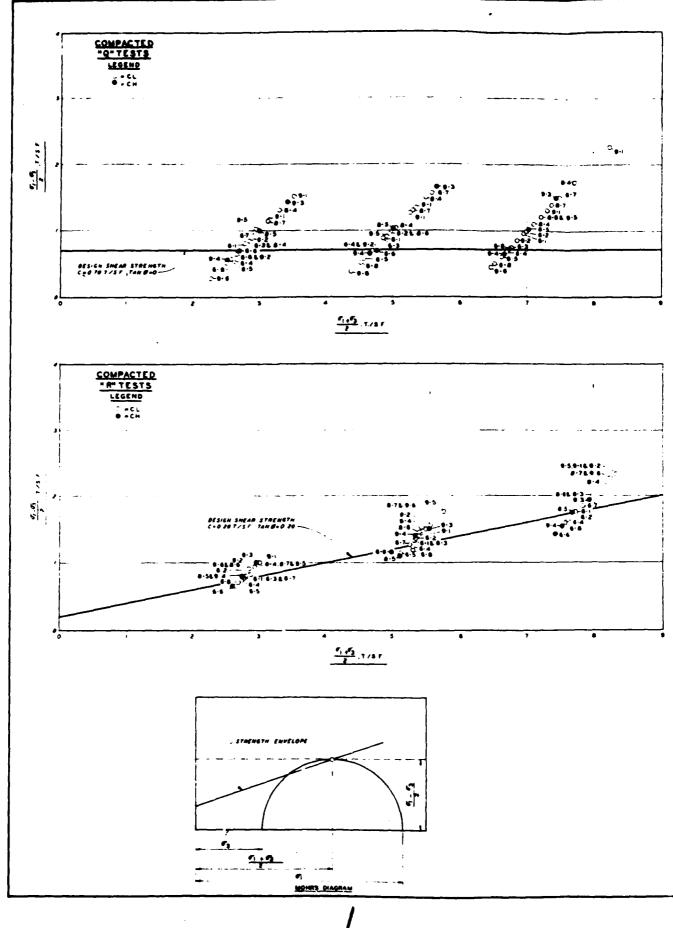
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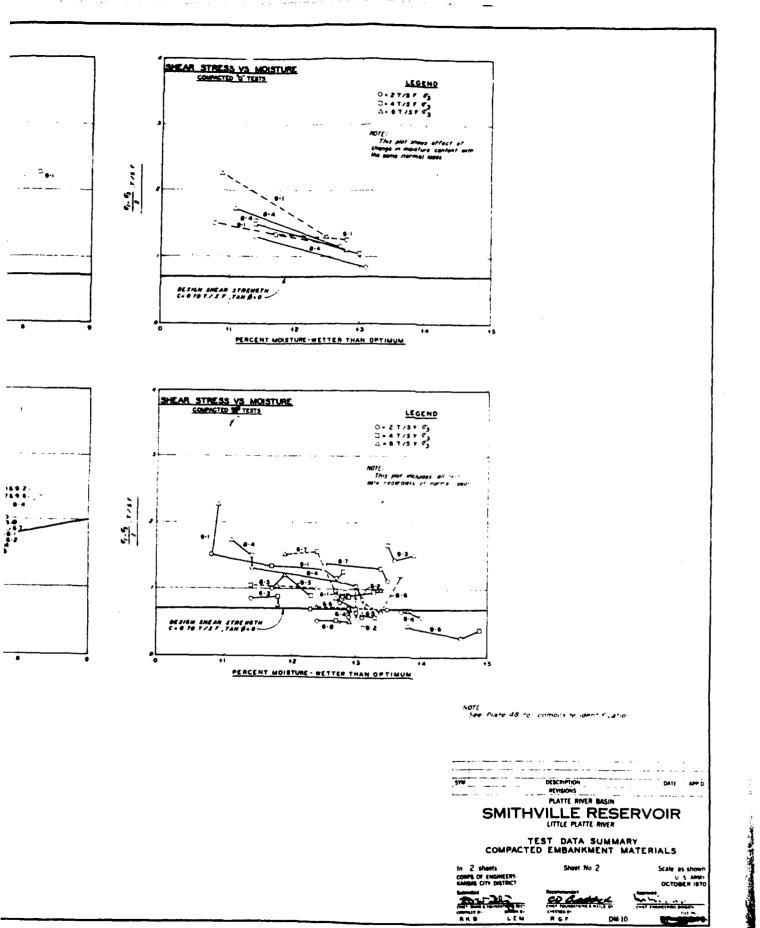
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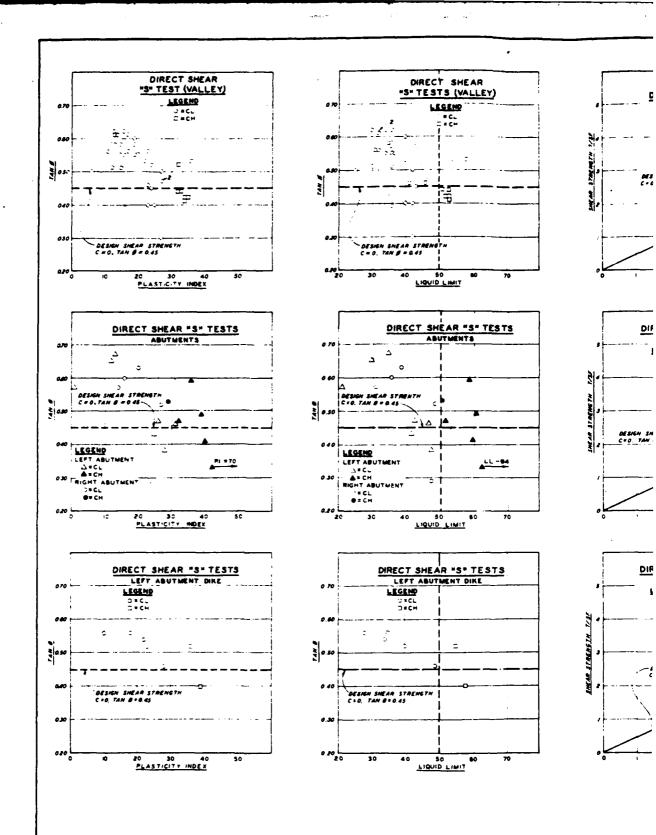
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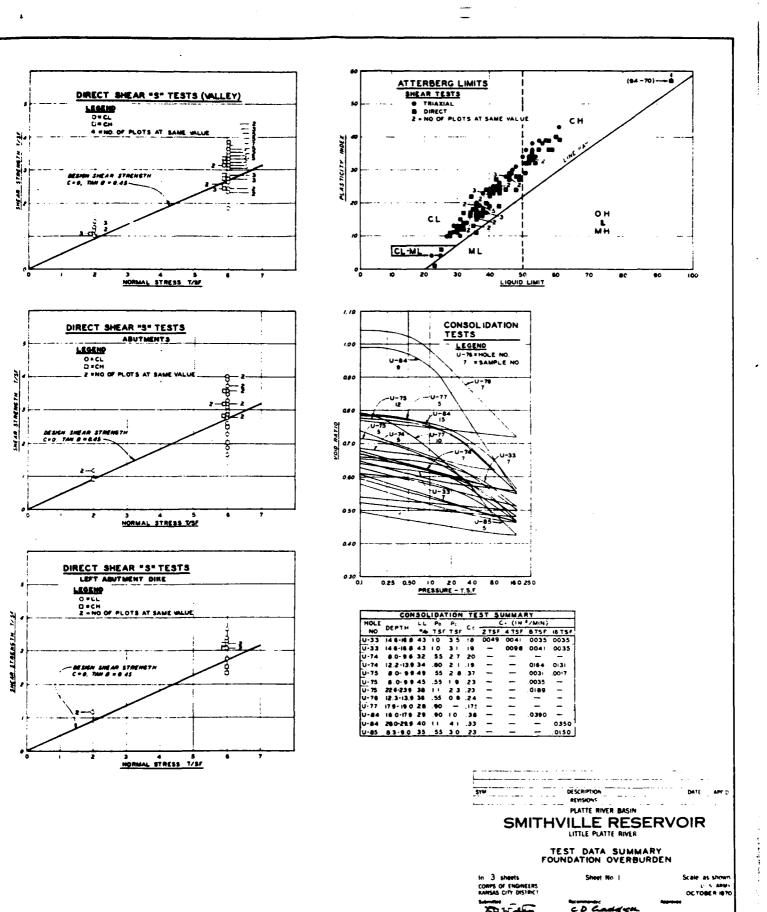
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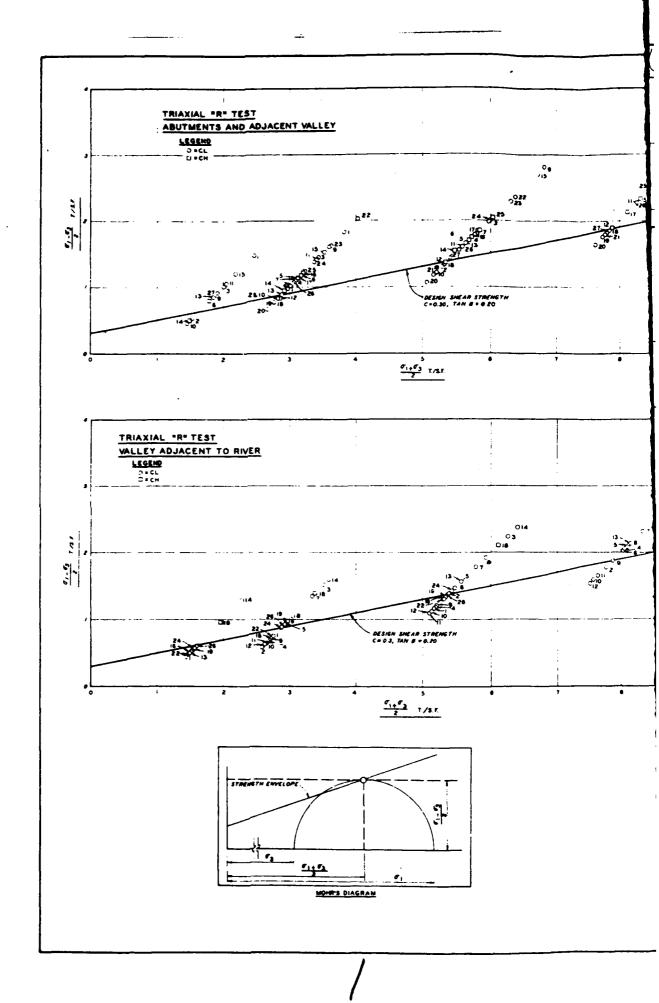
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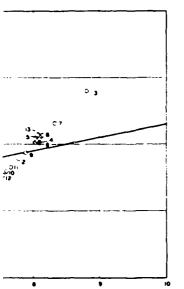
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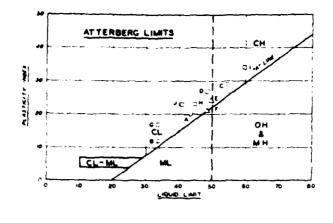


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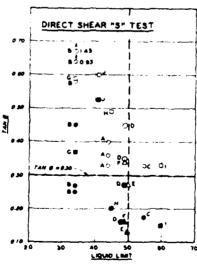
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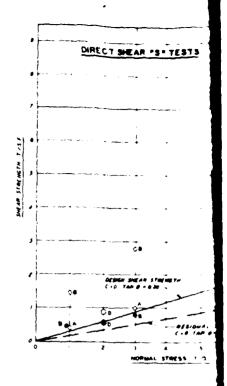
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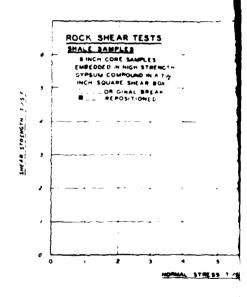
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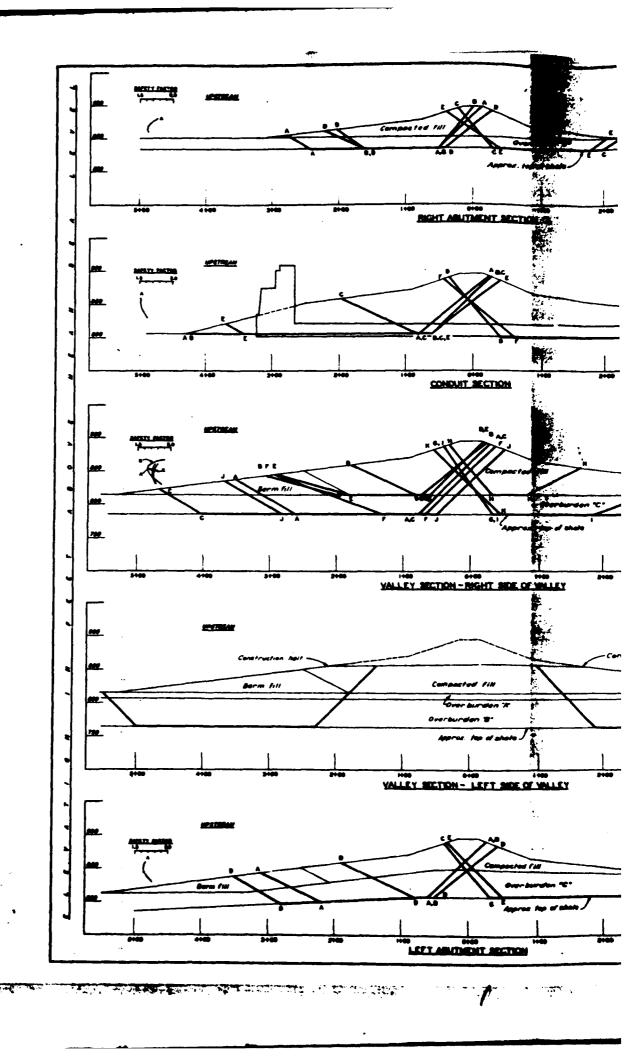
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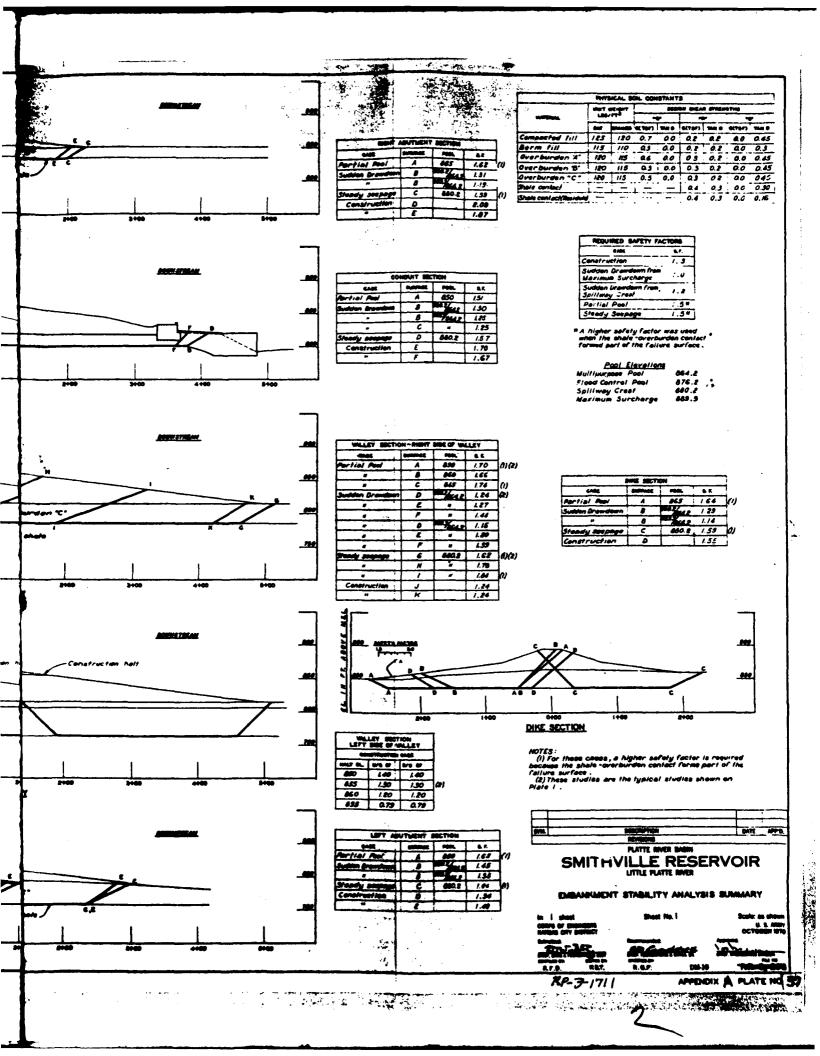
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RP-3-1710

APPENDIX A

PLATE NO 34





APPENDIX B

OPERATION AND MAINTENANCE MANUAL

SMITHVILLE LAKE LITTLE PLATTE RIVER, MISSOURI APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT SUPPLEMENT NO. 1

APPENDIX B

SMITHVILLE DAM LEFT ABUTHENT SEEPAGE REPORT

DECEMBER 1984

DEPARTMENT OF THE ARMY
Kansas City District, Corps of Engineers
Kansas City, Missouri

OPERATION AND MAINTENANCE MANUAL

SMITHVILLE LAKE

APPENDIX V

EMBANKMENT CRITERIA AND PERFORMANCE REPORT

SUPPLEMENT NO. 1

LEFT ABUTHENT REMEDIAL MEASURES

APPENDIX B

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Smithville Seepage Report

i. <u>Introduction</u>.--Smithville Dam is located on the Little Platte River about 1 mile northeast of Smithville, Missouri. The project was authorised by the Flood Control Act of 1965 with construction starting in February 1974. The dam is a rolled earthfill embankment with two dike sections located in low areas high on the left abutment. Foundation material consists of valley alluvium, losss residual soils and glacial drift. Impoundment began in October 1979 but lake filling was delayed because of real estate acquisition problems. Multipurpose pool, elevation 864.2, was first reached in June 1982. The record high pool to date, elevation 869.4, occurred in April 1983 and again in April 1984. Flood control pool is elevation 876.2, while spillway crest pool is elevation 880.2.

Seepage was first observed at the project during a site visit in April 1983 when the record high pool to date was reached. A seep area had developed at the downstream toe of the main embankment at Station 110+00 near the base of the left abutment. The area was spongy and wet but flowing conditions were not observed. Piezometric levels recorded in the foundation overburden in the area were 3 feet above ground. In August of the same year Mr. Roy Bowers, the owner of a tract of farmland adjacent to the left dam abutment, reported a large wet area in his pasture about 3,000 feet downstream (vest) of the main dike. According to Mr. Bovers, the area had been wet during spring and early summer but he had attributed this to heavy precipitation during that period. However, during the extended dry period of the summer of 1983 the area remained vet. Consequently, Mr. Bovers reported the condition to the project office at the lake. A subsequent field reconnaissance revealed three general areas of groundwater seepage: (1) the downstream seep area; (2) the dike seep area; and (3) the Bovers seep area (as shown on Plate B-1). An investigation of the seep areas was initiated to determine the causes, potential consequences, and possible remedial measures. It included 14 piezometers and observation wells installed in a line between the lake and the Bovers seep area and in the seep area itself. Five piezometers were installed near the downstream seep area. In addition, a series of ten relief drains were installed in April 1984 on both the Bowers property and adjacent Government property to provide a measure of seepage control and to determine the effect on the piesometer surface.

2. Geology.--Smithville Lake is located near the southern limit of the Dissected Till Plains Section of the Central Lovlands Physiographic Province. Major topographic features are the maturely to submaturely developed valleys of the Little Platte River, Crows Creek, and Camp Branch. Drainage patterns typical of northern Missouri are developed on thick glacial deposits resulting in gently rolling topography. Bedrock exposures are not common but can occasionally be found along the bases of valley walls of major streams. Maximum relief in the area is about 160 feet.

a. Glacial history.--Pleistocene glaciers extended into the northern region of Missouri approximately 750,000 years ago during the Kansas glacial episode and persisted for approximately 100,000 years. Glaciers may have also advanced into the area during the earlier Nebraskan episode. Both the Nebraskan and Kansan advances were from the north-northwest and are attributed to the Iova ice lobe from the Keevatin ice center in Canada. Since the same general regions were traversed during both episodes, the content of resultant drift materials is similar and difficult to distinguish. The southern limit of glaciation is generally recognized as being slightly south of, and approximately parallel to, the present course of the Missouri River.

Pleistocene ice sheets have been compared in size and extent to those of the Antarctic which have an average central thickness of about 6,500 feet. Estimated thicknesses of marginal masses are of the order of 1,600 feet. Glacial erosion was primarily by abrasion and quarrying whereby slabs of frozen ground were sheared from and dragged forward over nonfrozen ground. Hagnitudes of erosion were dependent upon the thickness and velocity of the ice mass, the nature of materials incorporated into the basal ice, and the character of surfaces overridden. Glacial sediments include nonstratified till and, less frequently, fluvio-glacial deposits of stratified silts, sands, and gravels. Drift of variable thickness has been deposited upon essentially flat lying Pennsylvanian bedrock and is the thickest in pre-Pleistocene topographic lows.

b. Overburden .-- Overburden in the vicinity of the dam is of three principal types: alluvium, glacial drift, and loess. Alluvium occupies the valleys of the Little Platte River and its tributaries and generally consists of lean and fat clays overlying clayey sands and sandy clays with minor amounts of basal gravel. Thicknesses range from 25 to 50 feet. Upland areas are deposits of glacial drift thinly mantled with loess. In the left abutment area, the drift ranges in thickness up to 85 feet and generally consists of 20 to 60 feet of till overlying 5 to 25 feet of coarser outwash sediments. Till, in general, is composed of unsorted, unconsolidated (geologically), nonstratified sediments deposited directly by and underneath glacial ice masses and consists of heterogeneous, random mixtures of clay, silt, sand, gravel, cobbles, and boulders. The overburden above approximately elevation 845 in the left abutment is predominantly lean clay glacial till with scattered gravel and cobbles and occasional isolated silty sand lenses. Below elevation 845, the material is much more heterogeneous with considerable lateral and vertical variation. Throughout most of the abutment area, the upper 11 to 20 feet of this lower unit is generally silt, however, silty clay or lean clay was encountered in some borings at this horizon. Below the silt zone, the material is coarser and consists of sand, gravel, and cobbles generally with a significant amount of silt and clay. The coarser materials underlying the till are meltwater sediments deposited from advancing or retreating ice sheets. Loess overlying the till reaches a thickness up to 20 feet in the area. The maximum thicknesses occur on broad, gently sloping, interstream divides where erosion has been minimal.

c. Bedrock.--Near surface bedrock strats are of the Pennsylvanian System, Lansing and Kansas City Groups and consist of alternating beds of shale and limestone. A geologic column for the left abutment is shown on Plate B-6. The essentially horizontal configuration of the left abutment bedrock surface is the result of a pre-Pleistocene stream channel trending generally east-west through the abutment. It is one of two major channels mapped in the reservoir area which are part of the ancestral Missouri River drainage system prior to the advance of Pleistocene glaciers. The other is located several miles upstream of the dam in the reservoir area. As ice masses traversed the area, existing sediments were scoured away and near-surface bedrock strata subjected to shear forces induced by ice thrusts.

3. Field investigations.

a. Field reconnaissance. -- The initial phase of the field investigations involved a field reconnaissance of the entire left abutment region downstream of the dam embankment and main dike. Three general areas of seepage were found (see Plate B-1). The first area, labeled the Bowers seep area, covers about 20 acres of land both on Government and private property, about 3,000 feet downstream of the dike and adjacent to the valley alluvium of Wilkerson Creek. The private property includes two parcels of land, one owned by Roy Bowers and the other by Roger Burnett/Helen Cutting. The area was characterized by numerous seeps: (areas are like numbered on Plate B-2) (1) in a large draw on Government property where natural springs are located; (2) at the northeast corner of Bowers' property and extending south along the fence line; (3) above the waterline of pond A, on the Burnett/Cutting property; and (4) in the small draw to the east of pond B, the larger pond at the south boundary of the seep area. Much of the northeast corner of Bowers' pasture bounded by the draw below pond A and the draw to the west was wet and extremely soft from ponding of seepage. Flow from the area was less than 10 gallons per minute. The second area, known as the dike seep area, is located immediately downstream of Highway DD at the downstream toe of the main dike. The quantity of flowing water from this area was quite small, less than 1 gallon per minute. The third area, the downstream seep area, had previously been identified downstream of the main embankment near Station 110+00. The area had remained soft and spongy but flowing water was not evident in the area adjacent to the embankment. However, flowing water was observed in the area downstream of the toe road.

During the extended dry period in the summer of 1983, farm ponds outside the outlined seep areas were either dry or very low. Those within the seep areas were at, or very near storage capacity, being constantly fed by groundwater seepage. Also, several mature trees located in the large draw on the north side of the Bowers seep area died, apparently as a result of intolerance to the elevated zone of saturation.

In connection with the field reconnaissance, several persons familiar with the area either previous to or during construction of the dam were contacted. They related that before impoundment some of the current seep areas contained natural springs or became unusually wet during periods of wet weather. Construction personnel recalled that during the 1974 flood during which the Little Platte River valley was inundated behind the partially

completed embankment, drinking water from an old well at the construction office located on the left abutment became very dirty. It apparently became dirty as a result of the valley flooding causing a significant increase in the groundwater level.

b. <u>Drilling and instrumentation</u>. --A drilling program was initiated to determine if the Bowers seep area was related to the impoundment of water in Smithville Lake. The program sought information to determine the type of materials present, to determine the existing pressure gradient from the lake to the seep area, to monitor uplift pressures at the seep areas, to monitor piezometric responses to pool fluctuations over an extended period of time, and to determine the top of bedrock surface contours in the left abutment.

Initially, a series of borings were completed in the fall of 1983 along a line between the lake and the Bowers seep area (D-513 through D-518 and DC-514A) (see Plate B-1 for location and Plate B-3 for profile). Borings were advanced by continuous churn drill sampling of overburden materials with a 6-inch diameter drive barrel. Representative samples were retained for laboratory soil classification and mechanical grain size analyses. In most borings, difficult drilling conditions resulted when cobbles and gravel were encountered near the bedrock surface. In order to positively identify the bedrock surface, some of the borings were continued into bedrock short distances with NX core barrels. Borings were completed either as observation wells monitoring the more permeable basal layer of the glacial drift (D-513(OV), DC-515(OV) through D-518(OV)) or as piezometers monitoring isolated zones in the basal layers to determine if a pressure gradient existed within the basal layer itself (D-514(PZ), DC-514A(PZ)). Monitoring devices were constructed of 2-inch PVC pipe and slotted well screen (see Plate B-6 for typical installation diagrams). Table B-1 provides a listing for all the instrumentation installed during the investigations.

Five additional borings were completed downstream of the main embankment centerline to supplement and verify existing data for use in determining the extent of the more permeable basal layer (P-106-4, P-110-6, P-110-7, P-110-8, P-118-1) (see Plate B-1 and Table B-1). Drilling and sampling methods were essentially the same as the initial series. NX core samples were obtained from three holes to accurately determine top of rock. Borings were completed as piezometers with tips isolated just above top of bedrock.

A final series of eight borings were completed in February and March 1984 in the Bowers seep area to determine the thickness and material types of the alluvial confining layer and to install piezometers to measure the uplift pressures present. Three were drilled with continuous, hollow stem flight augers (A-519, A-520, A-521). Two were advanced by continuous churn drill sampling with 6-inch/4-inch diameter drive barrels (D-519A, D-521A). (These two holes were completed in the same location as the auger holes because of the need to obtain samples from the hole after the water table was encountered and to install reliable devices.) Two were drilled with a 6-inch rockbit and sampled with either a 3-inch or 1-3/8-inch split-spoon (D-522, D-523). One was drilled with a hand auger (A-524). Piezometers were installed just above bedrock in all borings but two. In A-524(PZ), the tip was isolated 8 feet below ground surface in the low permeability confining layer. A piezometer could not be successfully installed in A-519 because suspended solids left from the drilling action in the hole plugged the tip.

- Findings. -- The basal layer of the glacial drift consists of up to 40 feet of a heterogeneous mixture of silt, and silty or clayey sands and gravels, which form a natural pervious zone. This zone contains between 10 to 30 percent fines passing the No. 200 sieve (see Plate B-7). The thickness of the zone ranges from 40 feet under the upland portion of the abutment to less than 10 feet towards the valleys (see profile on Plate B-3). The some terminates or becomes very thin in the valleys having been eroded away and replaced by alluvium. The material is more gravelly and cobbly where it is thickest, and becomes more sandy as it thins. Similar materials were found to crop out in the bluffs along Crows Creek upstream of the dam prior to lake filling. At that time it was believed the more pervious zones of glacial materials were too discontinuous and erratic to become seepage problems after impoundment. However, now it appears the more pervious zones are continuous under the entire left abutment area. The natural pervious in combination with the overlying lean clays and silts and underlying tight bedrock form a confined aquifer system which is recharged from the lake. After initial entrance pressure losses, the piezometric pressure gradient is relatively flat. The pressure gradient steepens near the seeps (see Plate B-3). The seep areas are characterized by decreasing thickness of low permeability material and pockets or lenses of more pervious material extending to near the ground surface. Pressure levels remain above ground downstream from the seeps.
- 4. Hydrogeologic considerations.--Prior to impoundment, the basal layer of the left abutment glacial drift was a mostly saturated unconfined aquifer system whose main discharge points were the exposures along the banks of Crows Creek along with the valley alluvium and naturally occurring springs. In 1971, three borings (D-147, D-148, and D-149) had piezometers installed in them and were monitored to investigate the possibility of abutment seepage. Two piezometers were installed in each boring, one was isolated in the basal sands and gravels and the other was isolated in higher sand lenses in the drift. The borings, however, never fully penetrated the basal layer since refusal was reached 20 feet above top of rock. Thus, when dry readings for the lower piezometers were obtained, they were misleading. As stated earlier, during the 1974 flood the construction office noticed dirty drinking water which was most likely caused by a significant rise in the water level of their water supply well. The first link was established between the influence of the river stage and the water level in the basal layer.

When impoundment began, the exposed basal material along the banks of Crows Creek became submerged. The discharge area became the recharge area initially, completing saturation of the aquifer and then subjecting the confined aquifer system to a hydrostatic pressure head corresponding to the lake level. The pressure levels increased as the lake elevation rose to multipurpose pool. Pressure levels in the basal layer near the downstream base of the abutment increased to above ground level, providing enough vertical gradient to force seepage from the basal layer through the thinning confining layer. However, since the relatively impervious alluvium blocks the aquifer as it emerges from the abutment, seepage quantities are relatively low. Flow from natural springs increased. Since the aquifer has reached a saturated condition, changes in pool level produce rapid pressures changes in the confined aquifer system. Data obtained during pool rises in the spring of 1983 indicates up to a 50 percent response in piezometric levels to changes in pool level with higher responses closer to the lake entrance point. When

pressure levels change in the confined system, the quantity or seepage will change and the extent or seep areas will tend to change. The extent or seep areas is also dependent on the length of time the pool is at a given level as well as the climatic (temperature and rainfall) conditions at the time.

5. Stability considerations. -- Using the information from boring logs and piezometric data, minimum safety factors against uplift were calculated with projected piezometric levels corresponding to flood control pool and a spillway crest pool. Projections were based upon a 50 percent increase in piezometric levels corresponding to pool increases. Safety factors were computed by dividing weight of the soil mass by the uplift pressures exerted on the mass. In the Bowers seep area, the minimum safety factor against uplift was calculated as 1.1, while the area immediately downstream of the dam at Station 110+00 the minimum safety factor approached 1.0. It was concluded that the uplift stability of both seep areas was questionable when the pool reached or exceeded flood control pool.

Actions taken in the downstream area are discussed in a subsequent paragraph. In the Bovers seep area, a series of ten relief drains were installed in April 1984 to relieve and control the seepage pressures and to determine their effect on piezometer levels. Drains were initially installed on 50-foot centers and constructed with 2-inch PVC slotted well screen (.020-inch slots), (RD-1 to RD-7). The last two drains installed, RD-5A and RD-5B, were located 25 feet on either side of the RD-5 which had the largest discharge and the greatest effect on the piezometric level of the initial drains installed. These last two drains were constructed with 4-inch PVC and .030-inch slotted well screen to allow for greater discharges while reducing the entrance velocity. Each screen was surrounded by a commercial filter pack to prevent migration of the natural material into the well and to increase the effective well radius. Typical installation diagrams for both the 2-inch and 4-inch drain are shown on Plate B-6. A schedule of the relief drains are shown in Table B-2. Relief drains installed on Government property, RD-1 through RD-3, were completed with a churn rig. An all terrain vehicle mounted CME 750 was used for installation of the relief drains on Bowers' property because of extremely wet field conditions. Table B-2 includes a schedule of relief drains along with the performance of individual drains.

The effect of the relief drains on the piezometric surface was significant. Drops in pressure levels in the piezometers located downgradient from the drains varied from in excess of 7.5 feet in D-522(PZ) to over 5.5 feet in A-521(PZ) and D-521A(PZ). Pressure drops up-gradient were measured at over 2.2 feet in D-514(PZ) and DC-514A(PZ) with the amount of pressure reductions decreasing with increasing distance from the relief drains. Table B-3 summarizes the effect of relief drains on the actual and projected piezometric levels. Since the relief drains have been installed, pool fluctuations have caused little change in the piezometric surface in the general vicinity of the wells. Piezometers located near the lake remain unaffected by the relief drains.

A temporary collector system was installed in October 1984 to contain flows from the seven relief drains on Bowers' property. It consists of 4-inch PVC pipe and connections assembled together on top of the ground. The area is fenced off to prevent livestock damage. Discharge is directed into the nearest drainage ditch to the south (below pond A). The temporary collector system has significantly dried up the area.

6. Present conditions.

- a. Downstream seep area. -- Seepage in the downstream area was further investigated during late spring 1984. The investigation included a stability investigation along with measures to control seepage and reduce uplift pressures. A series of four test pressure relief wells were installed in the downstream left abutment area near Station 110+00. The test wells were successful in reducing the piezometric level in the foundation. The Left Abutment Stability Report, dated July 1983, summarized the field investigations, laboratory testing, stability studies, and set forth an interim solution of three pumped wells to reduce uplift pressures during high pools. It also proposed 12 additional pressure relief wells in the downstream toe area and through the downstream embankment slope as a permanent solution to control seepage and reduce uplift pressures. The relief wells and a buried collector system were scheduled to be installed during the fall or winter 1984.
- b. Dike seep area. -- The draw downstream of the main dike has been soft and wet since before construction of the project. The size of willows growing in the seep areas, show that some seepage was occurring well before the lake reached multipurpose pool. However, the two seep areas are located in the two low areas where the horizontal pervious blanket of the dike extends underneath the road embankment. Instrumentation installed in the dike foundation during construction responds to pool fluctuations, but the pressures at multipurpose pool are not high enough to cause seepage to exit at the ground surface at the toe. (See Plates B-5, B-27, and B-28.) Projected pressure levels at higher pools are above ground, but the thickness of the confining layer should prevent excessive seepage and uplift pressure problems.
- c. Bowers seep area. -- The relief drains have significantly reduced pressure levels and maintained the lowered levels during pool rises, but piezometric levels are still slightly above the ground surface (1.5 to 2.0 feet). Some surface seepage is still occurring. The groundwater table is still at the ground surface in several places. The amount of seepage is still great enough to cause flowing conditions in the following areas: (1) in the large draw on Government property where the influence of the lake has increased the flow of natural springs; (2) on Government property near the northeast corner of Bowers property; (3) above the waterline of pond A; and (4) in the small draw to the east of pond B (areas marked on Plate B-2). All of the flow crosses Bowers' property before entering Wilkerson Creek. The hillside remains damp in several places (labeled A, B, C, and D on Plate B-2). Brosion and headcutting in the draws leading to Wilkerson Creek are being aggravated by the constant flow from the relief drains, seeps, and natural springs, (labeled I, II, III on Plate B-2). Short term leases are being acquired on both properties.

7. Conclusions.

a. Downstream seep area. -- The downstream seep area is directly affected and fed by the lake. Due to the potential embankment stability problems caused by this seepage it was the subject to the Left Abutment Stability Report, dated July 1984 which provided an analysis of the problem and proposed permanent remedial action. The remedial action which includes 12

pressure relief wells and a buried collector system is presently under construction. When completed, it will provide a comprehensive and permanent solution to the seepage conditions in this area.

- b. Dike seep area. -- There is no apparent direct connection between lake levels and the seepage in the dike area. The present seepage is believed to be originating from isolated sand lenses in the glacial drift in the dike foundation. This seep area was present prior to construction and has not changed significantly with the filling of the lake. However, because of piezometric response to the lake in the lower overburden, this area will continue to be monitored and possibly have some additional instrumentation installed. No remedial work is warranted.
- c. Bowers seep area. -- The existing seepage conditions on the Bowers property, the Burnett/Cutting property, and the adjoining Government lands are caused by and affected by the lake levels. The relief drains previously installed help to reduce excessive seepage pressures. However, the associated problems with large saturated areas and headcutting still exist. Although areas of instability during high lake levels would probably not have the potentially catastrophic consequences that similar areas immediately downstream of the dam would have, they could be significant, particularly on private property. Access and remedial actions in an emergency could be severely restricted. It is unfortunate the affected Bowers and Burnett/Cutting properties were not acquired during the original land acquisition for the Smithville Lake. Due to the larger and more complex seepage conditions in this area, an engineered total remedial solution is not cost effective since any boils and/or slides caused by high lake levels would not likely be catastrophic. Remedial work consisting of a buried collector system for the existing relief drains, an emergency access trail, and rock ditch construction to halt head cutting will be an adequate engineered solution to the seepage problems, provided the affected tracts of land are acquired by the Government. Government acquisition will insure the necessary access for monitoring, instrumentation, additional remedial work, and emergencies. The cost estimate for the minimal remedial work necessary consisting of the buried collector system, an emergency access trail, and the rock ditch protection is \$70,000.
- 8. Recommendation. -- The 20 acres of Bowers property and 20 acres of the Burnett/Cutting property should be acquired by the Government. The minimal remedial work consisting of the buried collector system, an emergency access trail and rock ditch protection should be constructed. This area should be closely monitored, especially during high pools, and additional remedial work be performed as needed.

TABLE 1 Instrumentation Schedule

Manher	Station	Lange	Tip Elev.	Grd. Elev.	Tip Mati.	Installation Date	Type	- A
DEANDENT							riser / tip	ON THE REAL PROPERTY.
1-106-1	106+02	0+15 BS	807.9	894.9	Siy Grv Sd*	12-09-83	3/4" / 1.5'010"	
1017	110+00	3448 DS	808.1	838.1	Sty Sdy Grv	10-26-83	2" / 1'020"	e lod salvo N
P-110-7	110+00	4+23 DS	809.5	832.5	Cly Grv Sd*	11-22-83	2" / 1'020"	Flowing hole
F110-6	110+00	1+09 DS	611.0	863.0	Cly Sdy Grv*	11-17-83	3/4" / 1.5'010"	
P-118-1	118+00	1+00 DS	807.0	868.5	Sty Grv Sd	11-08-83	3/4" / 1.5'010"	
<u>ABUTHENT</u> <u>D-513(OV</u>)			810.0	851.8	Sty Gry Sd/ Sty Sdy Gry	09-21-83	2- / 10'020"	
D-514(PZ)			817.7	841.0	Cly Grv Sd/ Siy Sd	10-13-83	2" / 2'020"	Flowing hole
DC-5144(PZ)			809.9	841.4	Cly Grv Sd*	10-14-83	2" / 2'020"	Flowing hole
D-51 5(OV)			807.6	877.1	Sty Grv Sd/Sty Sd Grv/Cly Grv Sd	10-28-83	2" / 10'020"	
D-516(OH)			812.7	892.9	Siy Grv Sd/Siy Sdy Grv/Cly Grv Sd	10-03-83	2" / 10'020"	
DC-517(0V)			812.9	880.0	Siy Grv sd	10-07-83	2" / 5'020"	
D-518 (OU)			823.3	896.3	Siy Grv Sd/Cly Grv Sd	10-20-83	2" / 5'020"	

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Musber Station Range	Range	Tip Elev.	Elev. Grd Elev. Tip Matl.	Tip Matl.	Installation Date	į	1
BOWERS SEEP AREA						riser / cip	Resrks
A-519		ı	839.5	•	ı	•	PZ not installed,
D-519A(PZ)		6				*d#7#	suspended solids in hole
		650.5	838.9	Sdy CI*	04-02-84	3/4" / 1.6'020"	
A-520(PZ)		812.1	828.6	Sdy C1+	02-09-84	3/4" / 1.5'010"	
A-521(PZ)		810.2	830.2	Siy Sd*	02-10-84	3/4" / 1.5" - 010"	
D-521A(PZ)		908.6	830.0	473 -73	;		arou Burnors
D-522(197)				- DE (10	04-03-84	3/4" / 1.6"020"	Flowing hole
		812.2	834.1	CIY Sd*/Sd*	03-28-84	2" / 2'020"	Flowing hole
D-523(PZ)		793.4	821.6	Sdy Cl	03-27-84	2" / 2"020"	
A-524(PZ)		819.0	827.0	Lean Cl	03-29-84	2" / 2"020"	

* Pield Classification
Of - Observation Well
P, PZ - Piezometer

TABLE 2 - Relief Orains; Schedule and Performance

Mumber	Grd. El.	Installation Date	Order of Installation	Type Riser/Tip	Feet of Sand Around Tip	Initial Discharge, Est.	Imed. Affect Adj. on P2's	After Drains Completed 10 April 84	Pool El. 863.4 4 Oct 84
1-01	830.84	78-6-7	60	2" /4'020"	9.6	1/2-1 gpm	•	.1 gpm	.1 8pm
2-43	831.24	. 78-9-7	•	2"/2'020"	8.3'	5 gpa	}-3'(D-521A,A-521)	1.0 gpm	1.2 8pm
10 -31	832.62	4-1-84	•	2-/2'020-	7.0.	1-2 gpm	3',5'(D-521A, A-521) .1 gpm	A-521) .1 gpm	.1 8pm
1-92	835.39	4-1-84		2"/2"020"	5.2	#d# *	2' (D-522)	.5 gpm	.3 8pm
2-61	834.92	4-7-84	- 7	2"/5'020"	.8.6	25 8Pm	-4.2' (D-522)	7.1 gpm	1.0 spa
*4	835.60	4-10-84	91	4-/5'030"	7.5'	ad\$ 7	2' (D-522)	6.2 gpm	3.75 gpm
25-53	834.33	79-6-7	•	4"/6.5'030"	9.2.	18 gpm	-1.2' (D-522)	7.9 gpm	6.6 gpm
9-01	833.28	4-1-84	n	2"/5'020"	8.2'	20 gpm	-1.4' (D-522)	10 gpm	e.6 gpm
101	832.25	48-8-4	5	2"/3"020"	,9.9	2 gpm	1	1.5 gpm	1.0 gpm
9-03	830.82	48-8-4	1	2"/2.5"020"	7.4'	1	1	.1 gpm	 8p

Relief drains were installed between 7 April 1984 and 10 April 1984. Pool level during this time rose from El. 867.7 to El. 868.4.

Table 3 Effect of Relief Drains on Plezometric Levels

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* Used 25% response
** Could not project since 6 April 1994 is only
residing swallable before beginning drains

 Pool Elevation:
 6 April 1984
 867.7

 9 April 1934
 868.3

 10 April 1984
 868.4

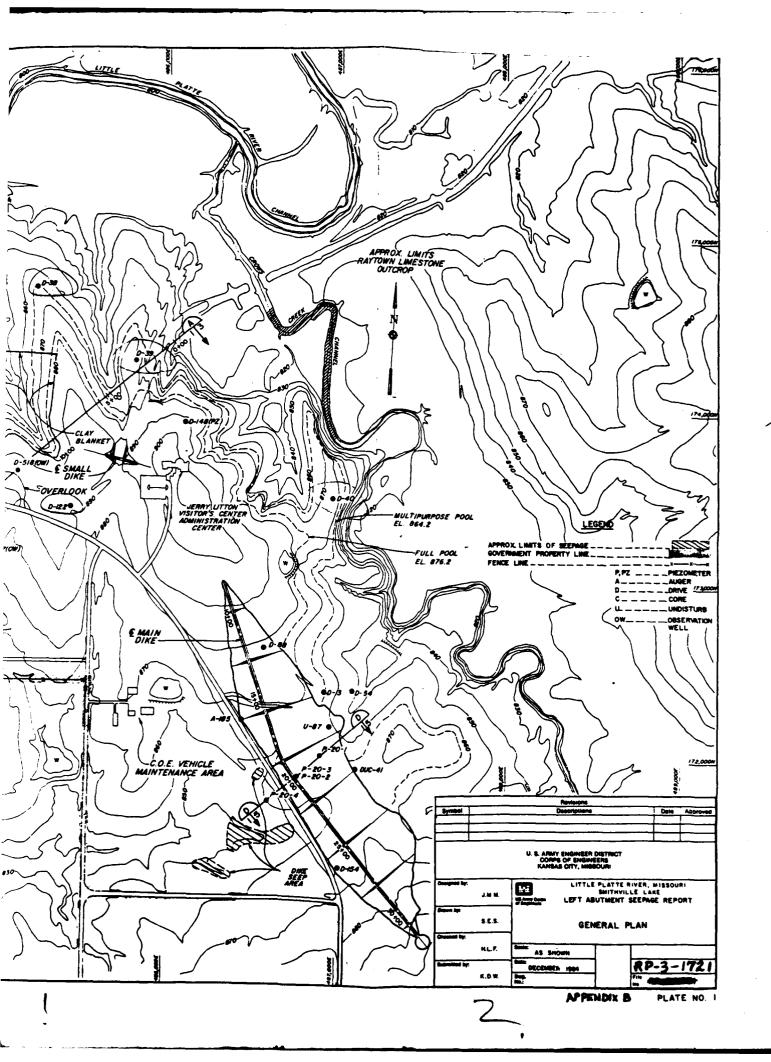
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ESMITHVILLE DAM SMITH'S FORK RECREATION AREA ATMLETIC FIELDS oc-sire SEEP

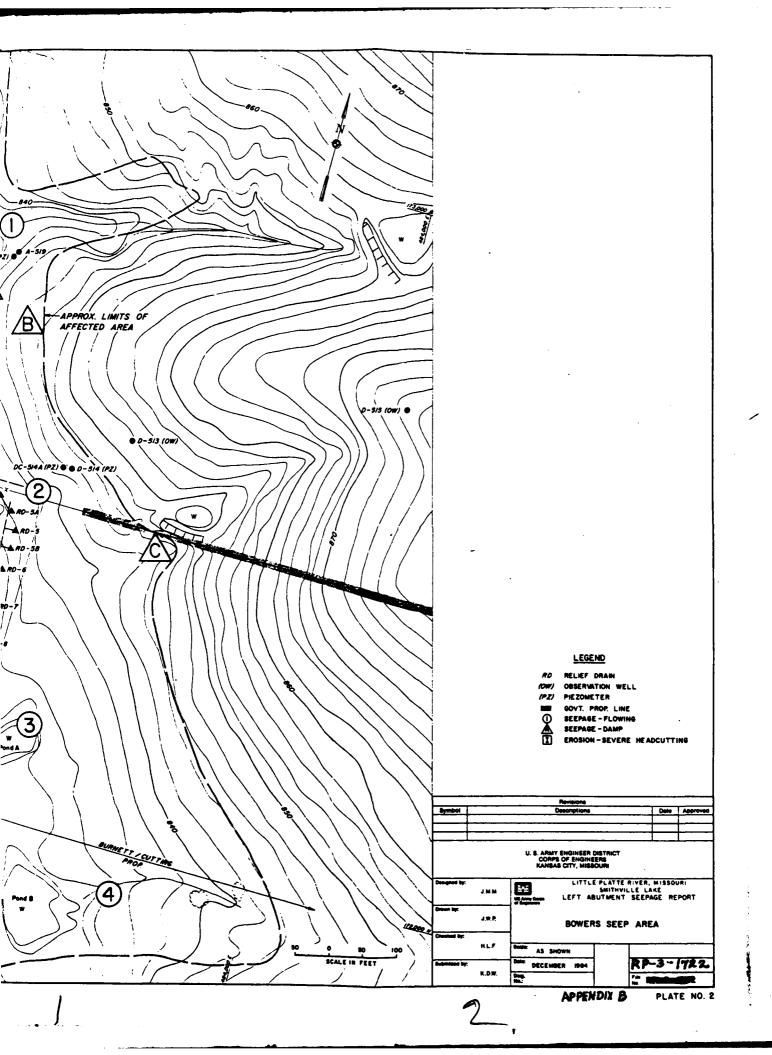
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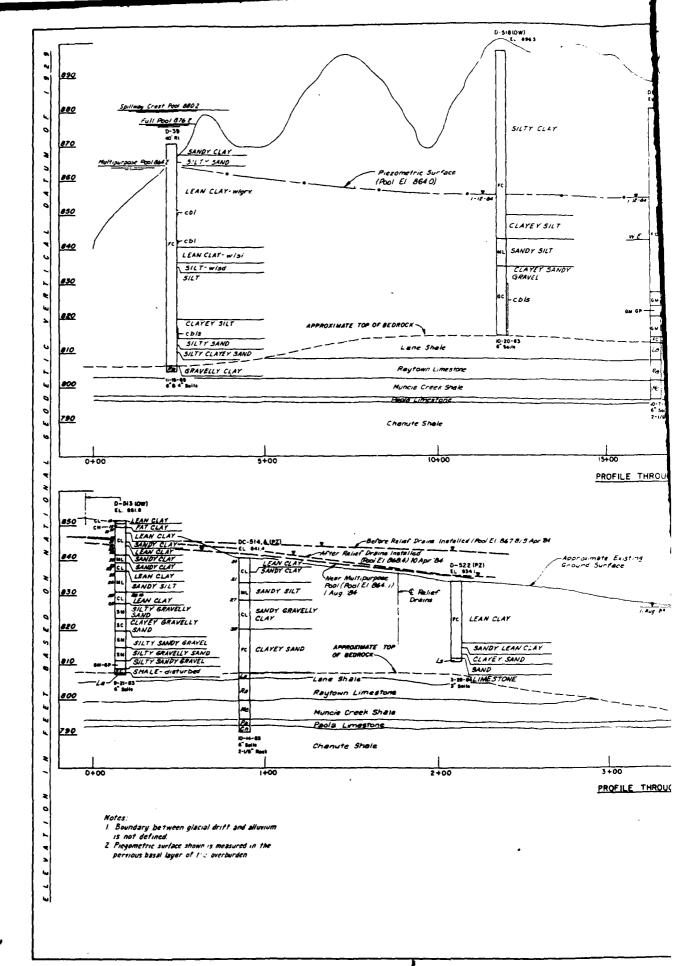
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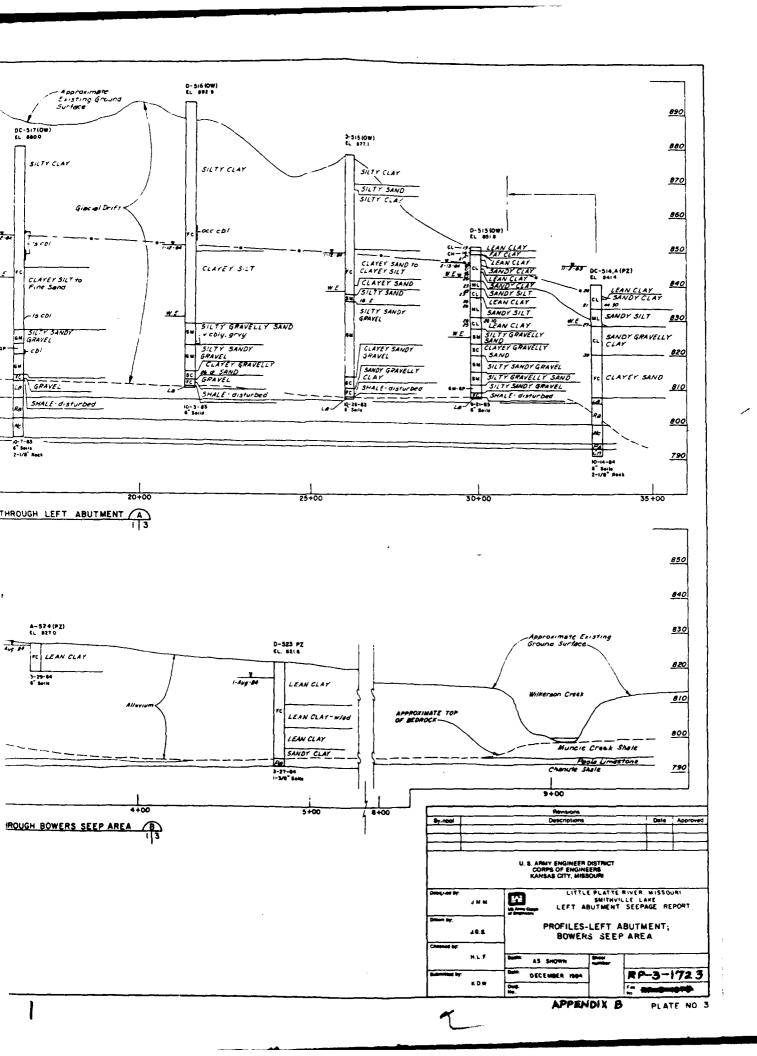


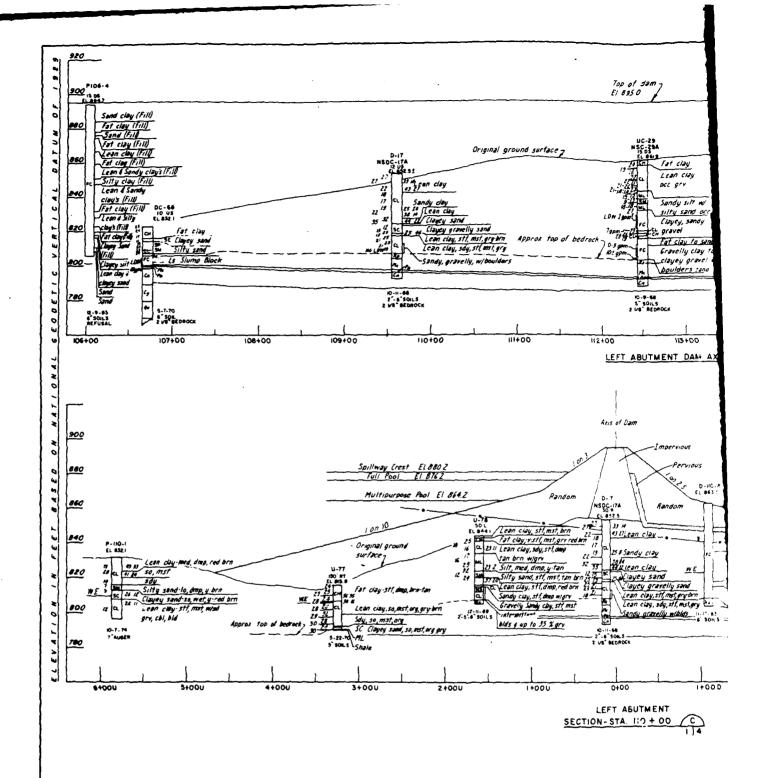
● A-520 (PZ) BOWERS' RESIDENCE 0-521A (PZ)-A-521(PZ)-0-522 (PZ) PROPOSED LOCATION DEBUTED COLLECTOR SYSTEM

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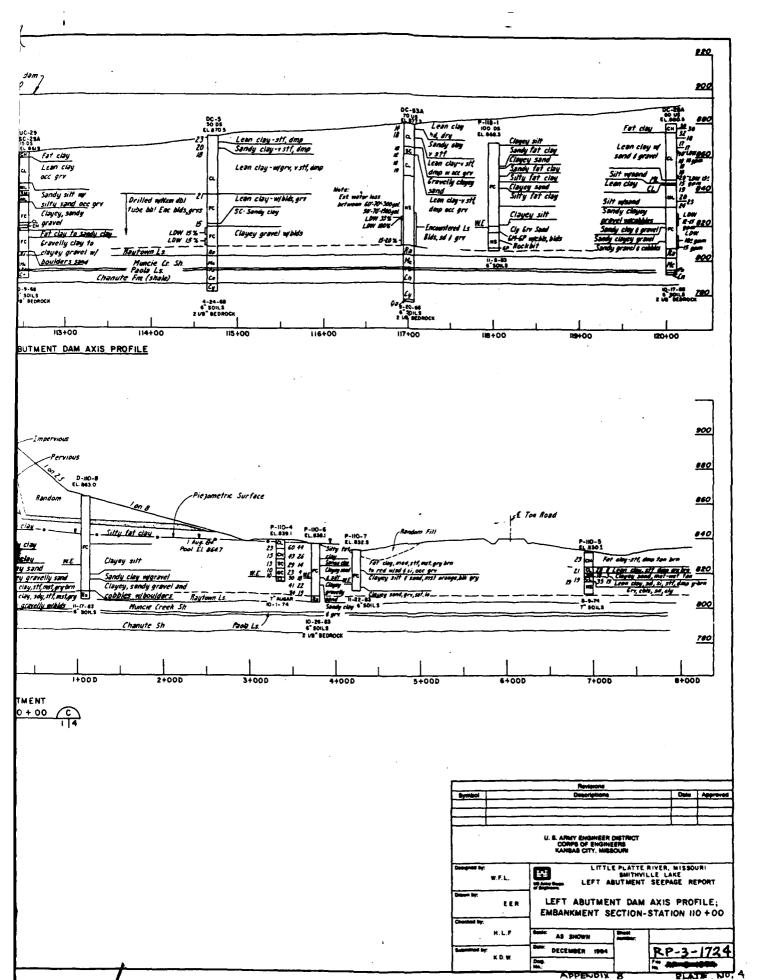




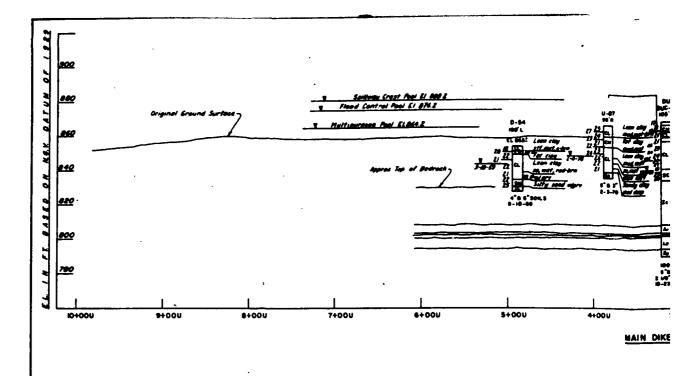




Note
I, Fragometric surface shown is measured in the pervious basal layer of the overburden.



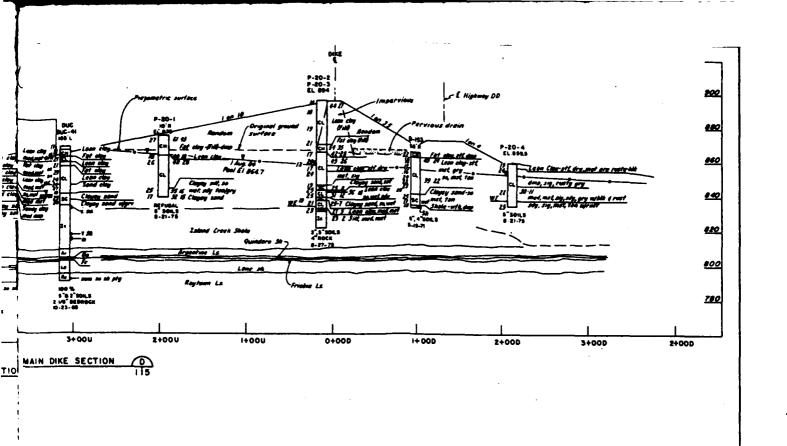
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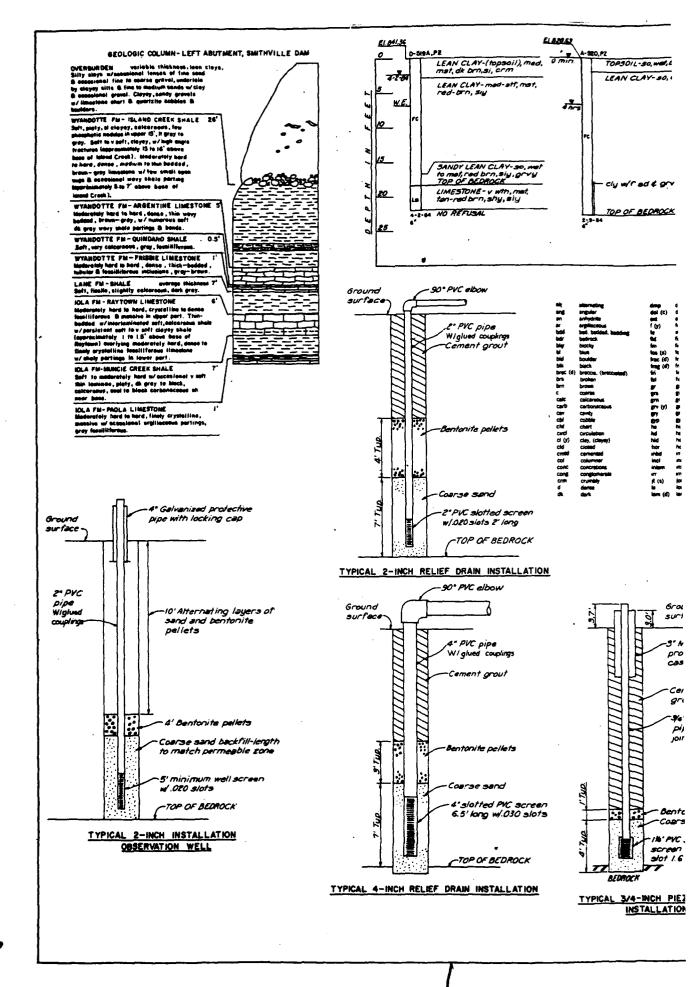
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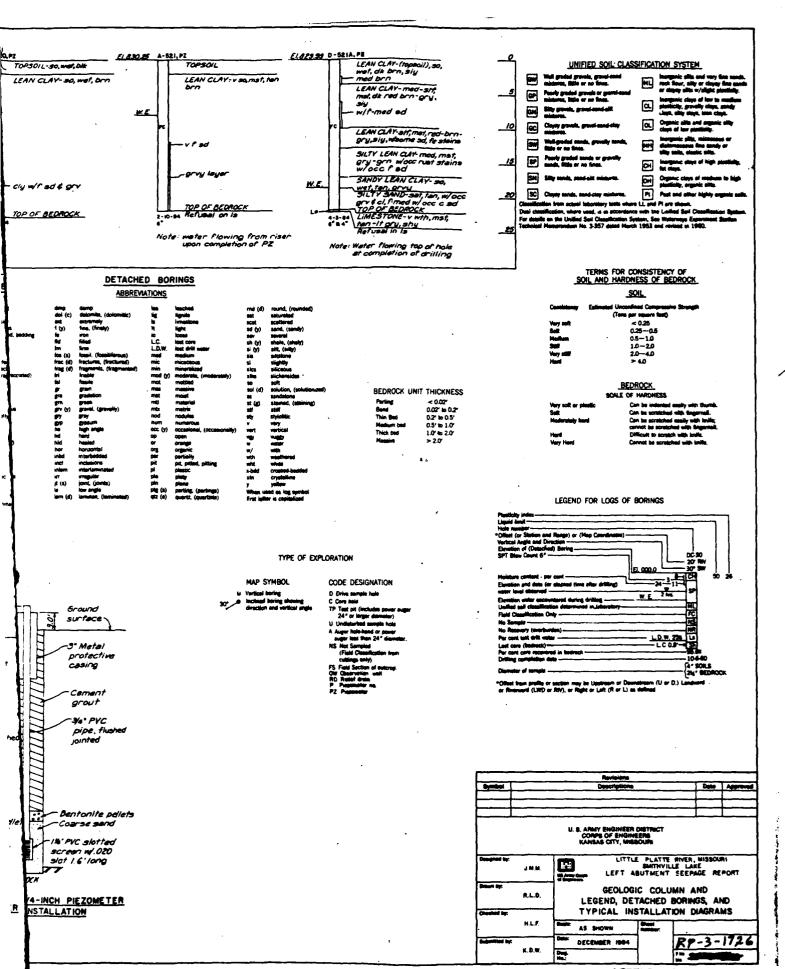


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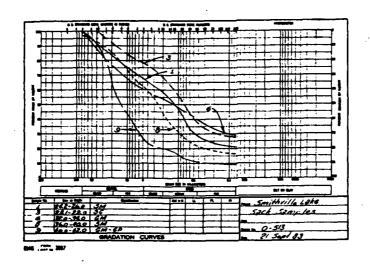
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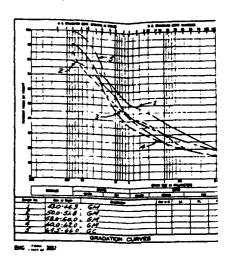
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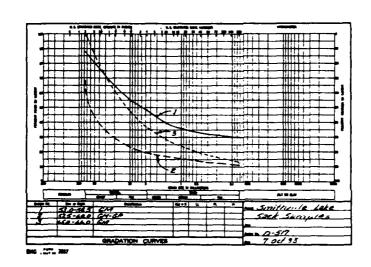


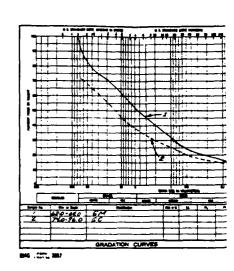


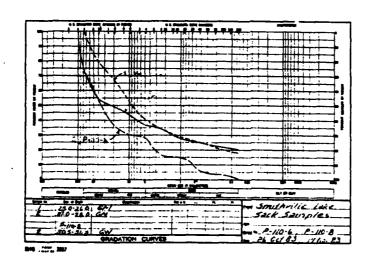
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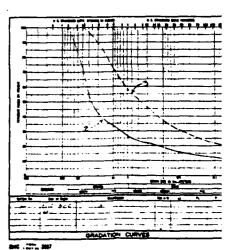












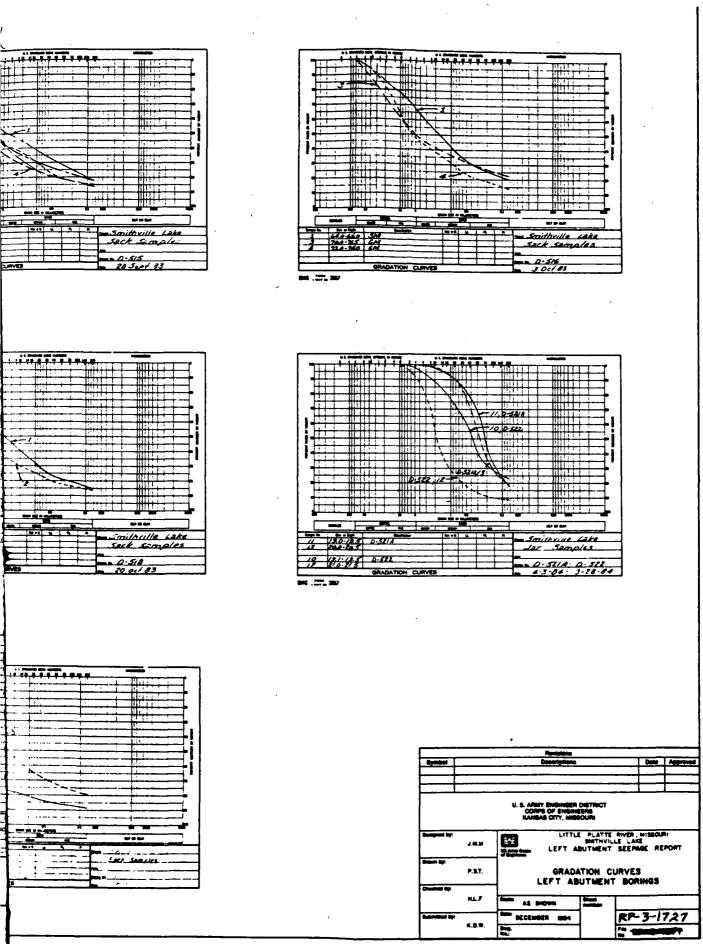
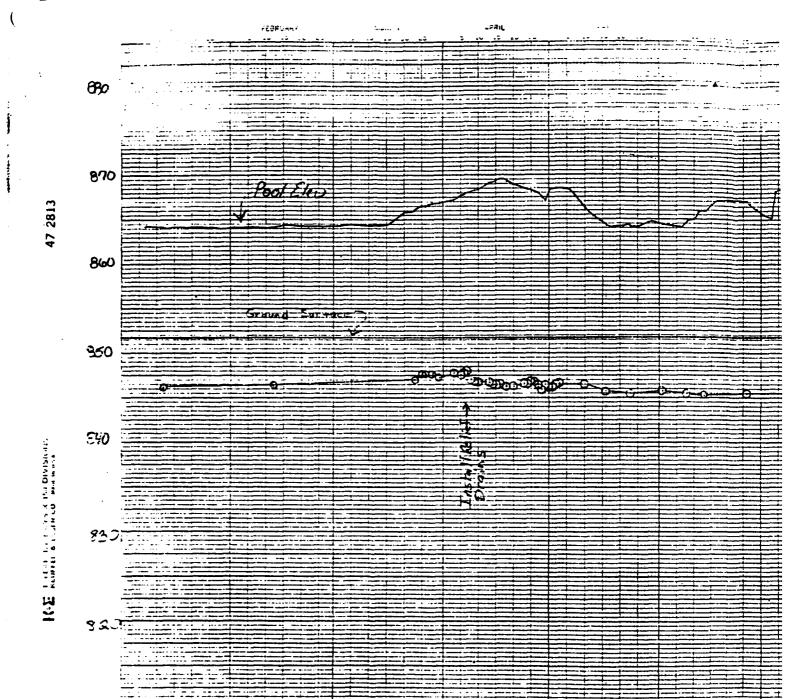
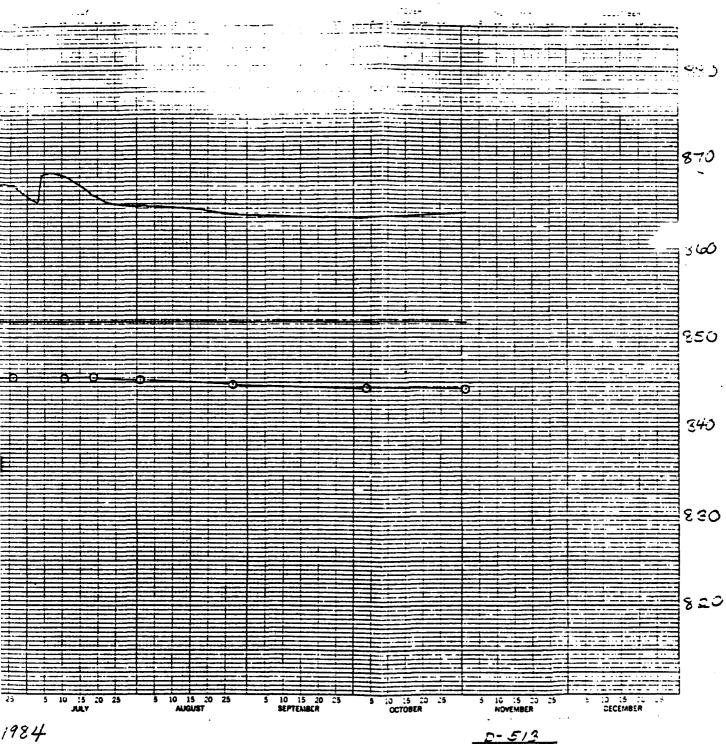


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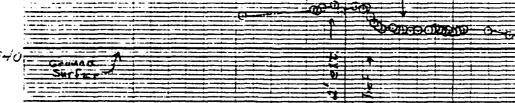
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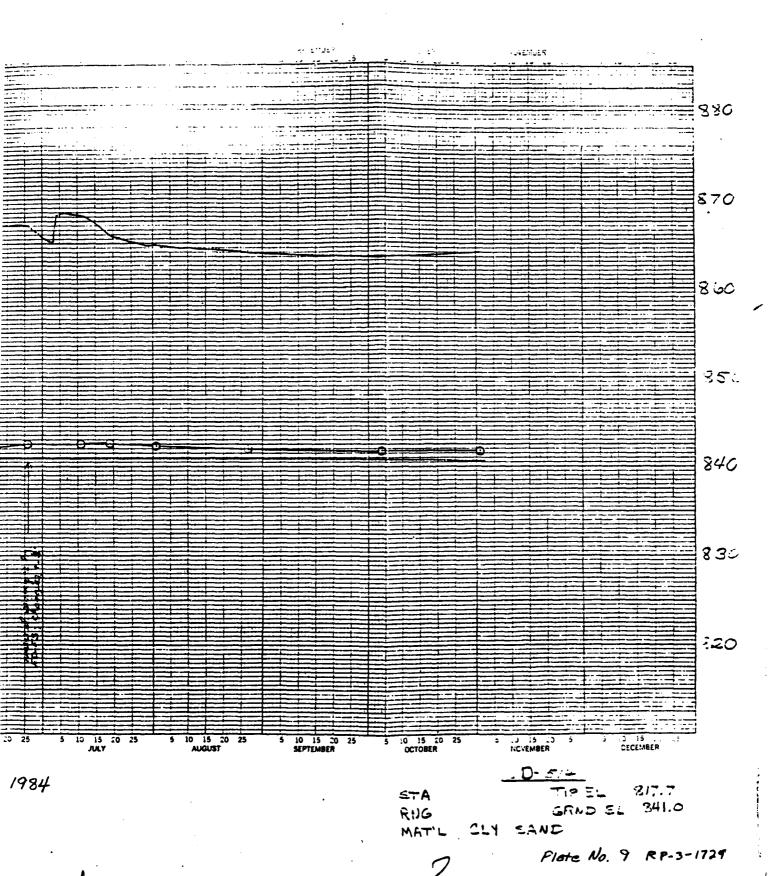
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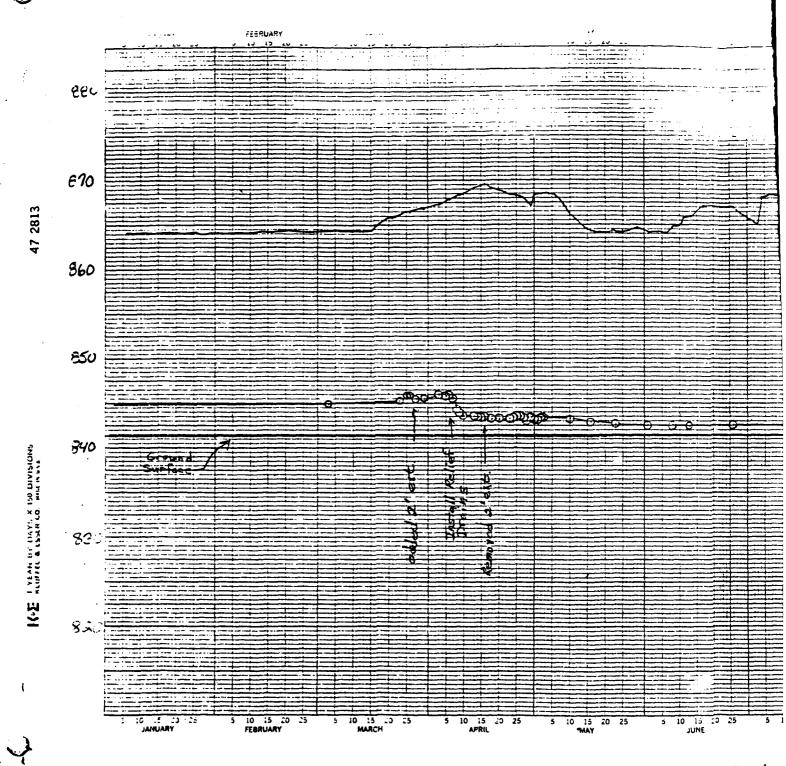
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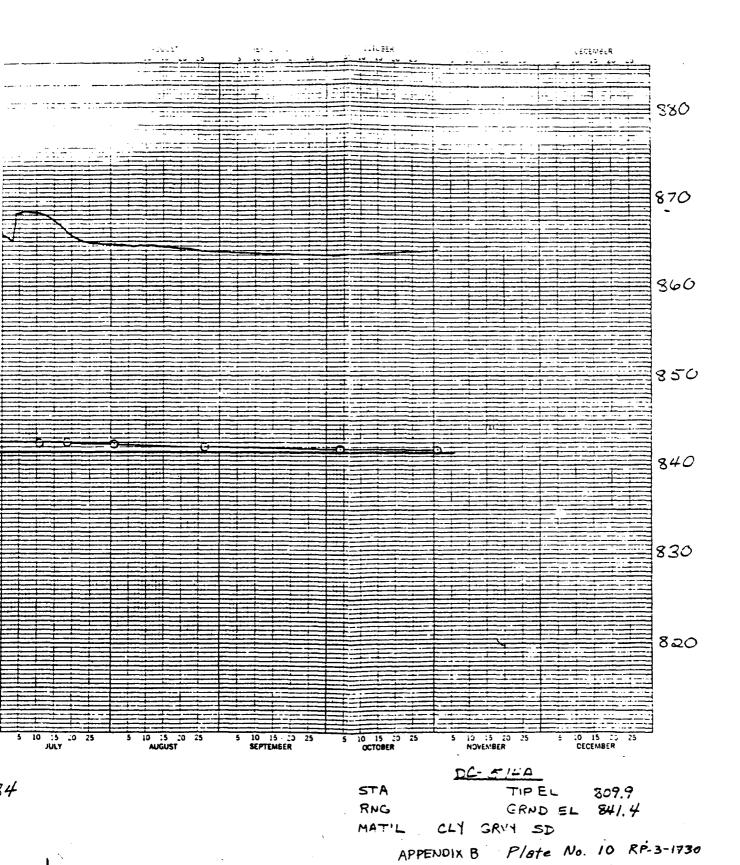
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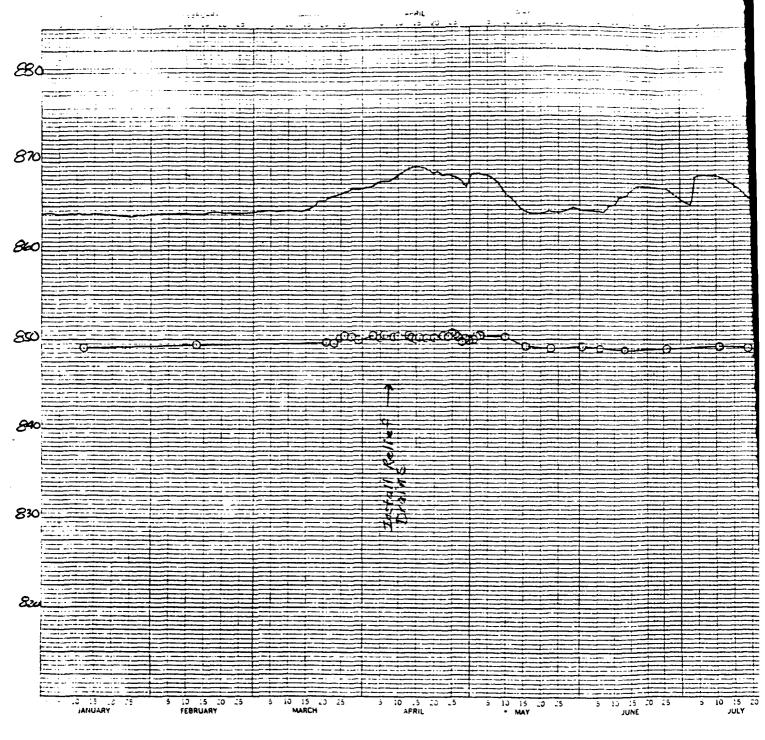


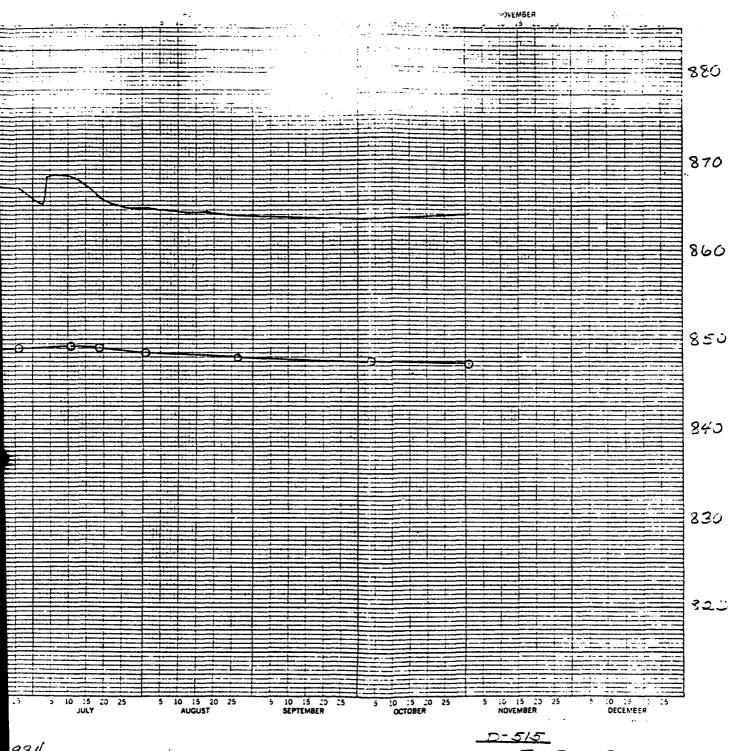


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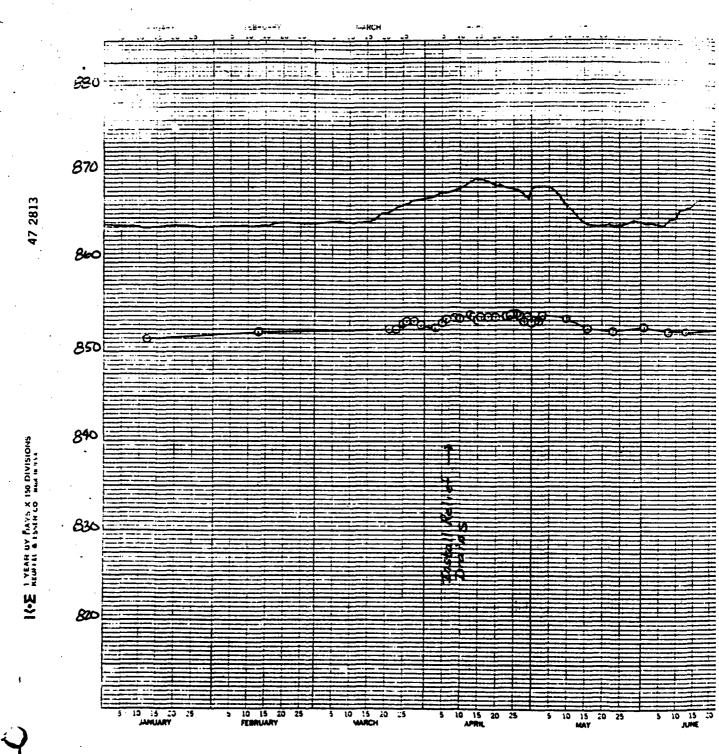
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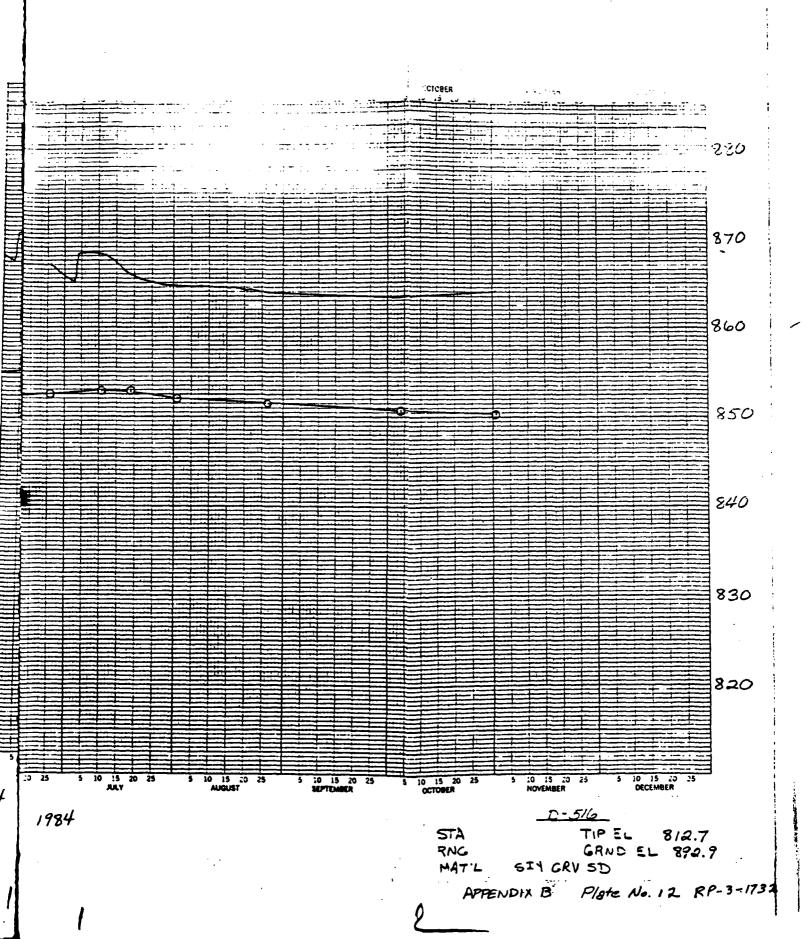
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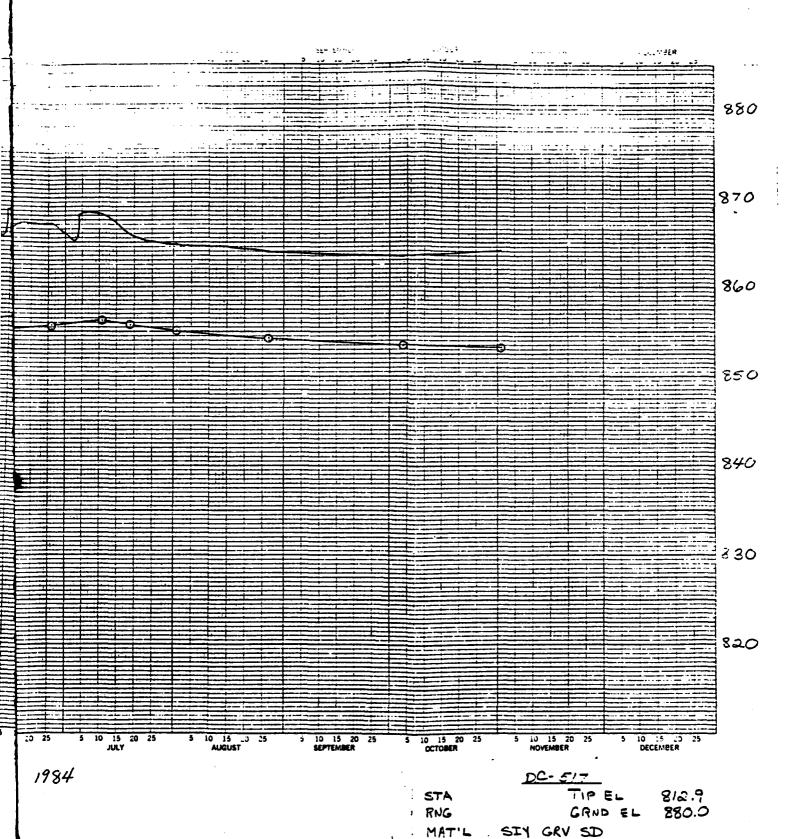
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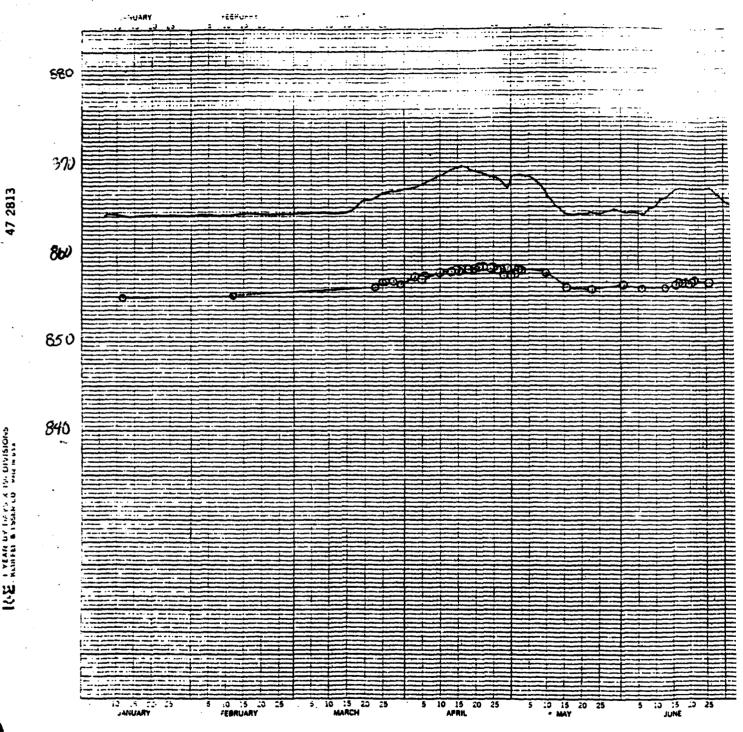


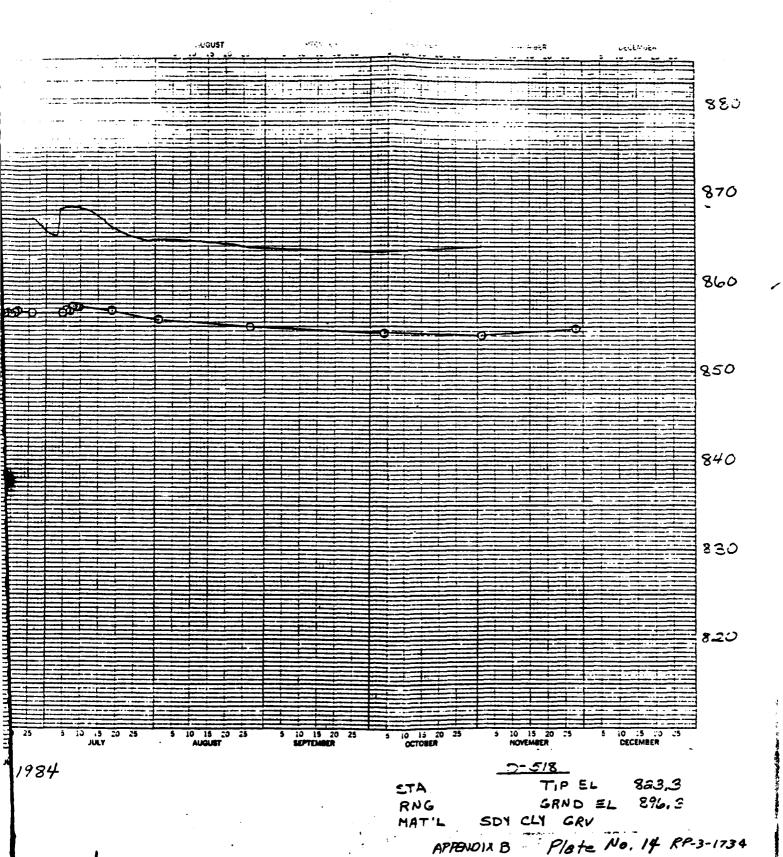
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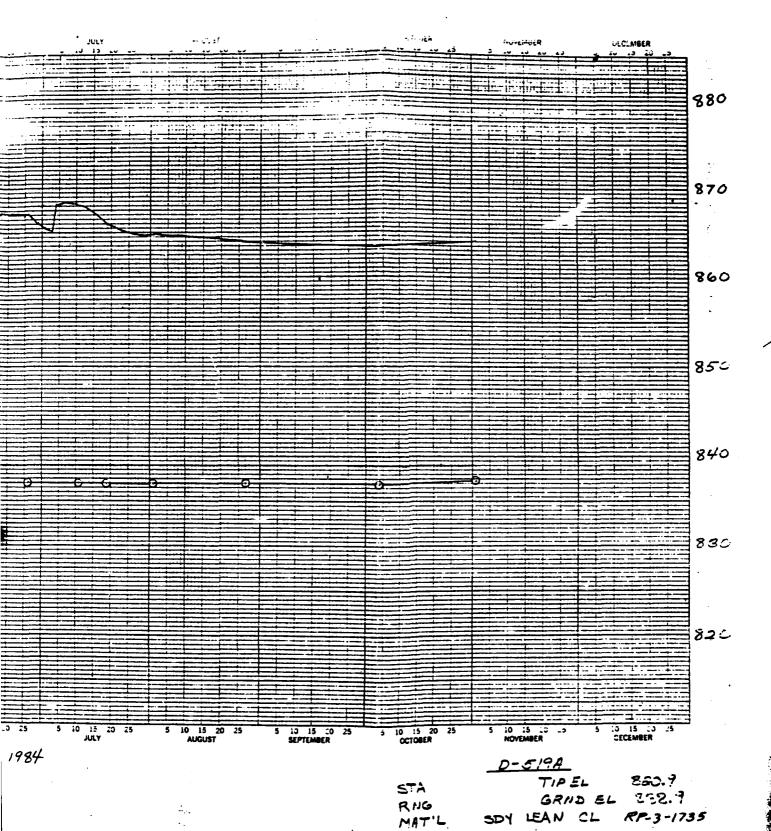
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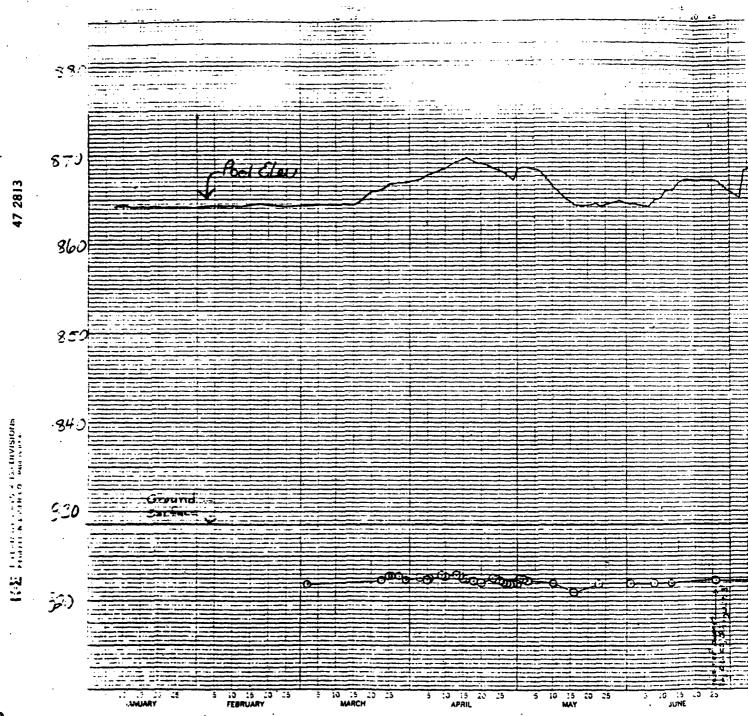
APPENDIX B Plate No. 13 RP-3-1735



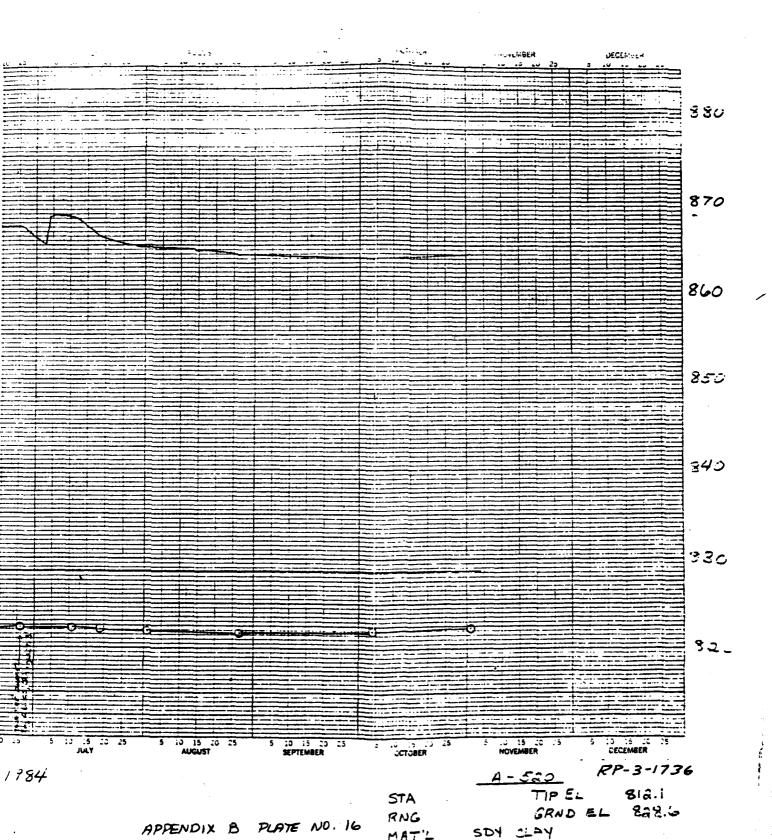




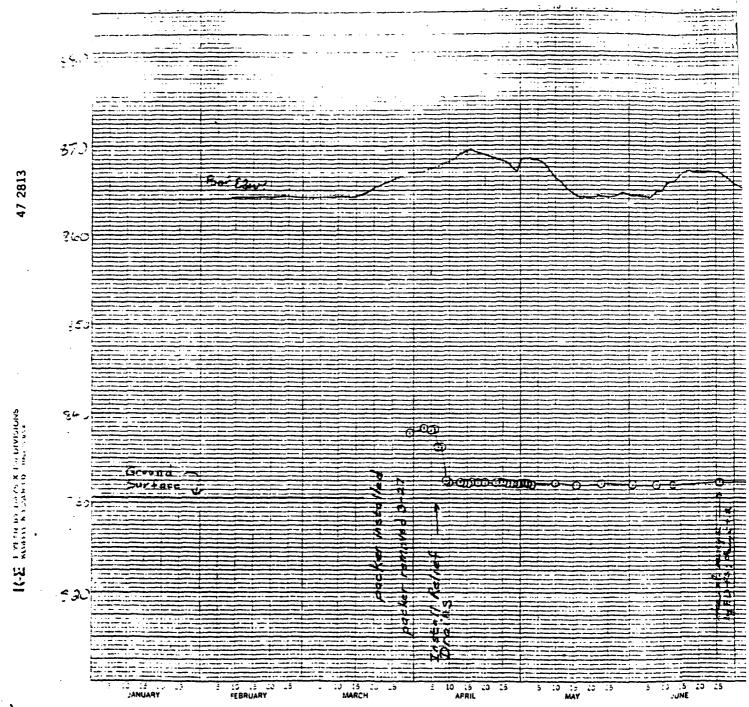
APPENDIX B Plate No. 15



1784

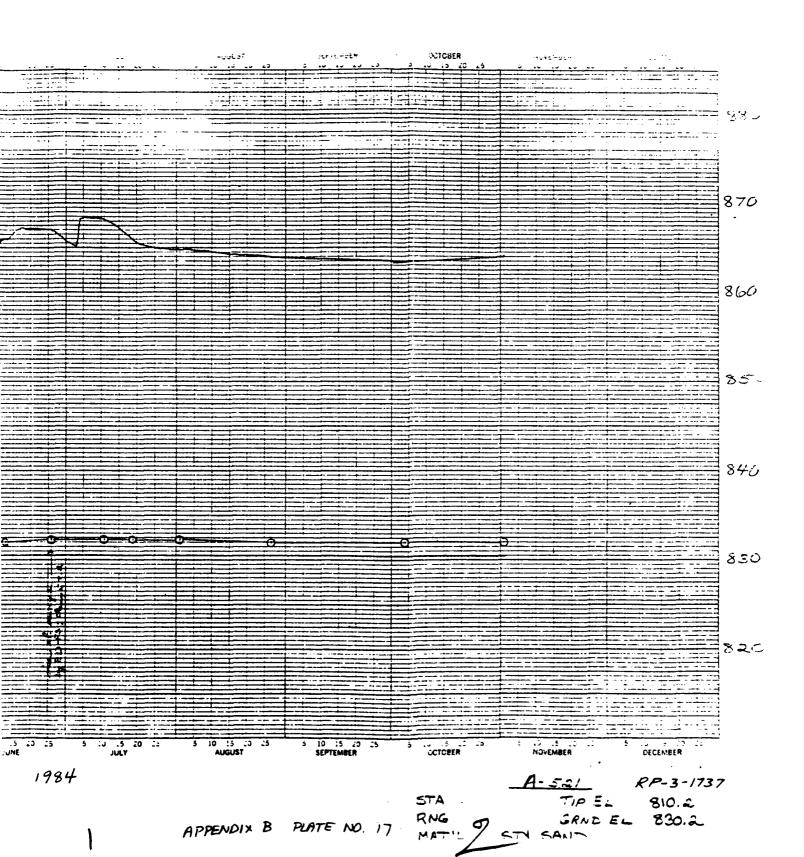


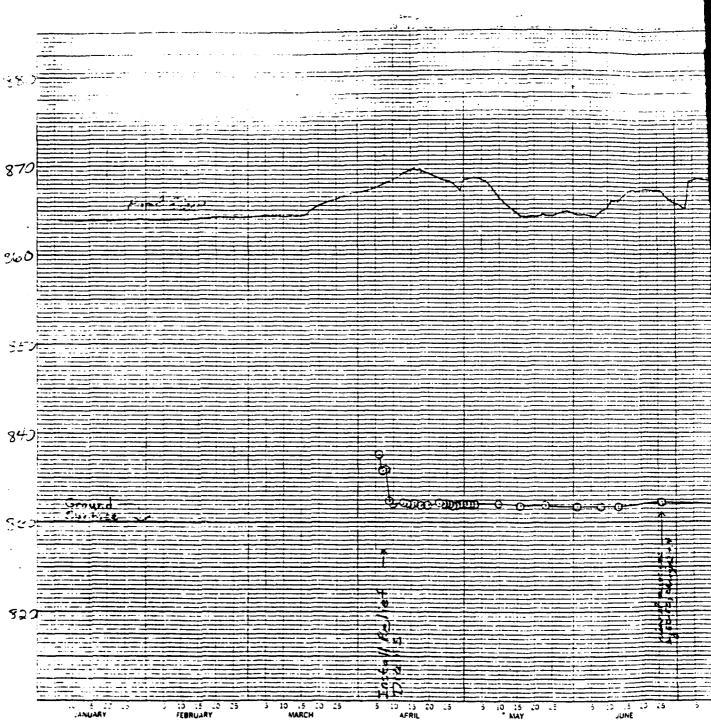
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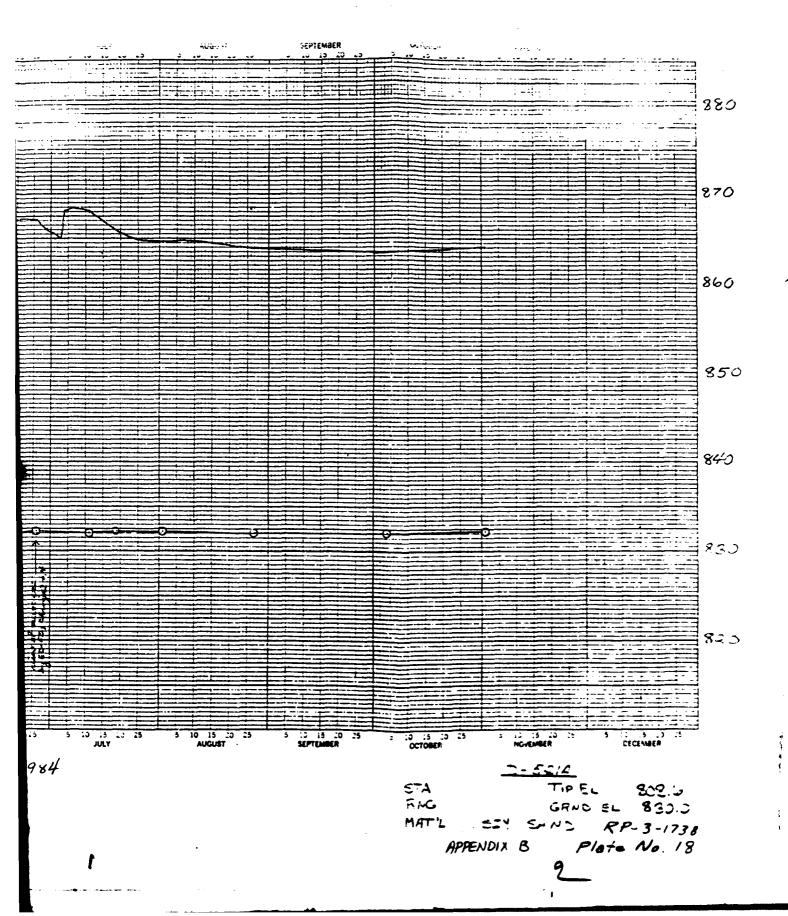
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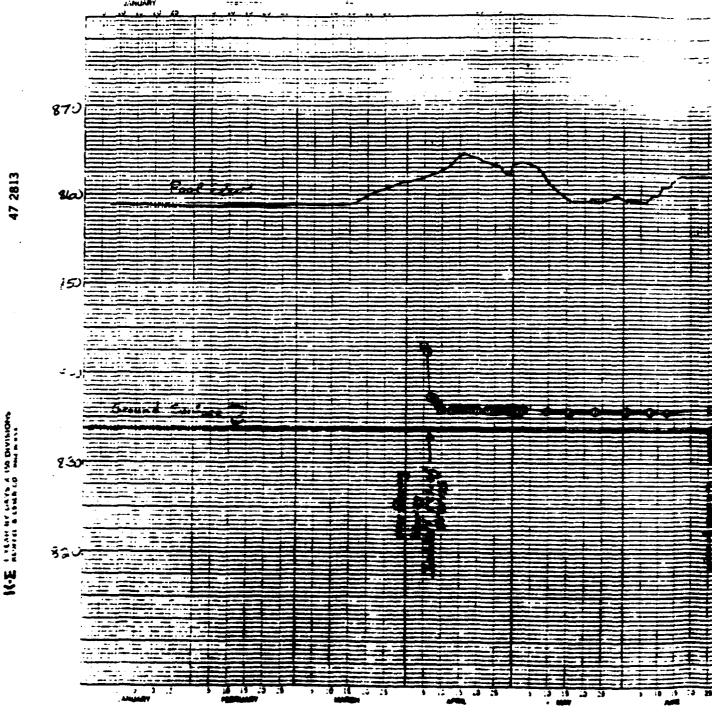
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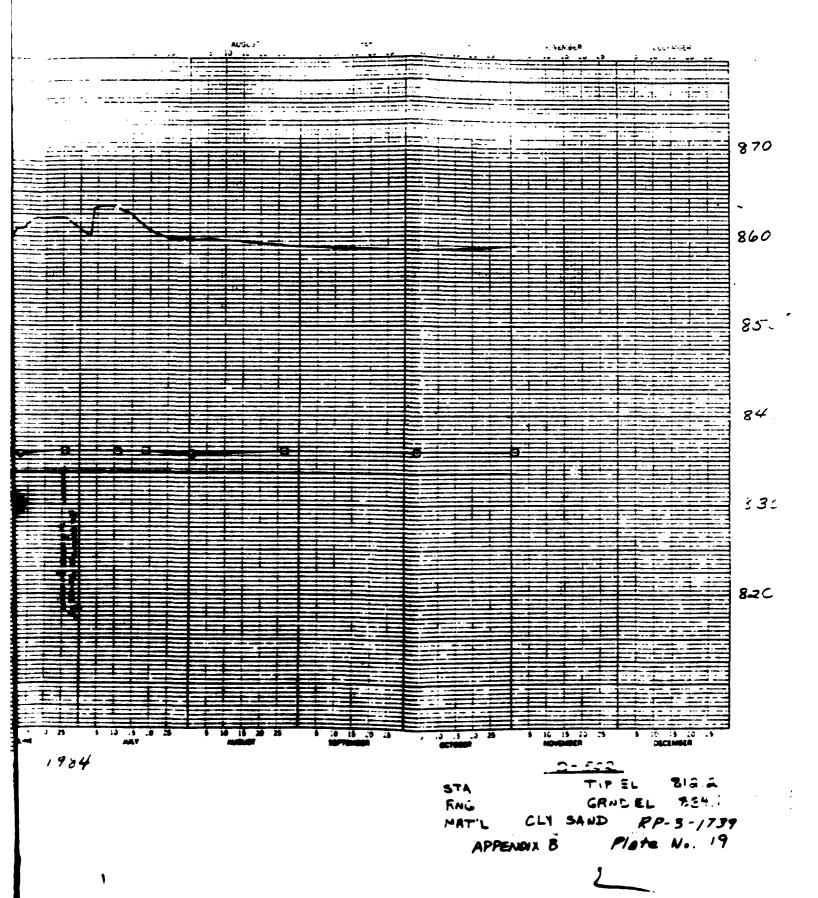




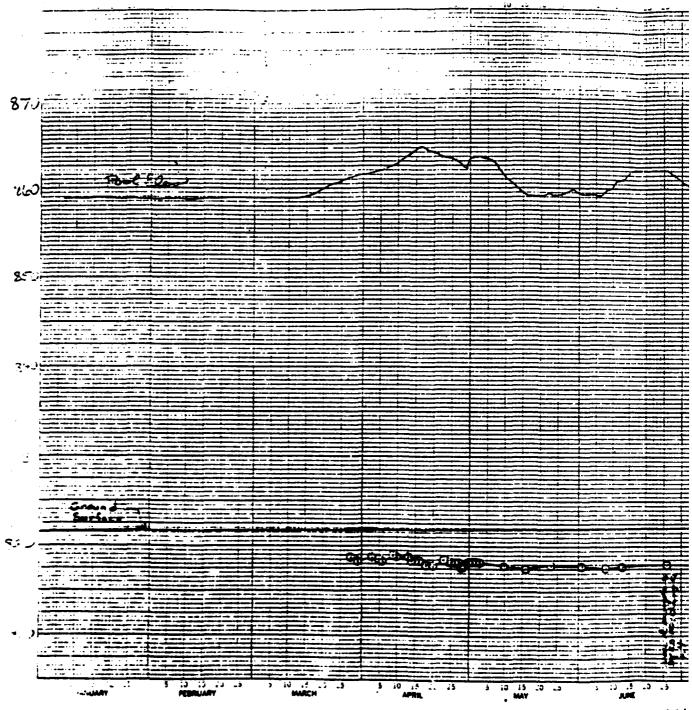
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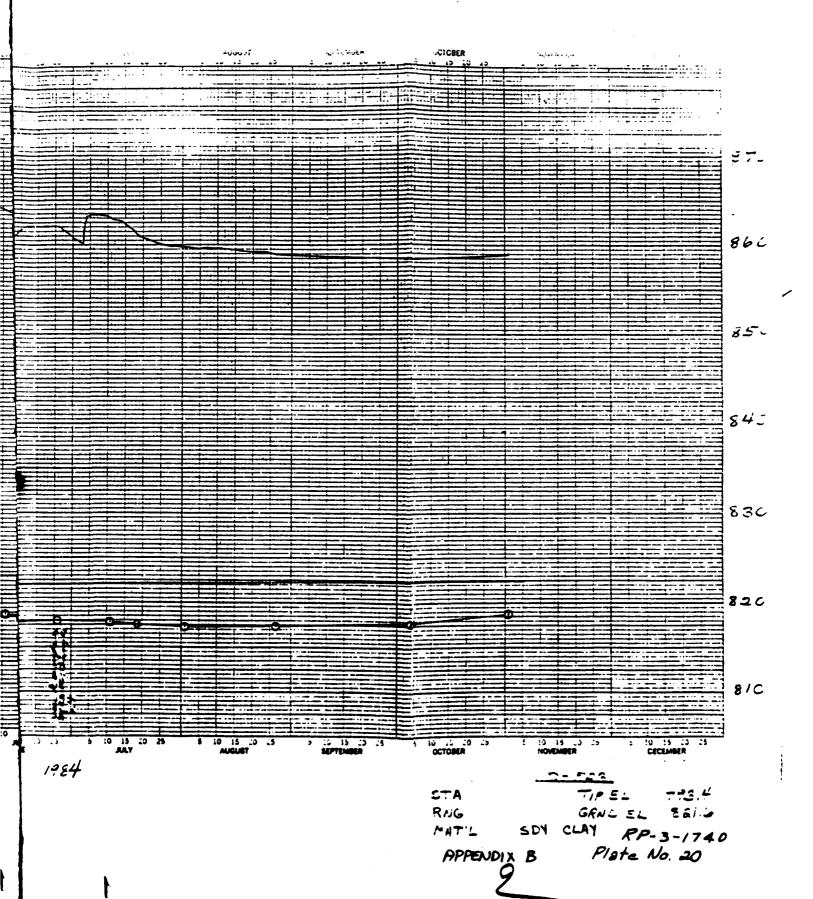


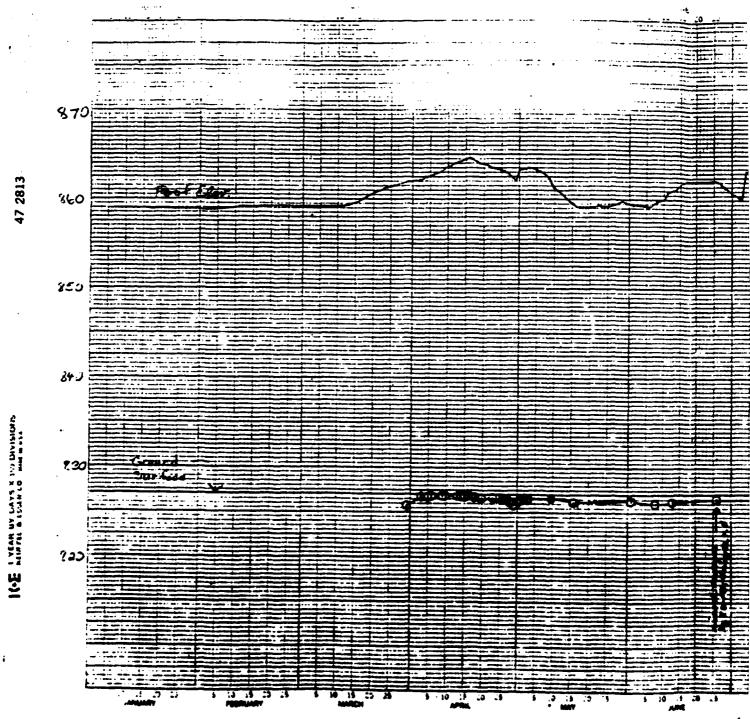


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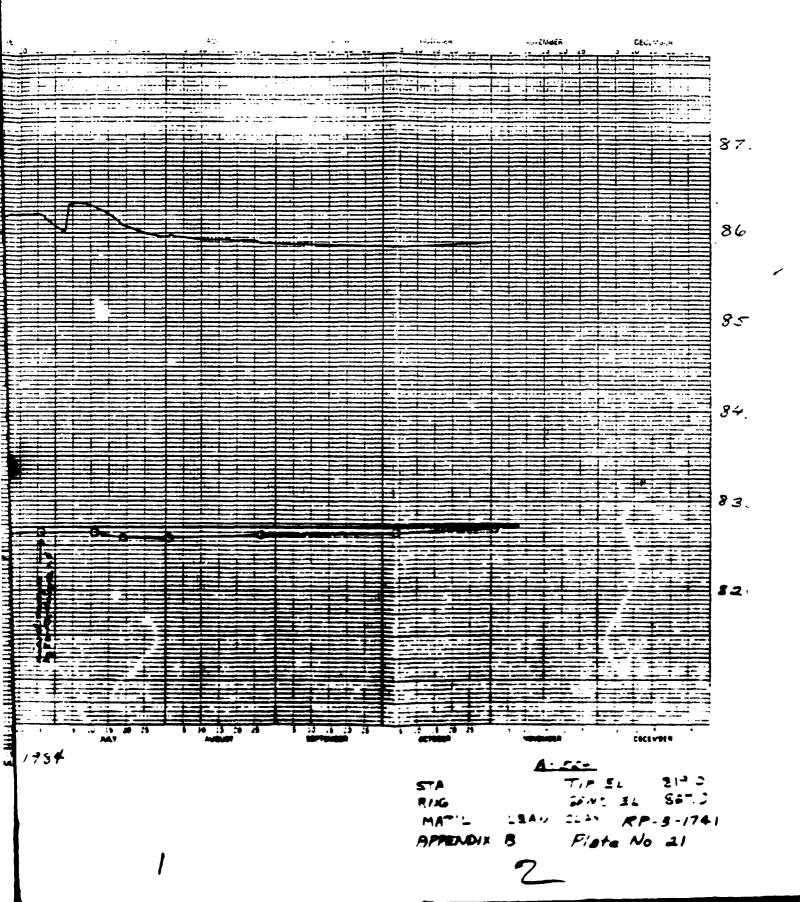
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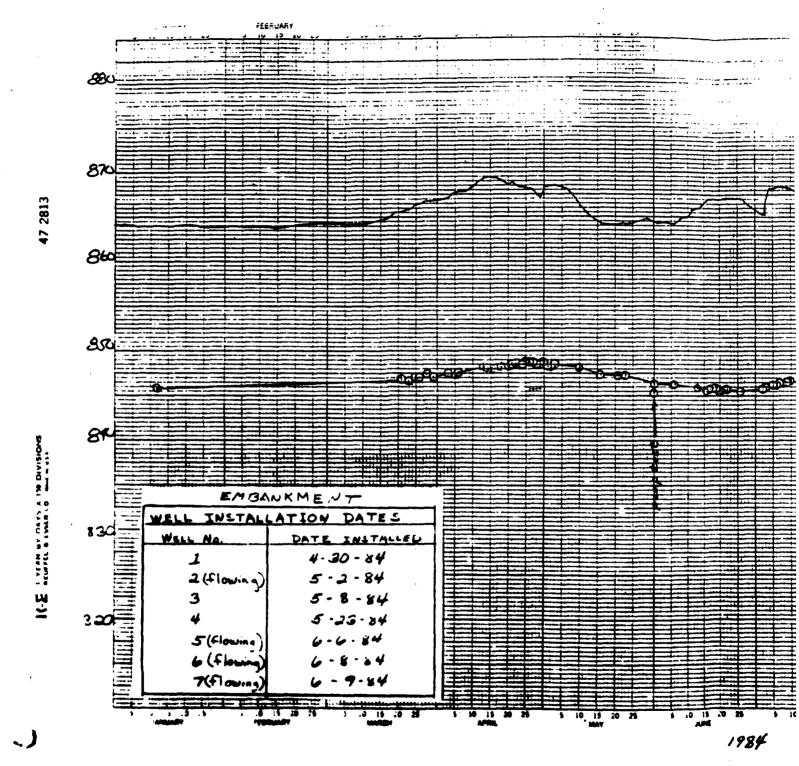


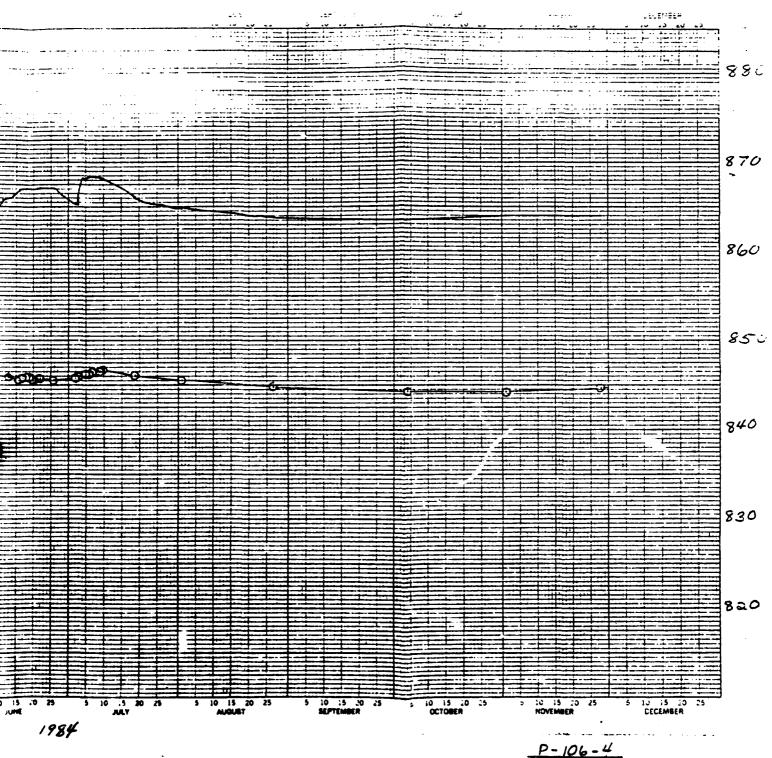


J. 15-1-7

1984





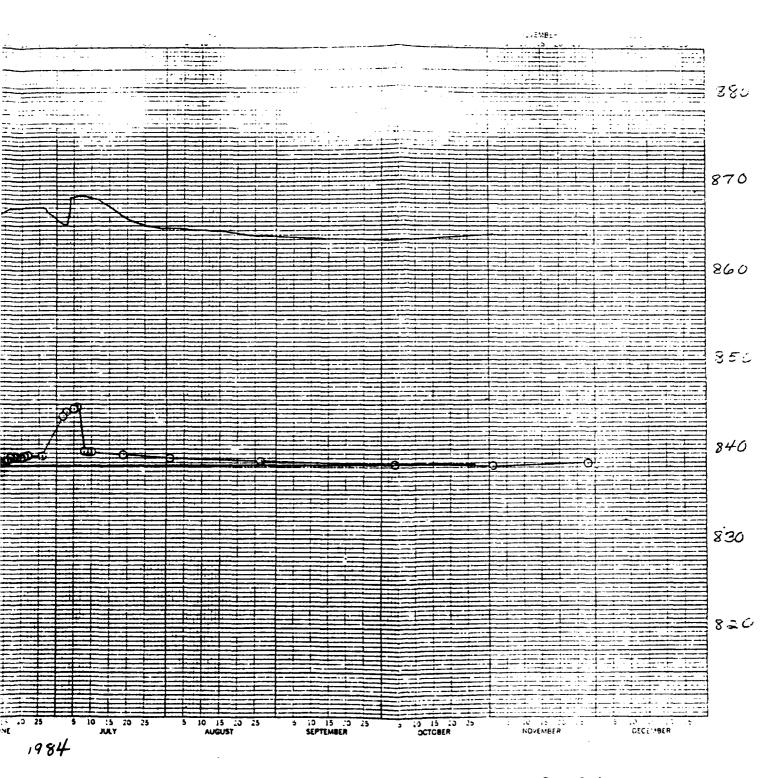


STA RNG September Separation

106+02 TIPEL 807.9 150 GRND EL 894.9

MAT'L SIY GRY SD RP-3-1742
APPENDIX B Plate No. 22

870 47 2813 800 ക്ക 890 INTER INTERNATIONS A 150 DIVISIONS Survice EMBANKMENT 830 INSTALLATION DATES **820** INSTALLEL WELL 4-30-84 1 2 (flowing) 5-2-84 3 -8-84 4 23-84 5 (flowing 6 (flowing 7(flowing 1984



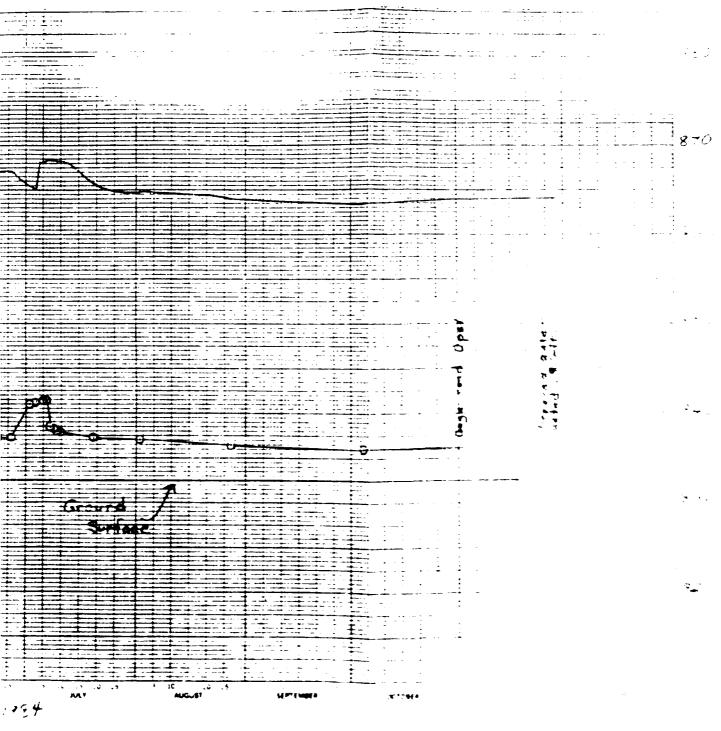
STA 110+00 TIP EL 808.1

RIG: 348 D GRND EL 868.1

MAT'L SDY CL + GRV RP-3-1743

APPENDIX B PLATE NO. 23

2.00



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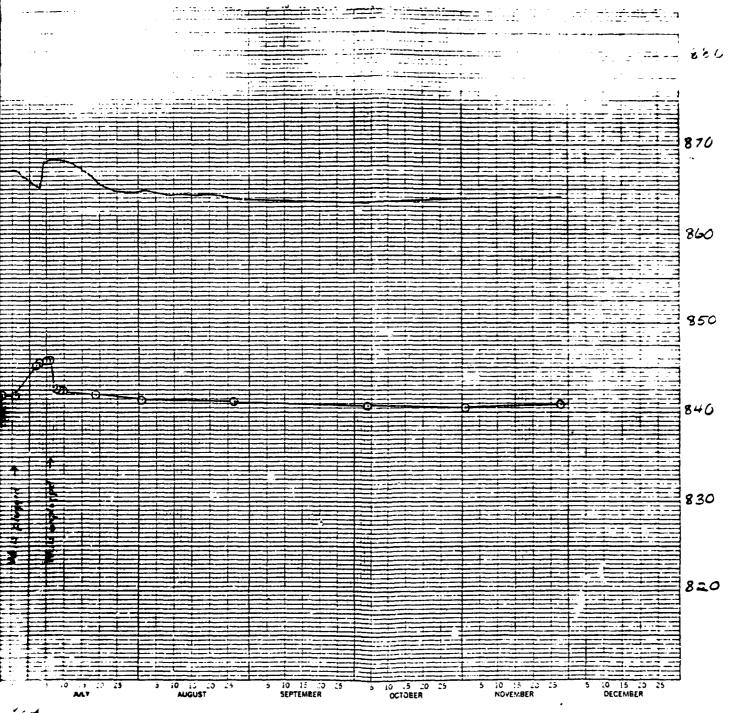
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880 = 870 860 350 EMGANKMENT WELL INSTALLATION DATES Well No DATE INSTALLEL 4-30-84 1 5-2-84 5-8-84 5-23-84 6 (ilowin 7410wina

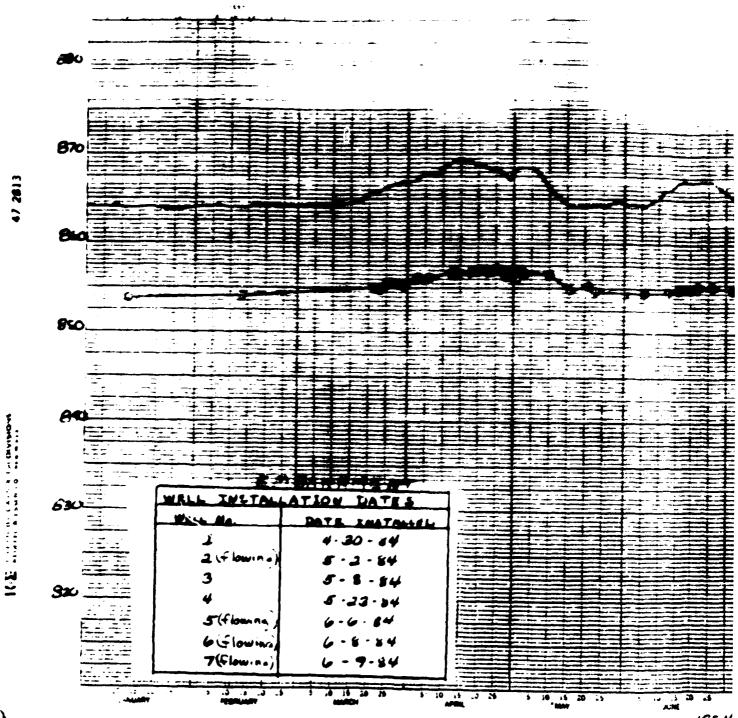
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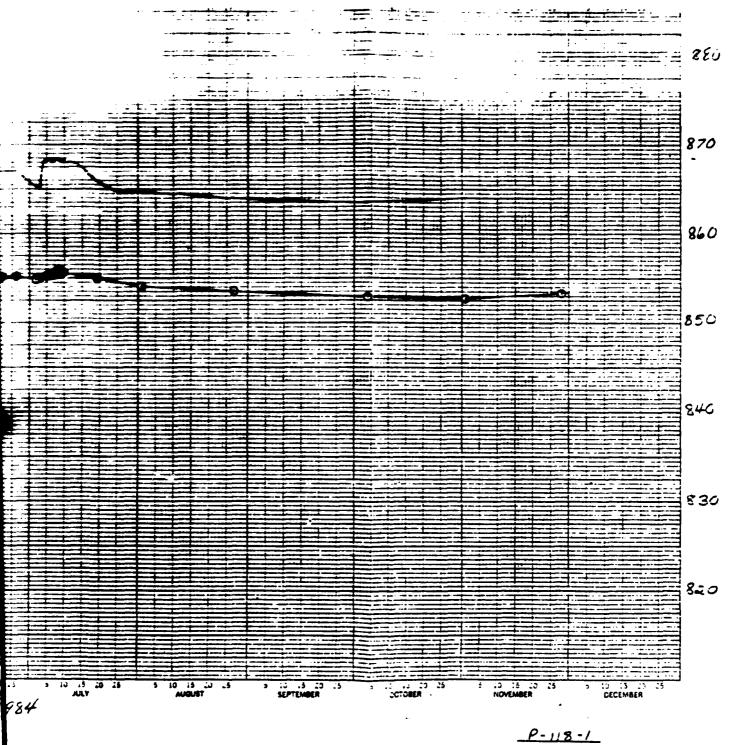


P-110-8 110+00 TIP EL 811.0 STA 109 D GRND EL 863.0 RP-3-1745 RNG APPENDIX 8 PLATE NO. MAJ'L CLY SOY GRV



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ستنبغ ويرهضت بالمدانية المسادية بالأسادة



P-118-1 STA 118+20 TIPEL 307.0 RNG 130'D GRND EL 868.5 MAT'L GRVY CLY SD RP-3-1746 2 Plate No. 26

